

Local correctability of expander codes

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IAS

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The point(s) of this talk

- ▶ **Locally decodable codes** are codes which admit sublinear time decoding of small pieces of a message.
- ▶ **Expander codes** are a family of error correcting codes based on expander graphs.
- ▶ In this work, we show that (appropriately instantiated) **expander codes** are high-rate **locally decodable codes**.

- ▶ Only two families of codes known in this regime [KSY'11,GKS'12].
- ▶ Expander codes (and the corresponding decoding algorithm and analysis) are very different from existing constructions!

Outline

① Local correctability

Definitions and notation

Example: Reed-Muller codes

Previous work and our contribution

② Expander codes

③ Local correctability of expander codes

Requirement for the inner code: smooth reconstruction

Decoding algorithm

Example instantiation: finite geometry codes

④ Conclusion

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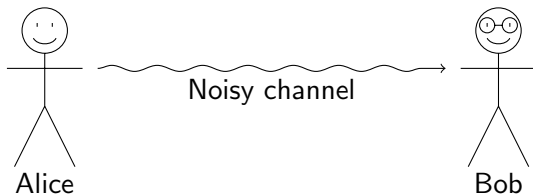
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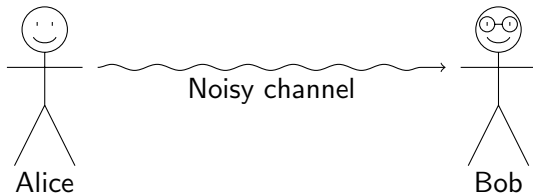
Error correcting codes



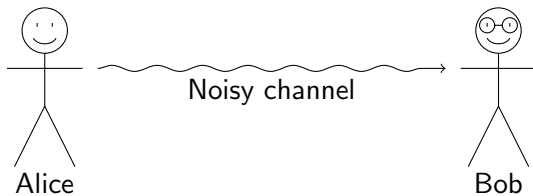
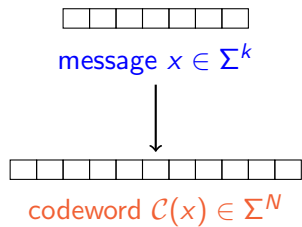
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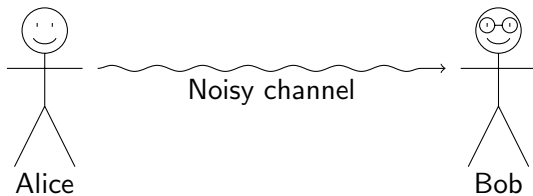
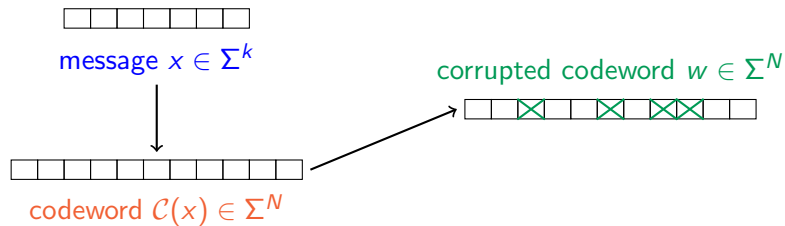
message $x \in \Sigma^k$



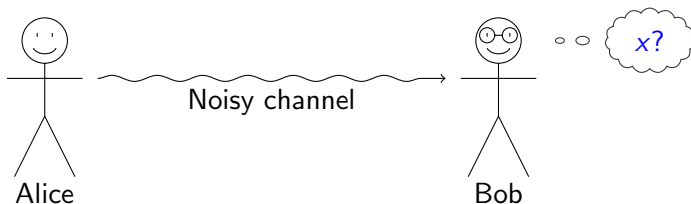
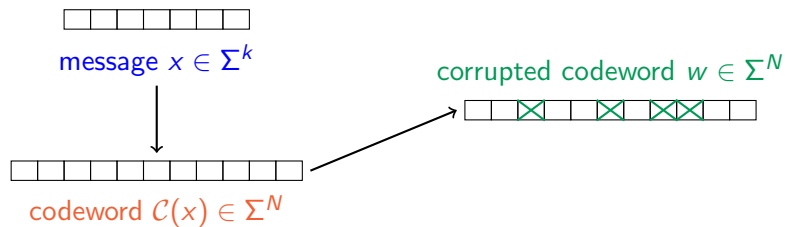
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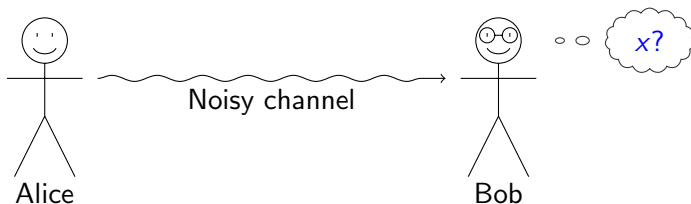
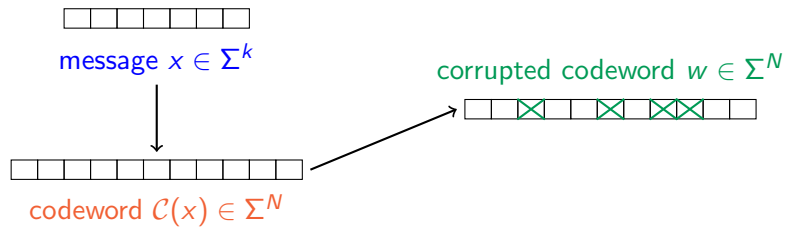
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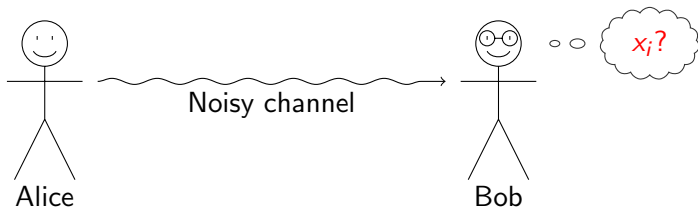
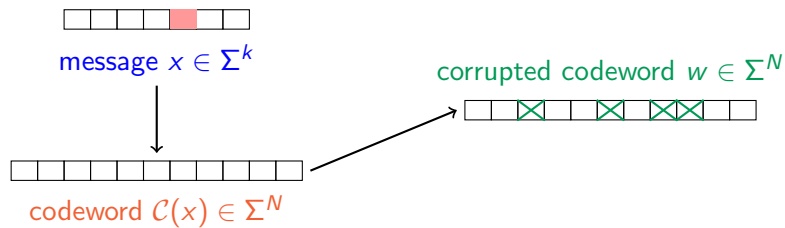
Error correcting codes



