



Peter Bürgisser  
Cole Franks  
Ankit Garg  
Leonid Gurvits  
**Rafael Oliveira**  
Michael Walter  
Avi Wigderson



# Alternating Minimization Algorithms for Matrix/Operator/Tensor Scaling & their Analysis





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# Alternating Minimization - Setup

**Input:** function

$$f : \mathcal{X}_1 \times \cdots \times \mathcal{X}_d \rightarrow \mathbb{R}$$

**Goal:** find **global minimum**  $(x_1^*, \dots, x_d^*)$  i.e.

$$f(x_1^*, \dots, x_d^*) \simeq \inf_{\substack{x_i \in \mathcal{X}_i \\ 1 \leq i \leq d}} f(x_1, \dots, x_d)$$

Minimizing  $f$  in **each block** is **simple**.

That is, for any  $1 \leq i \leq d$ , given  $(y_1, \dots, y_{i-1}, y_{i+1}, \dots, y_d)$

easy to solve

$$\inf_{x_i \in \mathcal{X}_i} f(y_1, \dots, y_{i-1}, x_i, y_{i+1}, \dots, y_d)$$

# Alternating Minimization - Heuristics

**Repeatedly solve basic problem on different coordinates.** Start with an initial guess  $y^{(0)} = (y_1^{(0)}, \dots, y_d^{(0)})$  and time bound  $T$

1. Given vector  $y^{(t)} = (y_1^{(t)}, \dots, y_d^{(t)})$ , choose a coordinate  $1 \leq i \leq d$

2. Find

$$z \simeq \arg \inf_{x_i \in \mathcal{X}_i} f(y_1^{(t)}, \dots, y_{i-1}^{(t)}, x_i, y_{i+1}^{(t)}, \dots, y_d^{(t)})$$

4. Set  $y_i^{(t+1)} = z$ , keep all other coordinates **unchanged**

5. If  $t < T$  go back to step 1.

# AM - product group actions

**Setup:** Group  $G = G_1 \times G_2 \times \cdots \times G_d$  acting on vector space  $V$  (for instance  $G_i = SL(n_i)$ ,  $V = \text{Ten}(n_1, \dots, n_d)$ ).

$$(A_1, \dots, A_d) \cdot X \stackrel{\text{def}}{=} (A_1 \otimes \cdots \otimes A_d)X$$

**Input:** given  $X \in V$ , function

$$f_X: G_1 \times \cdots \times G_d \rightarrow \mathbb{R}_{\geq 0}$$

$$f_X(A_1, \dots, A_d) = \|(A_1, \dots, A_d) \cdot X\|_2^2$$

**Goal:** find elt of min norm in  $\overline{\mathcal{O}_G(X)}$ , i.e.,  $(A_1^*, \dots, A_d^*)$  such that

$$f_X(A_1^*, \dots, A_d^*) \simeq \inf_{\substack{A_i \in G_i \\ 1 \leq i \leq d}} f_X(A_1, \dots, A_d) \stackrel{\text{def}}{=} \text{cap}(X)$$

**Null-cone problem:**  $X \in \mathcal{N}_G(V) \Leftrightarrow \text{cap}(X) = 0$

# KN'79 - Duality Theory

**Capacity (primal):** find elt of min norm in  $\overline{\mathcal{O}_G(A)}$ , i.e.,  $(A_1^*, \dots, A_d^*)$  such that

$$f_X(A_1^*, \dots, A_d^*) \simeq \inf_{\substack{A_i \in G_i \\ 1 \leq i \leq d}} f_X(A_1, \dots, A_d) \stackrel{\text{def}}{=} \text{cap}(X)$$

**Moment map**  $\mu(X)$  at  $X \in V$ , define  $h_X : G \rightarrow \mathbb{R}_{\geq 0}$  by

$$h_X(A_1, \dots, A_d) = \|\mu((A_1, \dots, A_d) \cdot X)\|_2^2$$

**Moment map (dual):** find elt in  $\overline{\mathcal{O}_G(X)}$ , i.e.,  $(A_1^*, \dots, A_d^*)$  that *minimizes norm* of moment map

$$h_X(A_1^*, \dots, A_d^*) \simeq \inf_{\substack{A_i \in G_i \\ 1 \leq i \leq d}} h_X(A_1, \dots, A_d) \stackrel{\text{def}}{=} \text{cap}_\mu(X)$$

