

# Growth Rate of Eigenfunctions

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# Eigenvalue and eigenfunctions

For a given compact smooth  $n$ -manifold  $M$ , we have the following (smooth) eigenfunctions

$$-\Delta_g e_\lambda(x) = \lambda^2 e_\lambda(x).$$

And we have a discrete set of eigenvalues

$$\{0 = \lambda_0 \leq \lambda_1 \leq \cdots \leq \lambda_n \cdots < \infty\}$$

# Basic questions

Two basic questions in spectral analysis

1. How the eigenvalues  $\{\lambda_j\}$  are distributed on  $[0, \infty)$ ?
2. How “large” the eigenfunctions are?

# Weyl law

Eigenvalue: (classical Weyl formula, or Weyl law)

$$N(\lambda) = c_n \lambda^n + O(\lambda^{n-1}).$$

You can also recover  $N(\lambda)$  by taking inverse Fourier transform on the truncated trace of half-wave operator:

$$N(\lambda) = \frac{1}{2\pi} \int \widehat{\chi_{[0,\lambda]}}(t) \left\{ \text{trace of } e^{it\sqrt{-\Delta}} \right\} dt.$$

# Half-wave

The half-wave  $e^{it\sqrt{-\Delta}}$  is the Fourier transform of the spectral measure

$$\hat{E}(t) = \int e^{-it\lambda} dE_\lambda = e^{-it\sqrt{-\Delta}}$$

Here

$$e^{-it\sqrt{-\Delta}} = \sum_j e^{-it\lambda_j} e_{\lambda_j} \otimes e_{\lambda_j} = \sum_j \widehat{\delta(\cdot - \lambda_j)}(t) e_{\lambda_j} \otimes e_{\lambda_j}$$

and  $e^{it\sqrt{-\Delta}}f(x)$  is also the solution to the (half)-wave Cauchy problem:

$$\begin{cases} (i\partial_t + \sqrt{-\Delta})u(t, x) = 0 \\ u(0, x) = f(x). \end{cases}$$

## More about Weyl law

Two things should be mentioned about Weyl law

1. It is sharp on spheres  $S^n$
2. It is rarely sharp on other manifolds. For example:  
(Hlawka, 1950) On flat torus  $\mathbb{T}^n$

$$N(\lambda) = c\lambda^n + O(\lambda^{n-1-\frac{n-1}{n+1}}).$$

This is because on torus  $e_\lambda(x)$  is a trigonometric polynomial as follows

$$e_\lambda(x) = \sum_{|m|^2=\lambda^2} \hat{e}_\lambda(m) e^{im \cdot x}.$$

# Duistermaat-Guillemin wave-trace

A key progress was made by Duistermaat and Guillemin in 1975, who proved that

1. The trace of  $e^{it\sqrt{-\Delta}}$  is smooth when  $t$  is not equal to the period of any periodic geodesics (if any), or 0.
2. If the periodic geodesics are of zero Liouville measure, then

$$N(\lambda) = c_n \lambda^n + o(\lambda^{n-1}).$$

## Improvement on non-positive manifolds

So to improve the remainder term in Weyl formula, a good candidate would be a manifold with very few periodic geodesics. In fact:

(Bérard, 1977) On manifolds without conjugate points, such as non-positive curvature manifolds, we have

$$N(\lambda) = c\lambda^n + O(\lambda^{n-1}/\log \lambda).$$



## General $L^p$ estimates

Weyl formula is in fact an  $L^\infty$  type estimates for the eigenfunctions:

$$\|e_\lambda\|_{L^\infty} \leq C\lambda^{\frac{n-1}{2}} \|e_\lambda\|_{L^2}.$$

So we may ask, how about an  $L^p$  estimates with  $p < +\infty$ ? (of course, except for those from simple interpolation)