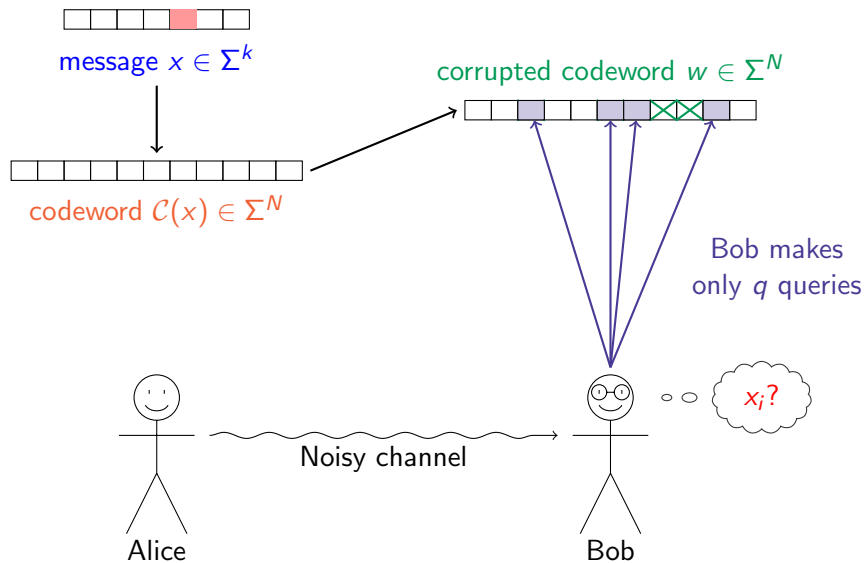
Locally decodable codes



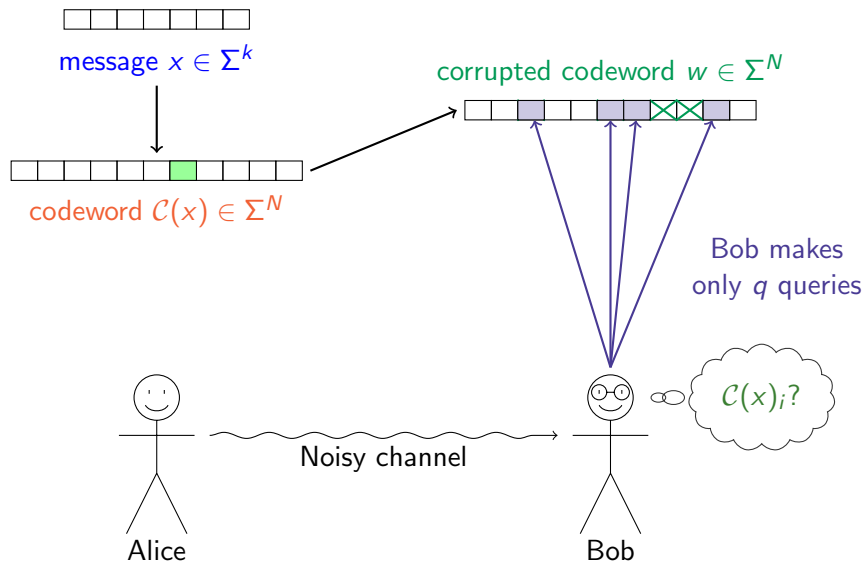
Locally decodable codes



Locally decodable codes



Locally correctable codes



Locally correctable codes, sans stick figures

Definition

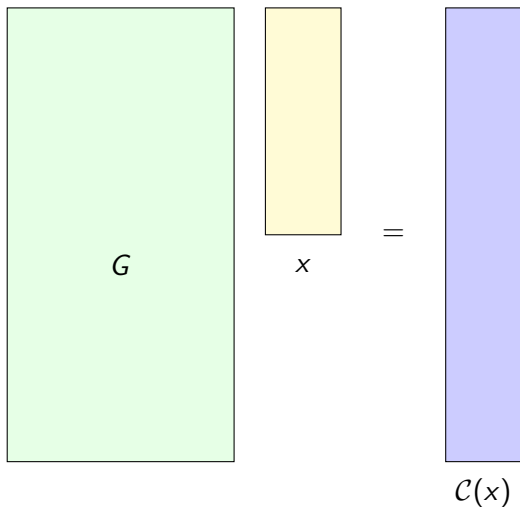
\mathcal{C} is (q, δ, η) -**locally correctable** if for all $i \in [N]$, for all $x \in \Sigma^k$, and for all $w \in \Sigma^N$ with $d(w, \mathcal{C}(x)) \leq \delta N$,

$$\mathbb{P} \{ \text{Bob correctly guesses } \mathcal{C}(x)_i \} \geq 1 - \eta.$$

Bob reads only q positions in the corrupted word, w .

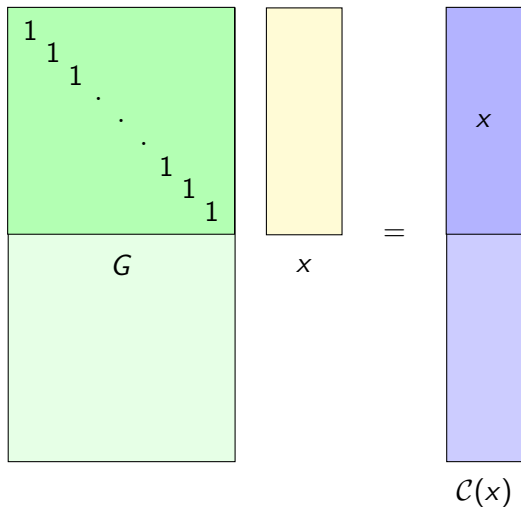
Local correctability vs. local decodability

When \mathcal{C} is *linear*, local correctability implies local decodability.



Local correctability vs. local decodability

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Before we get too far

Some notation

For a code $\mathcal{C} : \Sigma^k \rightarrow \Sigma^N$

- ▶ The **message length** is k , the length of the message.
- ▶ The **block length** is N , the length of the codeword.
- ▶ The **rate** is k/N .
- ▶ The **locality** is q , the number of queries Bob makes.

Goal: large rate, small locality.

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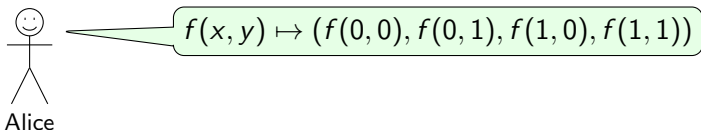
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Example: Reed-Muller Codes



- ▶ **Message:** multivariate polynomial of total degree d ,

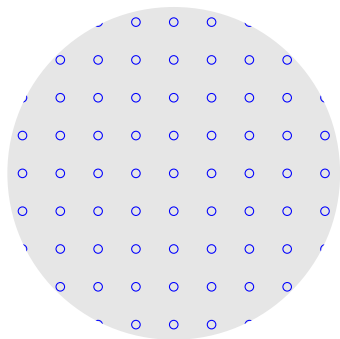
$$f \in \mathbb{F}_q[z_1, \dots, z_m].$$

- ▶ **Codeword:** the evaluation of f at points in \mathbb{F}_q^m :

$$\mathcal{C}(f) = \{f(\vec{x})\}_{\vec{x} \in \mathbb{F}_q^m}$$

Locally Correcting Reed Muller Codes

Points in \mathbb{F}_q^m

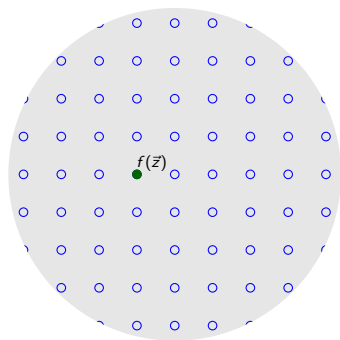


message is $f \in \mathbb{F}_q[z_1, \dots, z_m]$

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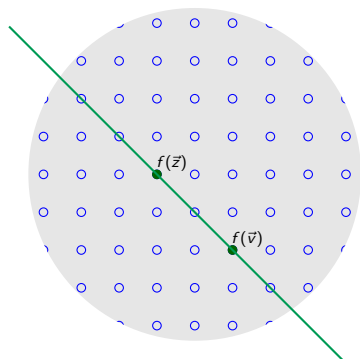
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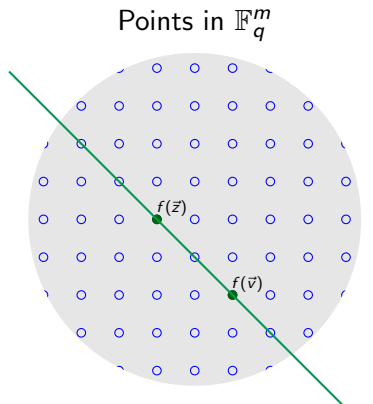
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- ▶ Choose a random line through \vec{z} , and consider the restriction

$$g(t) = f(\vec{z} + t\vec{v})$$

to that line.

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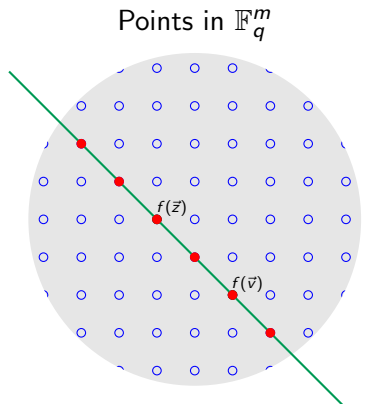
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- ▶ This is a *univariate* polynomial, and $g(0) = f(\vec{z})$.
- ▶ Query all of the points on the line.