**[KN'79]**

$$\text{cap}_\mu(X) = 0 \Leftrightarrow \text{cap}(X) > 0$$



# Non-Negative Matrices & Scaling

$X \in \text{Mat}_n(\mathbb{R}_{\geq 0})$  is **doubly stochastic (DS)** if row/column sums of  $X$  are equal to  $\mathbf{1}/n$ .

$Y$  is **scaling** of  $X$  if  $\exists$  positive  $\alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_n$  s.t.  $y_{ij} = \alpha_i x_{ij} \beta_j$ .

$X$  has DS scaling if  $\exists$  scaling  $Y$  of  $X$  s.t. all row/column sums of  $Y$  equal  $\mathbf{1}/n$ .

$X$  has approx. DS scaling if  $\forall \epsilon > 0$  there is scaling  $Y_\epsilon$  of  $X$  s.t. all row/column sums of  $Y_\epsilon$  are in  $[1/n - \epsilon, 1/n + \epsilon]$ .

1. When does  $X$  have approx. DS scaling?
2. Can we find it efficiently?

1/3	2/3
2/3	1/3



	1/2	1
1/3	2	2
1/3	4	1

# Matrix Scaling as null-cone problem

Group  $G = ST(n) \times ST(n)$  acts on  $V = Mat_n(\mathbb{C})$  by

$$\begin{pmatrix} \alpha_1 & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & \alpha_n \end{pmatrix} \cdot X \cdot \begin{pmatrix} \beta_1 & 0 & 0 \\ 0 & \ddots & 0 \\ 0 & 0 & \beta_n \end{pmatrix}$$

Let  $r_i = \frac{1}{\|X\|^2} \sum_j |x_{ij}|^2$  and  $c_j = \frac{1}{\|X\|^2} \sum_i |x_{ij}|^2$  be  $X$  “row/column sums”.

**Moment Map** (from Ankit’s talk):

$$\mu(X) = \left( r_1 - \frac{1}{n}, \dots, r_n - \frac{1}{n}, c_1 - \frac{1}{n}, \dots, c_n - \frac{1}{n} \right)$$

**Dual problem:**

$$ds(X) = \|\mu(X)\|^2 = \sum_i \left( r_i - \frac{1}{n} \right)^2 + \sum_j \left( c_j - \frac{1}{n} \right)^2$$

$X$  has approx. DS scaling iff  $\forall \epsilon > 0, \exists$  scaling  $Y_\epsilon$  s.t.  $ds(Y_\epsilon) < \epsilon$ .



# Matrix Scaling - Algorithm S

**Problem:**  $X \in \text{Mat}_n(\mathbb{C})$ ,  $\epsilon > 0$ , is there  $\epsilon$ -scaling to DS? If yes, find it.

## Algorithm S [Sinkhorn'64]:

Repeat  $k$  times:

1. Normalize rows of  $X$  (make  $r_i = 1/n$ )
2. Normalize columns of  $X$  (make  $c_j = 1/n$ )

If at any point  $\text{ds}(X) < \epsilon$ , output the scaling so far.

Else, output: **no scaling**.

## Questions:

- Are we making progress at all?
- How do we know when to stop? (i.e., choose  $k$ )
- Is there an  $\epsilon_0$  such that if can scale to  $\epsilon_0$  then can scale for any  $\epsilon$ ?