## Sogge's general result

$L^p$  estimates of the eigenfunctions on general manifolds (Sogge, 1987), using oscillatory integral techniques:

$$\|e_\lambda\|_{L^p(M)} \leq C \lambda^{n(\frac{1}{2}-\frac{1}{p})-\frac{1}{2}} \|e_\lambda\|_{L^2(M)}, \quad \frac{2(n+1)}{n-1} \leq p \leq +\infty$$

$$\|e_\lambda\|_{L^p(M)} \leq C \lambda^{\frac{n-1}{2}(\frac{1}{2}-\frac{1}{p})} \|e_\lambda\|_{L^2(M)}, \quad 2 \leq p \leq \frac{2(n+1)}{n-1}.$$

These estimates are sharp on spheres. But lacking of explicit information the eigenfunctions makes improvement on other manifolds generally hard.

## $L^p$ improvement on non-positive manifolds

For large  $p$ , we can interpolate with Bérard's result. And by  $(\log \lambda)^\alpha \ll \lambda^{+0}$  we may obtain a slightly better improvement with the price of losing endpoint case.

(Hassell-Tacey, 2013)

$$\|e_\lambda\|_{L^p} \leq C \frac{\lambda^{\frac{n-1}{2} - \frac{n}{p}}}{(\log \lambda)^{\frac{1}{2}}} \|e_\lambda\|_{L^2}, \quad p > \frac{2(n+1)}{(n-1)}.$$

Notice the improvement over:

$$\|e_\lambda\|_{L^p} \leq C \frac{\lambda^{\frac{n-1}{2} - \frac{n}{p}}}{(\log \lambda)^{\frac{1}{2} - \frac{n+1}{p(n-1)}} \|e_\lambda\|_{L^2}, \quad p \geq \frac{2(n+1)}{(n-1)}.$$

But it seems very *hard* to break the log barrier.

## Zygmund's $L^4$ estimates on torus

There may be some hope in establishing much-better-than-sphere estimates on torus due to the explicit construction of eigenfunctions.

(Zygmund, 1974) On 2-dimensional torus we have

$$\|e_\lambda\|_{L^4(\mathbb{T}^2)} \leq C \|e_\lambda\|_{L^2(\mathbb{T}^2)}.$$

The proof is very simple and based on the simplicity of  $S^1$ , so no hope to generalize to higher-dimensions.

# Higher dimensional $L^p$ estimates on torus

In higher dimensions, we may have

1.  $n = 3$ ,  $\|e_\lambda\|_{L^4} \leq C_\varepsilon \lambda^\varepsilon \|e_\lambda\|_{L^2}$ , due to arithmetic observation (relatively simple).
2.  $n \geq 4$  (Bourgain 2011),  
 $\|e_\lambda\|_{L^p} \leq C_\varepsilon \lambda^\varepsilon \|e_\lambda\|_{L^2}$ ,  $p \leq 2n/(n-1)$ . This is based on an earlier work (Bourgain-Guth, 2011), which improved Tomas-Stein restriction conjecture using multilinear oscillatory integral techniques.

## $L^p$ conjecture on torus

So a conjecture:

**Conjecture:** Is it possible on higher-dimensional torus we have

$$\|e_\lambda\|_{L^p} \leq C \|e_\lambda\|_{L^2}, \quad \text{for some } p > 2?$$

So far we have no idea how to break the  $\lambda^\varepsilon$  barrier (compared with neg-curved manifold case).

## A relatively new approach

If the growth rate of eigenfunctions reflects largeness of singularity of  $e^{it\sqrt{-\Delta}}$  which propagates along the geodesics, then in ideal case the global  $L^p$  estimates may be dominated by the restriction of eigenfunctions on geodesics.

# Spherical harmonics

Spherical harmonics are the spherical part of the solution of Laplace equation, also the eigenfunctions of  $\sqrt{-\Delta_{S^n}}$ . In 2-d, if we use  $\theta \in [0, \pi]$  to denote the latitude,  $\phi \in [0, 2\pi]$  the longitude, then the eigenfunctions associated with  $\sqrt{\ell(\ell+1)}$  is

$$Y_\ell^m(\theta, \phi) = c_{m,\ell} P_\ell^m(\cos \theta) e^{im\phi}, \quad -\ell \leq m \leq \ell$$

in which  $P_\ell^m$  is the associated Legendre polynomials of degree  $\ell$  and order  $m$ .