Resulting parameters

- ▶ Rate is $\binom{m+d}{m}/q^m$ (we needed $d = O(q)$, so we can decode)
- ▶ Locality is q (the field size)

If we choose m constant, we get:

- ▶ Rate is constant, but less than $1/2$.
- ▶ Locality is $N^{1/m} = N^\epsilon$.

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Reed-Muller Codes have locality N^ϵ and constant rate, but rate is less than $1/2$.

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Are there locally decodable codes with locality N^ϵ , and rate arbitrarily close to 1?

Previous Work

Rate $\rightarrow 1$ and locality N^ϵ :


- ▶ Multiplicity codes
[Kopparty, Saraf, Yekhanin 2011]
- ▶ Lifted codes
[Guo, Kopparty, Sudan 2012]

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Another regime:

Rate bad $\left(N/2^{2^{O(\sqrt{\log(N)})}}\right)$,
but locality 3:

- ▶ Matching vector codes
[Yekhanin 2008,
Efremenko 2009, ...]

These decoders are different:

- ▶ The queries cannot tolerate any errors.
- ▶ There are so few queries that they are probably all correct.

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- ▶ Expander codes
[H., Ostrovsky, Wootters 2013]

Decoder is similar in spirit to low-
query decoders. The queries will *not*
form an error correcting code.

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Tanner Codes [Tanner'81]

Given:

- ▶ A d -regular graph G with n vertices and $N = \frac{nd}{2}$ edges
- ▶ An *inner code* \mathcal{C}_0 with block length d over Σ

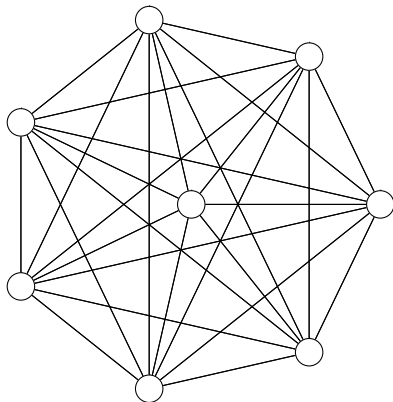
We get a *Tanner code* \mathcal{C} .

- ▶ \mathcal{C} has block length N and alphabet Σ .
- ▶ Codewords are labelings of edges of G .
- ▶ A labeling is in \mathcal{C} if the labels on each vertex form a codeword of \mathcal{C}_0 .

Example [Tanner'81]

G is K_8 , and C_0 is the $[7, 4, 3]$ -Hamming code.

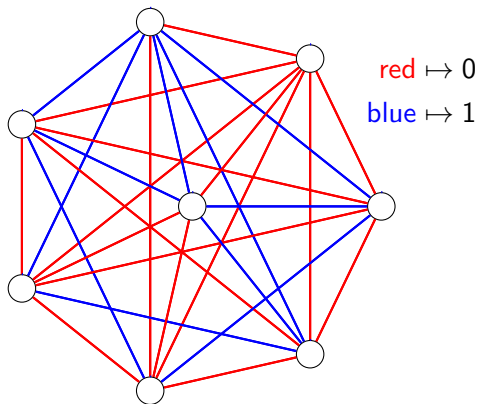
$$N = \binom{8}{2} = 28 \text{ and } \Sigma = \{0, 1\}$$



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A codeword of \mathcal{C} is a labeling of edges of G .

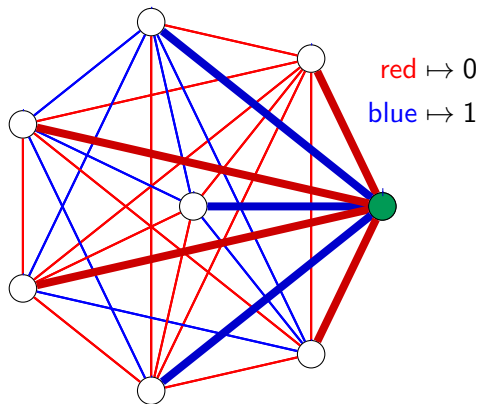


$$(0, 0, 0, 0, 0, 0, 0, 1, 1, 0, 1, 1, 1, 0, 1, 0, 0, 1, 0, 1, 0, 0, 0, 1, 0, 0, 1, 1) \in \mathcal{C} \subset \{0, 1\}^{28}$$

Example [Tanner'81]

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These edges form a codeword in the Hamming code



$$(0, 0, 0, 0, 0, 0, 0, 1, 1, 0, 1, 1, 1, 0, 1, 0, 0, 1, 0, 1, 0, 0, 0, 1, 0, 0, 1, 1) \in \mathcal{C} \subset \{0, 1\}^{28}$$

Encoding Tanner Codes

Encoding is Easy!

1. Generate parity-check matrix
Requires:
 - ▶ Edge-vertex incidence matrix of graph
 - ▶ Parity-check matrix of inner code
2. Calculate a basis for the kernel of the parity-check matrix
3. This basis defines a generator matrix for the linear Tanner Code
4. Encoding is just multiplication by this generator matrix

Linearity

If the inner code \mathcal{C}_0 is linear, so is the Tanner code \mathcal{C}

- ▶ $\mathcal{C}_0 = \text{Ker}(H_0)$ for some *parity check matrix* H_0 .

$$x \in \mathcal{C}_0 \iff \begin{matrix} \boxed{H_0} \\ \boxed{x} \end{matrix} = 0$$

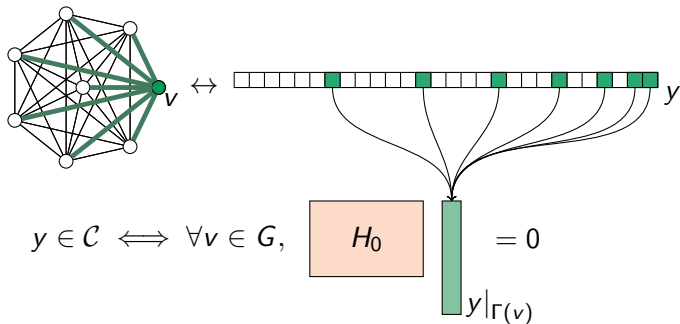
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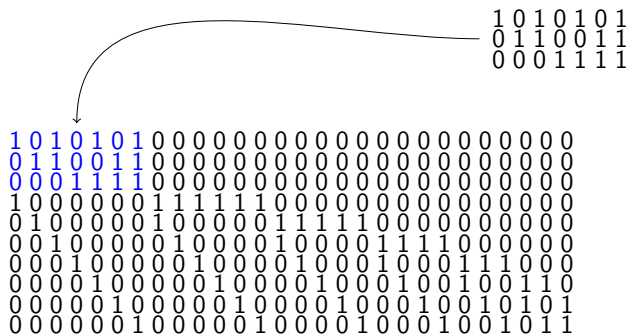
$$x \in \mathcal{C}_0 \iff \begin{matrix} \boxed{H_0} \\ \boxed{x} \end{matrix} = 0$$

- ▶ So codewords of the Tanner code \mathcal{C} also are defined by linear constraints:



Example: parity-check matrix of a Tanner code

K_8 and the [7, 4, 3]-Hamming code



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```
1 0 1 0 1 0 1  
0 1 1 0 0 1 1  
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```

```
1 0 1 0 1 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0  
0 1 1 0 0 1 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0  
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If the inner code has good rate, so does the outer code

Say that \mathcal{C}_0 is linear

- ▶ If \mathcal{C}_0 has rate r_0 , it satisfies $(1 - r_0)d$ linear constraints.
- ▶ Each of the n vertices of G must satisfy these constraints.

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- ▶ Length of $\mathcal{C} = N = \# \text{ edges} = nd/2$
- ▶ The rate of \mathcal{C} is

$$R = \frac{k}{N} \geq \frac{N - n \cdot (1 - r_0)d}{N} = 2r_0 - 1.$$

Better rate bounds?

- ▶ The lower bound $R > 2r_0 - 1$ is *independent* of the ordering of edges around a vertex

- ▶ Tanner already noticed that order matters.

Let G be the complete bipartite graph with 7 vertices per side

Let \mathcal{C}_0 be the $[7, 4, 3]$ hamming code

Then different “natural” orderings achieve a Tanner code with

- ▶ $[49, 16, 9]$ ($\frac{16}{49} \approx .327$)
- ▶ $[49, 12, 16]$ ($\frac{12}{49} \approx .245$)
- ▶ $[49, 7, 17]$ ($\frac{7}{49} \approx .142$) **Meets lower bound of $2 \cdot \frac{4}{7} - 1$**

Expander codes

When the underlying graph is an *expander graph*, the Tanner code is a *expander code*.

- ▶ Expander codes admit very fast decoding algorithms
[Sipser and Spielman 1996]
- ▶ Further improvements in
[Sipser'96, Zemor'01, Barg and Zemor'02,'05,'06]

Outline

① Local correctability

Definitions and notation

Example: Reed-Muller codes

Previous work and our contribution

② Expander codes

③ Local correctability of expander codes

Requirement for the inner code: smooth reconstruction

Decoding algorithm

Example instantiation: finite geometry codes

④ Conclusion

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Main Result