# Quantum Operators - Definition

A **quantum operator** is any map  $\mathbf{T}: \mathbf{M}_n(\mathbb{C}) \rightarrow \mathbf{M}_n(\mathbb{C})$  given by  $(A_1, \dots, A_m)$  s.t.

$$T(X) = \sum_{1 \leq i \leq m} A_i X A_i^\dagger$$

Dual of  $\mathbf{T}(X)$  is map  $\mathbf{T}^*: \mathbf{M}_n(\mathbb{C}) \rightarrow \mathbf{M}_n(\mathbb{C})$  given by:

$$T^*(X) = \sum_{1 \leq i \leq m} A_i^\dagger X A_i$$

$\mathbf{T}: \mathbf{M}_n(\mathbb{C}) \rightarrow \mathbf{M}_n(\mathbb{C})$  is **doubly stochastic** if  $T(I) = T^*(I) = I$ .

Scaling  $T_{L,R}(X)$  of  $T(X)$  consists of  $L, R \in SL(n)$  s.t.

$$(A_1, \dots, A_m) \rightarrow (LA_1R, \dots, LA_mR)$$

# Operator Scaling

**Moment Map** (from Ankit's talk):

$$\mu(T) = (T(I_n) - \alpha I_n, T^*(I_n) - \alpha I_n), \alpha = \text{tr}(T(I_n))/n$$

Distance to doubly-stochastic:

$$ds(T) \stackrel{\text{def}}{=} \|T(I_n) - \alpha I_n\|_F^2 + \|T^*(I_n) - \alpha I_n\|_F^2$$

$T(X)$  has approx. doubly stochastic scaling if

$$\inf_{T_{L,R}} ds(T_{L,R}) = 0$$

Once again, dual problem is the scaling problem!

1. When does  $(A_1, \dots, A_m)$  have approx. doubly stochastic scaling?
2. Can we find it efficiently?

# Operator Scaling - Algorithm G

**Problem:** operator  $\mathbf{T} = (A_1, \dots, A_m)$ ,  $\epsilon > 0$ , can  $\mathbf{T}$  be  $\epsilon$ -scaled to double stochastic? If yes, find scaling.

## Algorithm G [Gurvits' 04]:

Repeat  $k$  times:

1. Left normalize:  $(A_1, \dots, A_m) \leftarrow (RA_1, \dots, RA_m)$  s.t.  $\mathbf{T}(I) = \alpha I$
2. Right normalize:  $(A_1, \dots, A_m) \leftarrow (A_1 C, \dots, A_m C)$  s.t.  $\mathbf{T}^*(I) = \alpha I$

If at any point  $\mathbf{ds}(\mathbf{T}) < \epsilon$  output scaling.

Else output **no scaling**.

- Which  $k$  should we choose?
- Is there an  $\epsilon_0$  such that if can scale to  $\epsilon_0$  then can scale for any  $\epsilon$ ?

# Tensor Scaling Problem

Let  $V = \text{Ten}(n_1, \dots, n_d)$  and  $G = SL(n_1) \times \dots \times SL(n_d)$

$g = (A_1, \dots, A_d) \in G$  acts on  $X \in V$  in the natural way:

$$g \cdot X = (A_1, \dots, A_d) \cdot X \stackrel{\text{def}}{=} (A_1 \otimes \dots \otimes A_d)X$$

**Goal:** given  $X$ , find  $g^* = (A_1^*, \dots, A_d^*)$  such that

$$\|g^* \cdot X\|_2^2 \simeq \text{cap}(X) \stackrel{\text{def}}{=} \inf_{g \in G} \|g \cdot X\|_2^2$$

**Null-cone Problem:**  $\text{cap}(X) =? 0$

**Moment map (dual) Problem?**

# Quantum Setting (Previous talks)

Tensor  $X \in \text{Ten}(n_1, \dots, n_d)$  is **pure quantum state\***, can be written as  $\rho = XX^\dagger = |\psi\rangle\langle\psi|$ .

- PSD matrix,  $\dim n = n_1 \cdots n_d$ ,  $\text{tr}(\rho) = \|X\|^2$ .

Let  $\rho_i$  be **marginal** of  $\rho$  with respect to particle  $i$ .

- PSD matrix,  $\dim n_i$ ,  $\text{tr}(\rho_i) = \|X\|^2$ .