# Zonal and sectoral spherical harmonics

Two extremal cases:

1.  $m = 0$ , zonal spherical harmonics,  $Y_\ell^0 = c_{m,\ell} P_\ell(\cos \theta)$ .
2.  $m = \pm \ell$ , sectoral spherical harmonics (some call it highest weight spherical harmonics),  
 $|Y_\ell^\ell| = |c_\ell| \cdot |\sin^\ell \theta| = |c_\ell| \cdot |x_1 + ix_2|^\ell$ .

$$|Y_0^0(\theta, \phi)|^2$$



$$|Y_1^0(\theta, \phi)|^2$$



$$|Y_1^1(\theta, \phi)|^2$$



$$|Y_2^0(\theta, \phi)|^2$$



$$|Y_2^1(\theta, \phi)|^2$$



$$|Y_2^2(\theta, \phi)|^2$$



$$|Y_3^0(\theta, \phi)|^2$$



$$|Y_3^1(\theta, \phi)|^2$$



$$|Y_3^2(\theta, \phi)|^2$$



$$|Y_3^3(\theta, \phi)|^2$$



## B-G-T theorem

(Burq-Gérard-Tzvetkov, 2006), inspired by (Reznikov, 2004)

If  $\gamma$  is a geodesic arc on surface  $M$

$$\|e_\lambda\|_{L^p(\gamma)} \leq C\lambda^{\frac{1}{4}} \|e_\lambda\|_{L^2(M)}, \quad 2 \leq p \leq 4$$

$$\|e_\lambda\|_{L^p(\gamma)} \leq C\lambda^{\frac{1}{2} - \frac{1}{p}} \|e_\lambda\|_{L^2(M)}, \quad 4 \leq p \leq \infty.$$

The first estimate is sharp on  $M = S^2$  by sectoral spherical harmonics, and the latter is sharp by zonal spherical harmonics.

## Equivalence between global and restricted $L^p$ estimates

(Bourgain, 2009) and (Sogge, 2009): On surface  $M$ , the following are equivalent for  $L^2$  normalized eigenfunctions:

$$\|e_\lambda\|_{L^4(M)} \in o(\lambda^{\frac{1}{4}})$$

and

$$\sup_{\gamma} \|e_\lambda\|_{L^2(\gamma)} \in o(\lambda^{\frac{1}{4}})$$

Here  $\gamma \subset M$  is a unit-length geodesic arc. And the latter (therefore both) is proved to be true by (Sogge-Zelditch, 2011) on surface with non-positive curvature.

## Improvement on torus

It is classical that (see Hardy-Wright)

$$\|e_\lambda\|_{L^2(\gamma)} \lesssim \|e_\lambda\|_{L^\infty(\mathbb{T}^2)} \leq C_\varepsilon \lambda^\varepsilon \|e_\lambda\|_{L^2(\mathbb{T}^2)}$$

So it leaves us a question, whether it is possible (for any smooth curve or with curvature)

$$\|e_\lambda\|_{L^2(\gamma)} \leq C \|e_\lambda\|_{L^2(\mathbb{T}^2)}.$$

(Bourgain-Rudnick, 2011): If  $\gamma$  is an analytic curved arc in  $\mathbb{T}^2$  (also in  $\mathbb{T}^3$ ), then

$$\|e_\lambda\|_{L^2(\gamma)} \approx \|e_\lambda\|_{L^2(\mathbb{T}^2)},$$

when  $\lambda$  is large.

# Conjecture

For a real analytic curved arc  $\gamma$  in  $\mathbb{T}^n$ ,  $n \geq 4$ , do we still have

$$\|e_\lambda\|_{L^2(\gamma)} \approx \|e_\lambda\|_{L^2(\mathbb{T}^n)}?$$

Thank you!