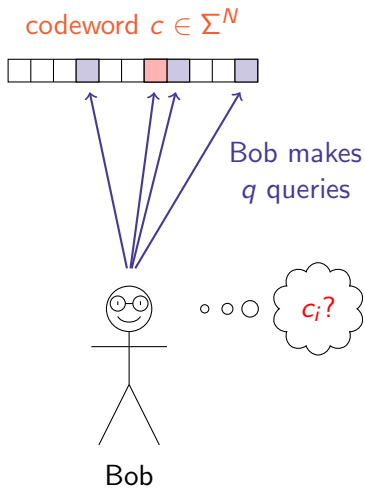
Given:

- ▶ a d -regular expander graph;
- ▶ an inner code of length d with **smooth reconstruction**.

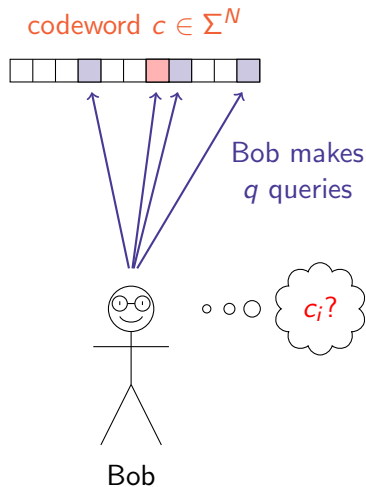
Then:

- ▶ We will give a local-correcting procedure for this expander code.

Smooth Reconstruction



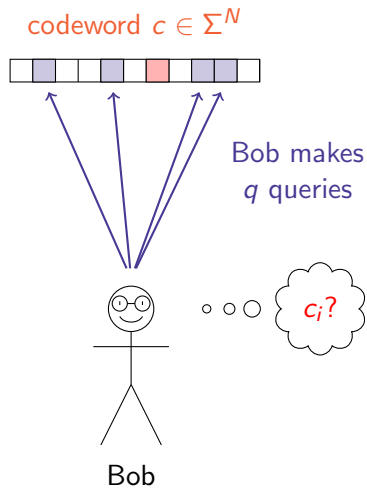
Smooth Reconstruction



Suppose that:

- ▶ Each Bob's q queries is (close to) uniformly distributed (they don't need to be independent!)

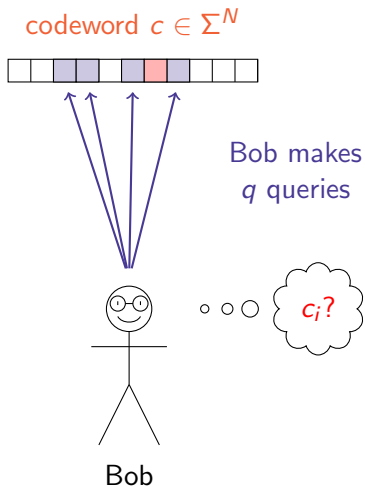
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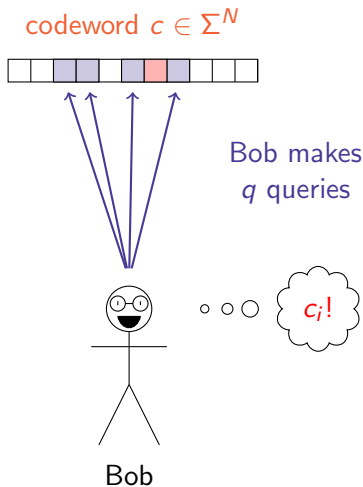
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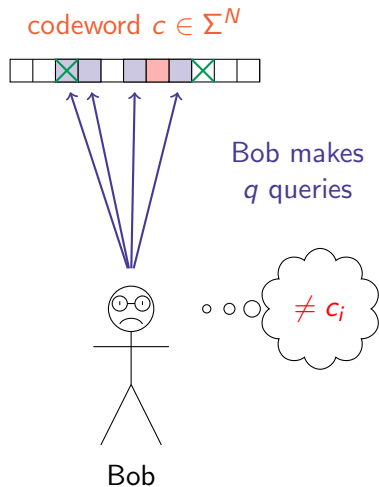
Smooth Reconstruction



Suppose that:

- ▶ Each Bob's q queries is (close to) uniformly distributed (they don't need to be independent!)
- ▶ From the (uncorrupted) queries, he can always recover c_j .

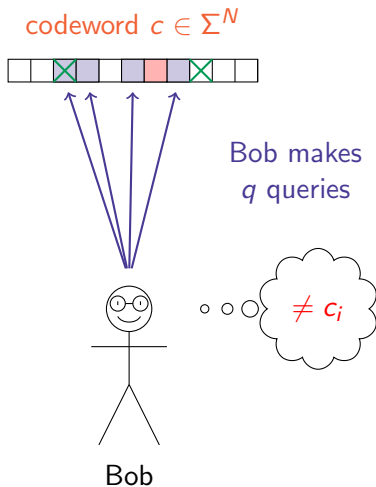
Smooth Reconstruction



Suppose that:

- ▶ Each Bob's q queries is (close to) uniformly distributed (they don't need to be independent!)
- ▶ From the (uncorrupted) queries, he can always recover c_i .
- ▶ But! He doesn't need to tolerate any errors.

Smooth Reconstruction



Suppose that:

- ▶ Each Bob's q queries is (close to) uniformly distributed (they don't need to be independent!)
- ▶ From the (uncorrupted) queries, he can always recover c_i .
- ▶ But! He doesn't need to tolerate any errors.

Then:

- ▶ We say that the code has a **smooth reconstruction algorithm**.

Smooth reconstruction, sans stick figures

Definition

A code $\mathcal{C}_0 \subset \Sigma^d$ has a q -query **smooth reconstruction algorithm** if, for all $i \in [d]$ and for all codewords $c \in \mathcal{C}_0$:

- ▶ Bob can always determine c_i from a set of queries c_{i_1}, \dots, c_{i_q}
- ▶ Each c_{i_j} is (close to) uniformly distributed in $[d]$.

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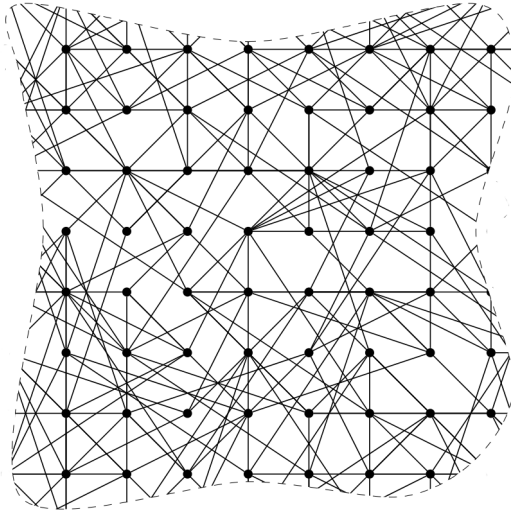