$\rho$  **d-stochastic (locally maximally entangled)** if all  $\rho_i \propto I_{n_i}$

**Quantum distillation:** given pure state  $\rho$ , is there a scaling of  $\rho$  into a d-stochastic state?

**Moment map** (from Ankit's talk):

$$\mu(X) = \left( \frac{1}{\|X\|^2} \rho_1 - \left( \frac{1}{n_1} \right) I_{n_1}, \dots, \frac{1}{\|X\|^2} \rho_d - \left( \frac{1}{n_d} \right) I_{n_d} \right)$$

**Dual Problem:**  $ds(X) = \|\mu(X)\|^2 \rightarrow dds(X) = \inf_{Y \in \mathcal{O}(X)} ds(Y)$

# Tensor Scaling - Algorithm

**Problem:**  $X \in \text{Ten}(n_1, \dots, n_d)(\mathbb{Z}[\mathbf{i}])$ ,  $\epsilon > 0$ , is there  $\epsilon$ -scaling to DS?  
If yes, find it.

## Algorithm Q [BGOWW'18]:

Start with input  $X$  and scaling  $(I_{n_1}, \dots, I_{n_d})$

Repeat  $k$  times:

1. If  $\text{ds}(X) < \epsilon$ , output the scaling so far.
2. Let  $i$  be marginal s.t.  $\left\| \frac{1}{\|X\|^2} \rho_i - \frac{1}{n_i} I_{n_i} \right\|^2 > \frac{\epsilon}{n}$
3. Normalize  $\rho_i$  (make  $\rho_i = I_{n_i}$ )

Output: **no scaling.**

## Questions:

- How do we know when to stop? (i.e., choose  $k$ )
- Is there an  $\epsilon_0$  such that if can scale to  $\epsilon_0$  then can scale for any  $\epsilon$ ?



# Analysis - General Approach

## Three steps:

1. **[Upper bound]** in beginning  $\|X\|^2 \leq \text{poly}(n, 2^b)$ 
  - Trivial from input data
2. **[Progress/step]** If  $ds(X) > \epsilon$  (i.e., far from solution to dual) then normalization decreases  $\|X\|^2$  by factor  $\times \exp(O(\epsilon/n))$  (i.e., makes progress in primal)
  - Quantitative AM-GM (easy)
3. **[Lower bound]**  $\text{cap}(X) > 0 \Rightarrow \text{cap}(X) > 1/n^2$ 
  - Invariant polynomials generated by nice poly. (hard)

$\epsilon$ -scaling problem  $\rightarrow$  running time of  $\text{poly}(nb/\epsilon)$ .

Solve null-cone prb:

Matrix/Operator scaling:  $\epsilon = O(1/n)$  is enough **[Gur'04]**

Tensor scaling:  $\epsilon = \exp(-n \log n)$  **[HM, NM'84, BGOWW'18]**

# Algorithm S - Analysis [LSW'00\*]

## Algorithm S [Sinkhorn'64]:

Repeat  $k$  times:

1. Normalize rows of  $X$  (make  $r_i = 1/n$ )
2. Normalize columns of  $X$  (make  $c_j = 1/n$ )

If at any point  $\text{ds}(X) < \epsilon$ , output the scaling so far.

Else, output: **no scaling**.

## Analysis [LSW'00\*]:

1.  $\|X\|^2 \leq \text{poly}(n, 2^b)$  as  $X$  is integer bit comp.  $b$
2.  $\text{ds}(X) > \epsilon \Rightarrow \|X\|^2$  **shrinks** by  $\exp(\mathcal{O}(\epsilon/n))$  after normlzt'n
3.  $\text{Per}(\hat{Y}) \geq 1$  for any matrix  $Y$  in orbit of  $X \Rightarrow \|Y\|^2 \geq 1/n^2$

Within  $k = \text{poly}(nb/\epsilon)$  iterations we will get our scaling!

If  $\text{Per}(\hat{X}) > 0 \Leftrightarrow X$  has no Hall blocker, so it is correct.

$\text{Per}(\hat{X})$  not needed, as any monomial encoding matching\*\* works.