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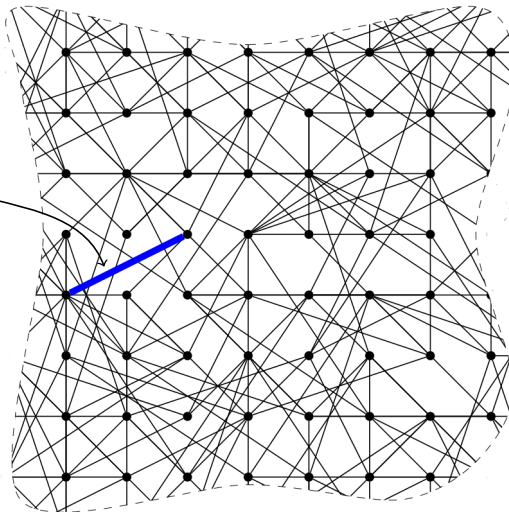
Decoding algorithm: main idea



Decoding algorithm: main idea

Want to correct the label on this edge

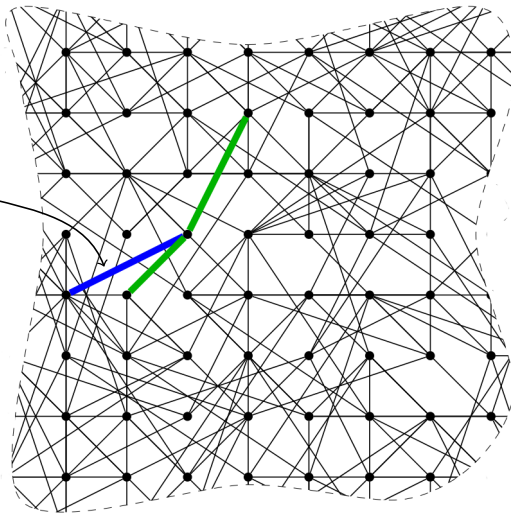
For this diagram
 $q = 2$



Decoding algorithm: main idea

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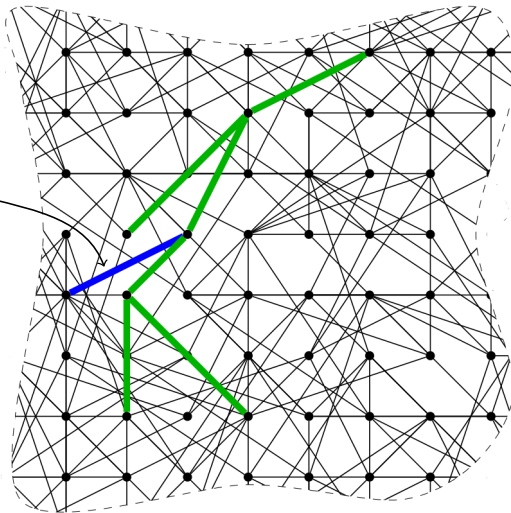
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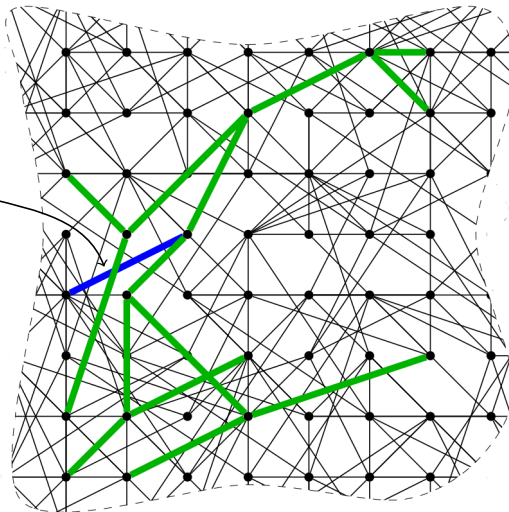
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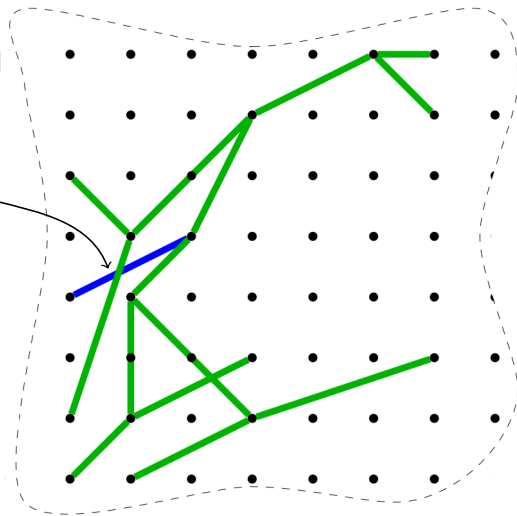
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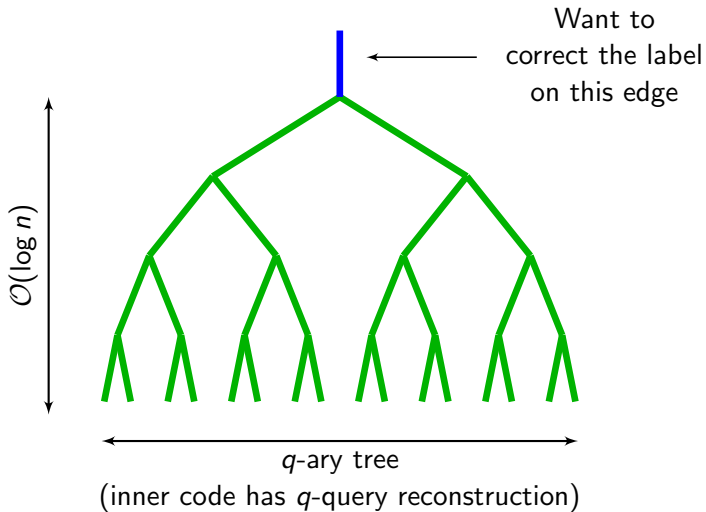
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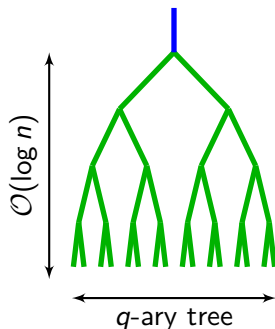
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The expander walk as a tree



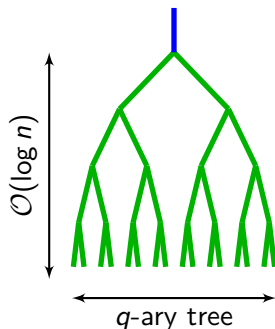
The expander walk as a tree



True Statements:

- ▶ The symbols on the leaves determine the symbol on the root.
- ▶ There are $q^{O(\log(n))} \approx N^\epsilon$ leaves.
- ▶ The leaves are (nearly) uniformly distributed in G .

The expander walk as a tree

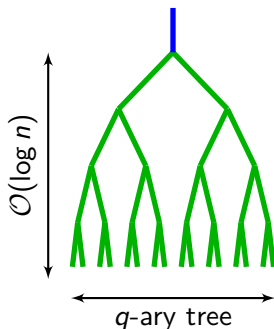


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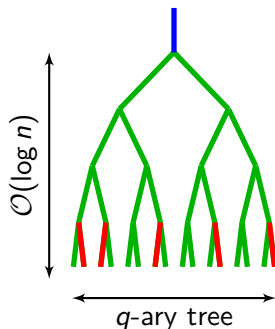
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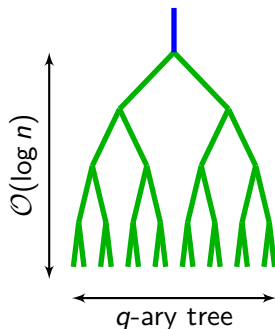
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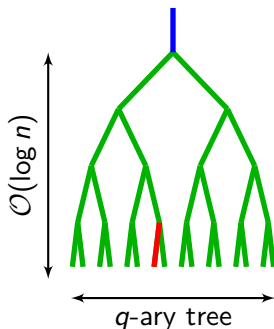
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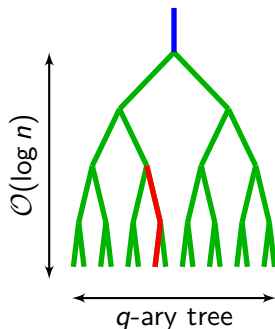
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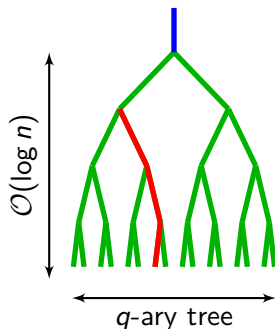
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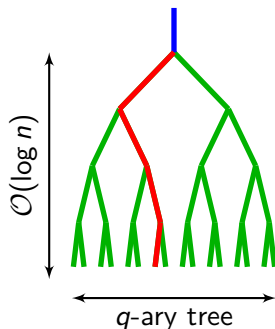
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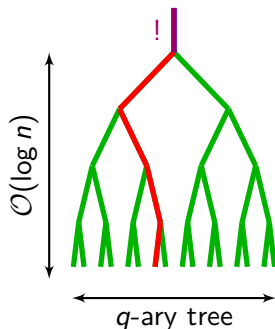
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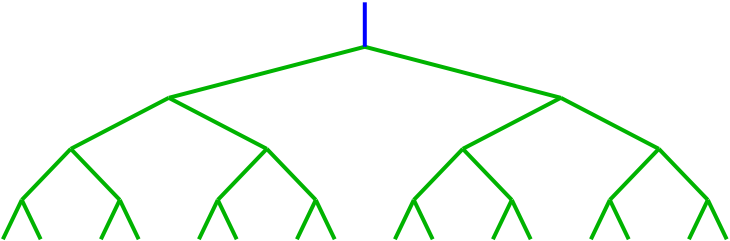
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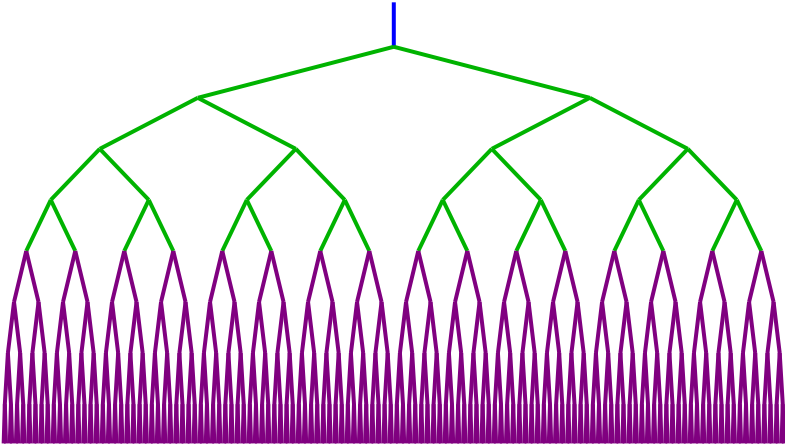
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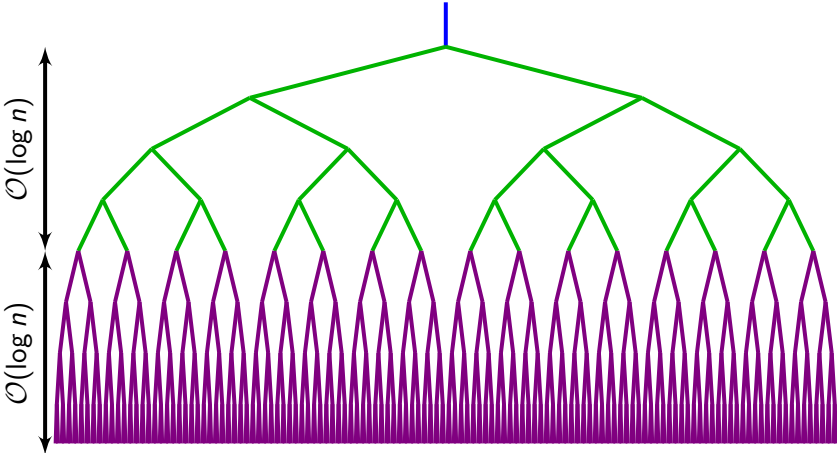
Correcting the last layer



Correcting the last layer

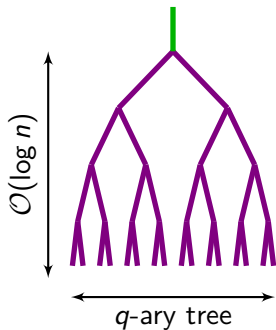


Correcting the last layer



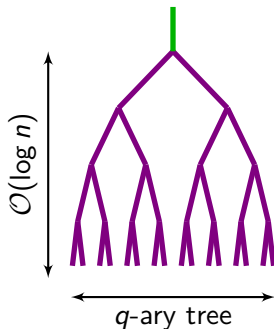
- Blue edge: Edge we want to learn (not read)
- Green edges: Edges to get us to uniform locations in the graph (not read)
- Purple edges: Edges for error correction (read)

Why should this help?



- **Now** the queries can tolerate a few errors.

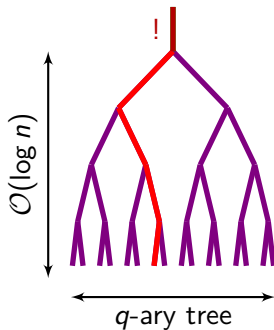
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False statement:

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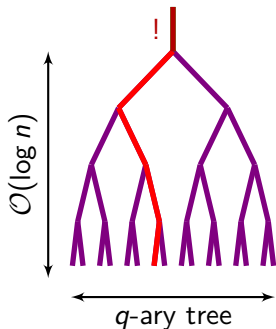
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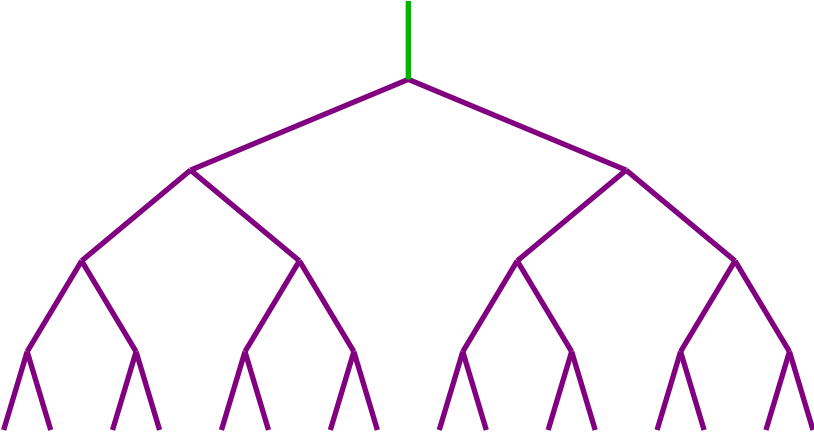
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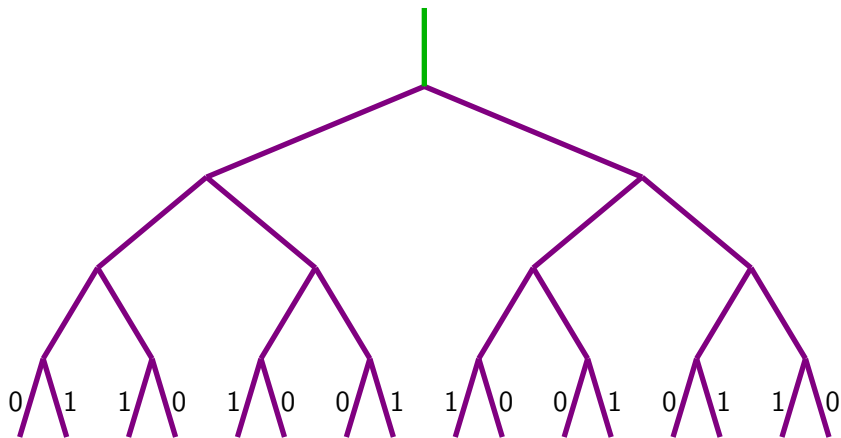
True statements:

- ▶ This is basically the only thing that can go wrong.
- ▶ Because everything in sight is (nearly) uniform, it probably won't go wrong.

Decoding algorithm

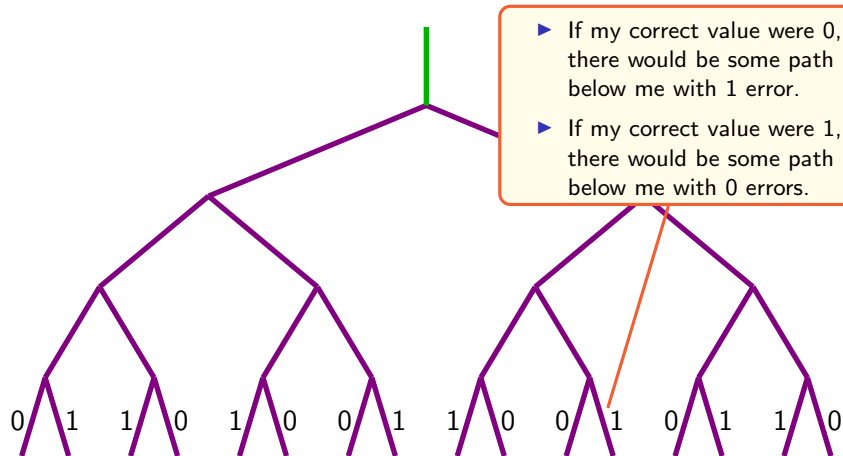


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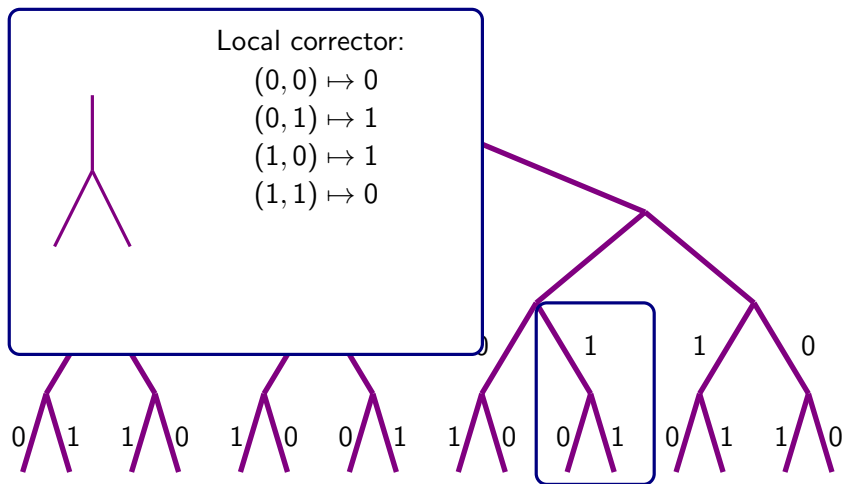
Each leaf edge queries its symbol

Decoding algorithm



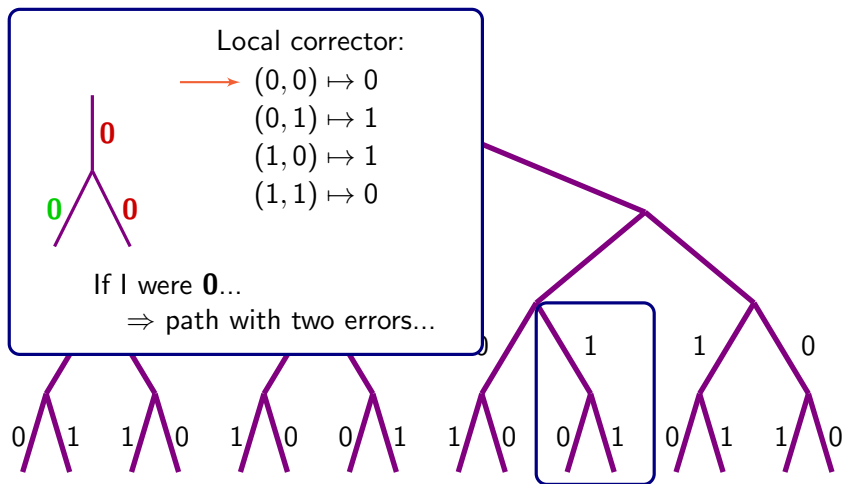
Each leaf edge thinks to itself...

Decoding algorithm



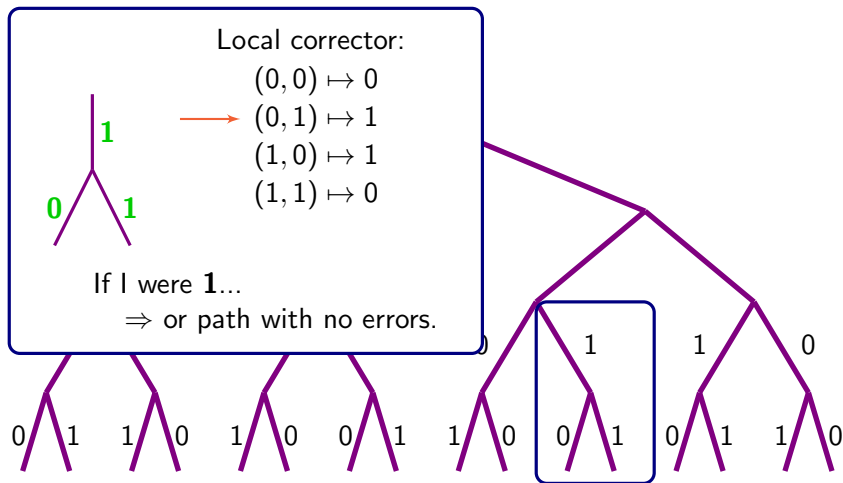
Each second-level edge reads its symbol and thinks to itself...

Decoding algorithm



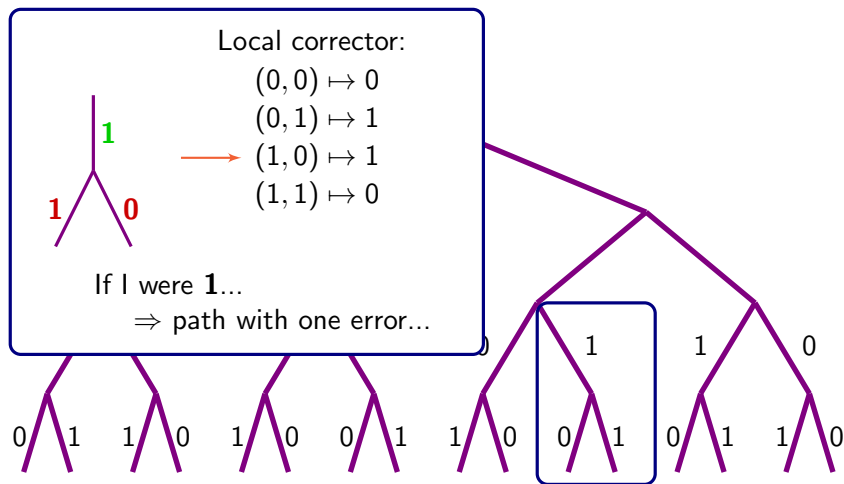
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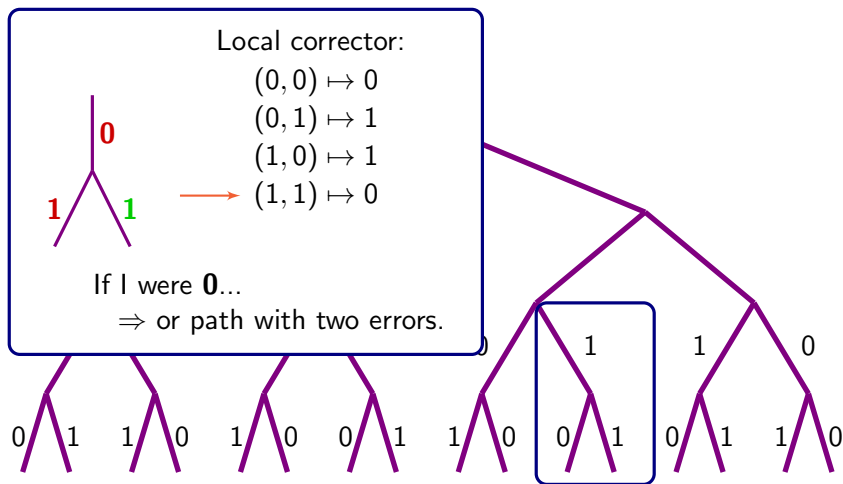
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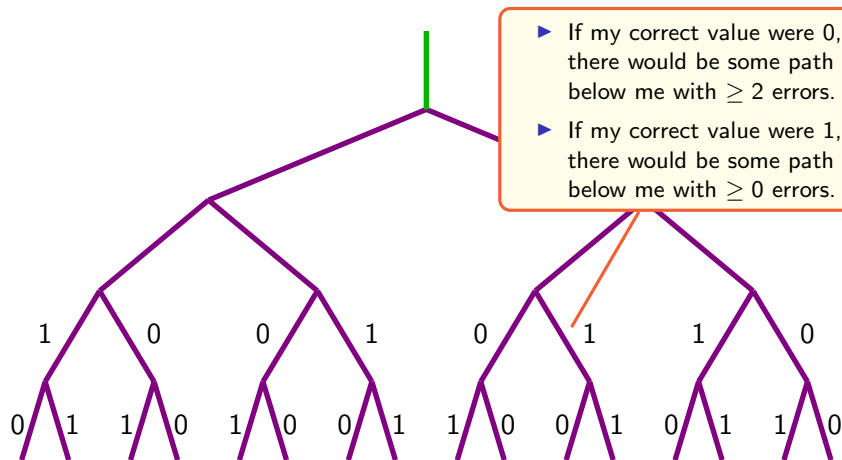
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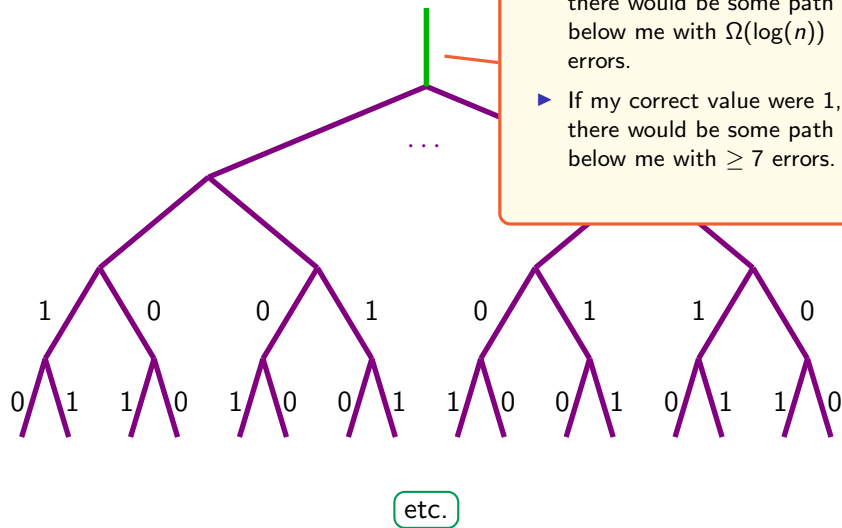
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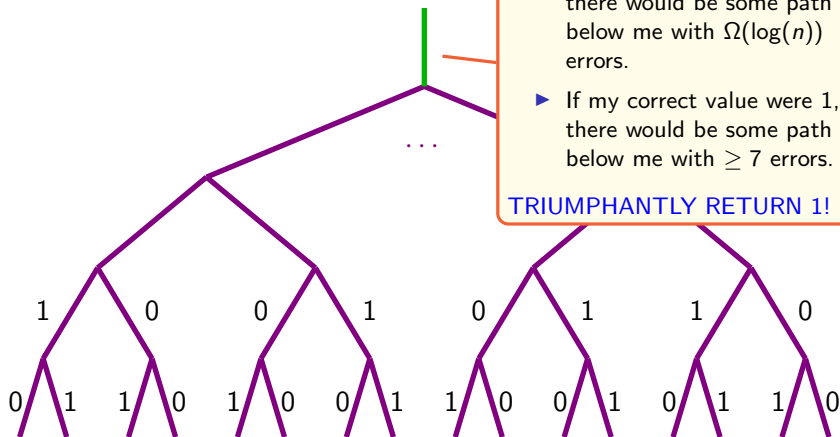


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Decoding algorithm



Decoding algorithm