# Algorithm G - Analysis [GGOW'16]

## Algorithm G [Gurvits' 04]:

Repeat  $k$  times:

1. Left normalize:  $(A_1, \dots, A_m) \leftarrow (RA_1, \dots, RA_m)$  s.t.  $T(I) = I$ .
2. Right normalize:  $(A_1, \dots, A_m) \leftarrow (A_1C, \dots, A_mC)$  s.t.  $T^*(I) = I$ .

If at any point  $\mathbf{ds}(T) < \epsilon$  output scaling.

Else output **no scaling**.

## Analysis [GGOW'16]:

1.  $\|T\|^2 \leq \text{poly}(n, 2^b)$  as  $A_i$  is integer bit comp.  $b$
2.  $\mathbf{ds}(T) > \epsilon \Rightarrow \|X\|^2$  shrinks by  $\exp(O(\epsilon/n))$  after normlzt'n
3.  $P(T_{L,R}) \geq 1$  for any  $T_{L,R}$  in orbit of  $T \Rightarrow \|T_{L,R}\|^2 \geq 1/n^2$

Within  $k = \text{poly}(nb/\epsilon)$  iterations we will get our scaling!

- Is there an  $\epsilon_0$  such that if can scale to  $\epsilon_0$  then can scale for any  $\epsilon$ ?

# Algorithm Q - Analysis [BGOWW'18]

**Problem:**  $X \in \text{Ten}(n_1, \dots, n_d)(\mathbb{Z}[i]), \epsilon > 0$ , is there  $\epsilon$ -scaling to DS?  
 $n = n_1 n_2 \cdots n_d$ .

## Analysis:

1.  $\|X\|^2 \leq \text{poly}(n, 2^b)$  as  $X$  is integer bit comp.  $b$
2.  $\text{ds}(X) > \epsilon \Rightarrow \|X\|^2$  shrinks by  $\exp(\mathcal{O}(\epsilon/n))$  after normlzt'n
3.  $P(Y) = P(X) \geq 1$  for any  $Y \in \overline{\mathcal{O}(X)} \Rightarrow \|Y\|^2 \geq 1/n^2$

Within  $k = \text{poly}(nb/\epsilon)$  iterations we will get our scaling!

## Step 3:

Invariant ring  $\mathbb{C}[X]^G$  generated by polys of:

1. degree  $\leq 2^{n^2}$  [Derksen'01]
2. Integer coefficients of norm  $\leq \text{poly}(n)$  [Pro'07, BI'13, BGOWW'18]\*

$$P(X) > 0, \deg(P) = m \Rightarrow P(X) \geq 1 \Rightarrow \|X\|^m \cdot n^{2m} \geq 1$$

# Choosing $\epsilon$ for Null-cone problem

**Problem:**  $X \in \text{Ten}(n_1, \dots, n_d)(\mathbb{Z}[\mathbf{i}])$ , is  $X \in \mathcal{N}_G(V)$ ?

## Algorithm Q [BGOWW'18]:

Start with input  $X$  and scaling  $(I_{n_1}, \dots, I_{n_d})$

Repeat  $k$  times:

1. If  $\text{ds}(X) < \epsilon$ , output the scaling so far.
2. Let  $i$  be marginal s.t.  $\left\| \frac{1}{\|X\|^2} \rho_i - \frac{1}{n_i} I_{n_i} \right\|^2 > \frac{\epsilon}{n}$
3. Normalize  $\rho_i$  (make  $\rho_i = I_{n_i}$ )

Output: **no scaling.**

## Which $\epsilon$ should we choose?

1. [Mum'65] Instability parameter for tensor – “how quickly can we drive tensor to zero”
2. [NM'84] Instability lower bounds  $\text{ds}(X)$  for any  $X$
3. Bound on instability (bound soln to LP) implies  $\text{ds}(X)$  l.b.