This only fails if there exist a *path* that is heavily corrupted. Heavily corrupted paths occur with exponentially small probability.

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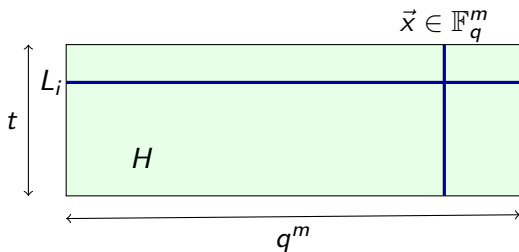
Example instantiation: finite geometry codes

④ Conclusion

One choice for inner code: based on affine geometry

See [Assmus, Key '94,'98] for a nice overview

- ▶ Let L_1, \dots, L_t be the r -dimensional affine subspaces of \mathbb{F}_q^m , and consider the code with parity-check matrix H :



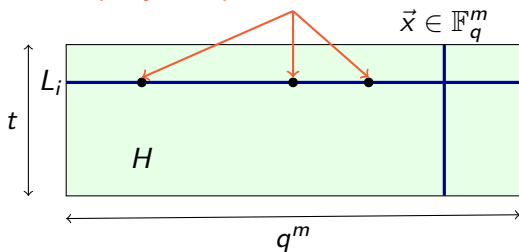
$$H_{i,\vec{x}} = \begin{cases} 1 & \vec{x} \in L_i \\ 0 & \vec{x} \notin L_i \end{cases}$$

One choice for inner code: based on affine geometry

See [Assmus, Key '94,'98] for a nice overview

- ▶ Let L_1, \dots, L_t be the r -dimensional affine subspaces of \mathbb{F}_q^m , and consider the code with parity-check matrix H :

query the q^r nonzeros in this row



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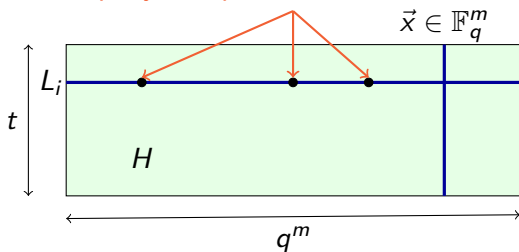
- ▶ Smooth reconstruction: To learn a coordinate indexed by $\vec{x} \in \mathbb{F}_q^m$:
 - ▶ pick a random r -flat, L_i , containing \vec{x} .
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 - ▶ pick a random r -flat, L_i , containing \vec{x} .
 - ▶ query all of the points in L_i .
- ▶ Observe: This is *not* a very good LCC!

One good instantiation

Graph:

- ▶ Ramanujan graph

Inner code:

- ▶ Finite geometry code

Results:

For any $\alpha, \epsilon > 0$, for infinitely many N , we get a code with block length N , which

- ▶ has rate $1 - \alpha$
- ▶ has locality $(N/d)^\epsilon$
- ▶ tolerates constant error rate

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Summary

- ▶ When the inner code has smooth reconstruction, we give a local-decoding procedure for expander codes.
- ▶ This gives a new (and yet old!) family of linear locally correctable codes of rate approaching 1.

Open questions

- ▶ Can we use expander codes to achieve local correctability with lower query complexity?
- ▶ Can we use inner codes with rate $< 1/2$?

The end