# Instability and $\text{ds}(\mathbf{X})$ lower bound

**[HM]:**  $\mathbf{X} \in \mathcal{N}_{\mathbf{G}}(\mathbf{V}) \Leftrightarrow$  1-PSG  $\lambda : \mathbb{C}^{\times} \rightarrow \mathbf{G}$  s.t.  $\lim_{t \rightarrow 0} \lambda(t) \mathbf{X} = \mathbf{0}$

**[Mum'65]:** how quickly does it go to zero?

$$\lambda(t) \leftarrow \left( B_i^{-1} \text{diag}(t^{a_{i1}}, \dots, t^{a_{in}}) B_i \right)_{i=1}^d,$$

$$B_i \in U(n_i), a_{ij} \in \mathbb{Z}, \sum_{j=1}^n a_{ij} = 0$$

1.  $\text{supp}((B_1, \dots, B_d) \cdot \mathbf{X}) \stackrel{\text{def}}{=} \text{set of nonzero entries}$
2. If for every  $(j_1, \dots, j_d)$  in support,  $\sum a_{ij_i} > 0$  then  $\lambda(t) \mathbf{X} \rightarrow \mathbf{0}$
3. Instability  $\text{inst}(\lambda, \mathbf{X}) = \min_{(j_1, \dots, j_d) \in \text{supp}} \frac{\sum a_{ij_i}}{\sqrt{\sum a_{ij}^2}}$

$$\text{inst}(\mathbf{X}) = \max_{\lambda \text{ 1PSG}} \text{inst}(\lambda, \mathbf{X})$$

Given 1-PSG  $\lambda : \mathbb{C}^{\times} \rightarrow \mathbf{G}$  s.t.  $\lim_{t \rightarrow 0} \lambda(t) \mathbf{X} = \mathbf{0}$  how to l.b.  $\text{inst}(\lambda, \mathbf{X})$



# Instability and $\mathbf{ds}(X)$ lower bound

1-PSG  $\lambda$  s.t.  $\lim_{t \rightarrow 0} \lambda(t) X = \mathbf{0} \Rightarrow$  following LP has soln

$$\begin{aligned} a_{ij} &\in \mathbb{Q}, \sum_{j=1}^n a_{ij} = \mathbf{0} \quad \forall i \in [d], \\ \sum_{i=1}^d a_{ij_i} &\geq \mathbf{1} \quad \forall (j_1, \dots, j_d) \text{ in support} \end{aligned}$$

Thus, has soln bounded by  $\exp(-n \cdot \log(n))$  [Sch'98]

How does  $\mathbf{inst}(X)$  l.b.  $\mathbf{ds}(X)$ ?

Easy calculation shows that for any  $(a_{ij})$

$$\min_{(j_1, \dots, j_d) \in \text{supp}(X)} \frac{\sum a_{ij_i}}{\sqrt{\sum a_{ij}^2}} \leq \sqrt{\mathbf{ds}(X)} = \sqrt{\mathbf{ds}((U_1, \dots, U_d) \cdot X)}$$

[NM'84]: this holds more general group actions

Thus  $\mathbf{ds}(X) \geq \mathbf{inst}(X)^2 \geq \exp(-2n \cdot \log(n))$ .



# Matrix and operator scaling

1-PSG  $\lambda$  s.t.  $\lim_{t \rightarrow 0} \lambda(t) X = \mathbf{0} \Rightarrow$  following LP has soln

$$\begin{aligned} a_{ij} &\in \mathbb{Q}, \sum_{j=1}^n a_{ij} = \mathbf{0} \quad \forall i \in [d], \\ \sum_{i=1}^d a_{ij_i} &\geq \mathbf{1} \quad \forall (j_1, \dots, j_d) \text{ in support} \end{aligned}$$

Thus, has soln bounded by  $\exp(-n \cdot \log(n))$  [Sch'98]

How can we better lower bound  $\mathbf{inst}(X)$  in Matrix/Operator Scaling?

Construct a small solution  $(a_{ij})$  to

$$\min_{(j_1, \dots, j_d) \in \text{supp}(X)} \frac{\sum a_{ij_i}}{\sqrt{\sum a_{ij}^2}}$$

**Hint:** use Hall blocker to find small solution.

Thus in these cases:  $\mathbf{ds}(X) \geq \mathbf{inst}(X)^2 \geq \mathbf{1}/n^2$ .

# Example - Matrix scaling

$t^2$	0	0
0	$t^{-1}$	0
0	0	$t^{-1}$

1	1	1
1	0	0
1	0	0

$t^2$	0	0
0	$t^{-1}$	0
0	0	$t^{-1}$

Common Hall blocker (shrunk subspace) in operator scaling yields same bound.

# Non-uniform marginals [BFGOWW'18]

Tensor  $X \in \mathbb{P}(\text{Ten}(n_1, \dots, n_d))$  is **pure quantum state**, can be written as  $\rho = XX^\dagger / X^\dagger X = |\psi\rangle\langle\psi|$ . Group  $G = GL(n_1) \times \dots \times GL(n_d)$

**Quantum marginal problem:** given pure state  $X$ , target marginals  $\rho_1, \dots, \rho_d$ , (unit trace) is there a scaling of  $X$  with such marginals?

(Michael's talk): membership in moment/entanglement polytope of  $X$

$$\mathbf{p}_i = \text{spec}(\rho_i), \mathbf{p} \in \Delta(X)$$

Natural attempt: is there another representation where the moment maps for image of  $X \in \text{Ten}(n_1, \dots, n_d)$  is given by  $\rho_i^X - \mathbf{p}_i$ ?

**Shifting trick** (from Michael's talk):  $\mathbf{p} = (\mathbf{p}_1, \dots, \mathbf{p}_d)$  spectrum of marginals,  $p_i = \ell_i/k$  then  $Y \stackrel{\text{def}}{=} X^{\otimes k} \otimes \mathbf{v}_{\ell^*}$  is such that

$$\mu(Y) = k \cdot \mu(X) + \mu(\mathbf{v}_{\ell^*}) = k\mu(X) + \ell^* = (\rho_i^X - \mathbf{p}_i)_{i=1}^d$$

Reduces arbitrary marginal problem to uniform case!\*

# Non-uniform Tensor Scaling - Algorithm

**Problem:**  $X \in \text{Ten}(n_1, \dots, n_d)(\mathbb{Z}[i])$ ,  $\epsilon > 0$ ,  $p_1, \dots, p_d$  target spectra.  
Is there  $\epsilon$ -scaling to  $p_1, \dots, p_d$ ? If yes, find it.

## Algorithm Q+ [BFGOWW'18]:

Start with input  $X$  and random scaling  $g = (A_1, \dots, A_d)$

Repeat  $T$  times:

1. If  $\text{ds}_p(X) < \epsilon$ , output the scaling so far.
2. Let  $i$  be marginal s.t.  $\left\| \frac{1}{\|X\|^2} \rho_i - p_{i\uparrow} \right\|^2 > \frac{\epsilon}{n}$
3. Normalize  $\rho_i$ 
  1.  $\rho_i \leftarrow p_{i\uparrow}^{-1/2} R_i$ , where  $R_i R_i^\dagger = \rho_i$
  2.  $R_i$  upper triangular (Borel)

Output: **no scaling.**

# Analysis - General Marginals

## Three steps:

1. **[Upper bound]** in beginning  $\|g_0 \cdot X\|^2 \leq \text{poly}(n, k, 2^b)$ 
  - Need  $g_0$  for HWV not to vanish w.h.p. (Michael's talk)
  - Need degree bounds on HWV to show that  $g_0$  is nice
2. **[Progress/step]** If  $ds(Y) > \epsilon$  (i.e., far from solution to dual) then normalization decreases  $\|Y\|^2$  by factor  $\times \exp(O(\epsilon/n))$  (i.e., makes progress in primal)
  - Quantitative AM-GM (easy)
  - Scale by Borel to keep HWV invariant under scaling
3. **[Lower bound]**  $\text{cap}_p(X) > 0 \Rightarrow \text{cap}_p(X) > 1/n^2$ 
  - HWVs are generated by nice poly. (hard)



Thank you!