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Globally consistent three-family Standard Models in F-theory

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Outline

I. F-theory: key ingredients

(non-)Abelian gauge symmetries, matter & Yukawa couplings

[Recent developments: global constraints on gauge symmetry
→ implications for F-theory “swampland”]

Brief

II. Particle physics model building in F-theory:

Building blocks (via toric techniques)

First globally consistent three family Standard Models

III. Landscape of three family Standard Models:

Globally consistent models via toric techniques

Highlight

IV. Outlook: work in progress & open issues

I. Global constraints on gauge symmetry in F-theory

M.C. and Ling Lin, "The Global Gauge Group Structure of F-theory Compactification with U(1)s," JHEP, arXiv:1706.08521 [hep-th]

Review:

M.C. and Ling Lin, arXiv:1809.00012[hep-th],
"TASI Lectures on Abelian and Discrete Symmetries in F-theory"

II. First three - family Standard Models:

M.C., Denis Klevers, Damián Kaloni Mayorga Peña, Paul-Konstantin Oehlmann, Jonas Reuter, "Three-Family Particle Physics Models from Global F-theory Compactifications," JHEP, arXiv:1503.02068 [hep-th],

with Z_2 matter parity:

M.C., Ling Lin, Muyang Liu and Paul-Konstantin Oehlmann,
"An F-theory Realization of the Chiral MSSM with Z_2 -Parity", JHEP, arXiv:1807.01320 [hep-th]

III. Landscape of three-family Standard Models

M.C., James Halverson, Ling Lin, Muyang Liu and Jiahua Tian,
"A Quadrillion Standard Models from F-theory,"
arXiv:1903.00009[hep-th]

I. F-theory basic ingredients

Type IIB string perspective

F-theory compactification to 4D

[Vafa'96], [Morrison, Vafa'96], ...
c.f., [review](#) [Weigand 1806.01854]

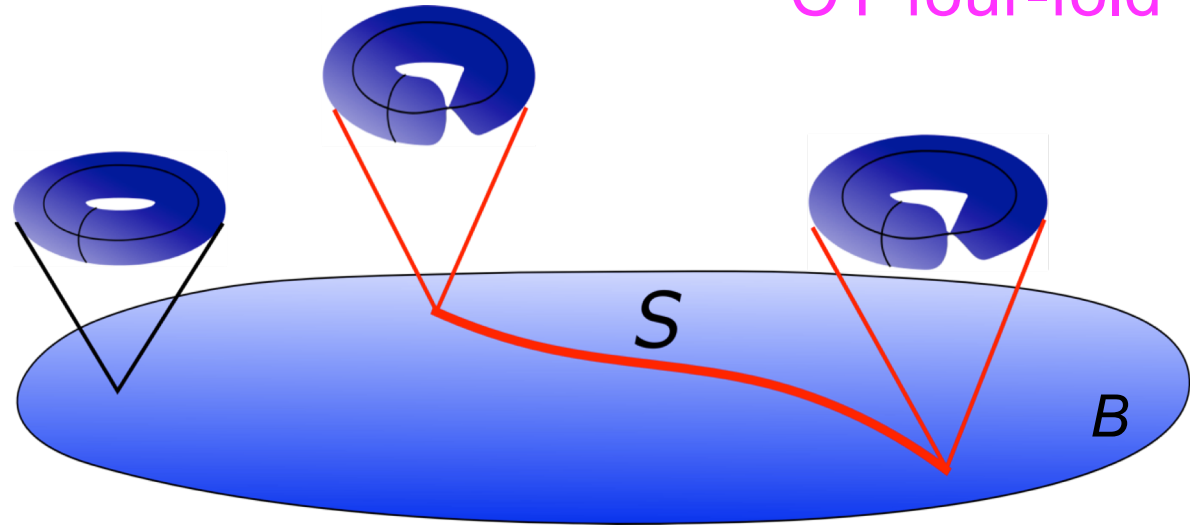
Singular elliptically fibered Calabi-Yau manifold X

CY four-fold

Modular parameter of two-torus
(elliptic curve)

[$SL(2, \mathbb{Z})$ of Type IIB]

$$\tau \equiv C_0 + ig_s^{-1}$$



Weierstrass normal form for elliptic fibration of X

$$y^2 = x^3 + fxz^4 + gz^6$$

$$(x, y, z) \simeq (\lambda^2 x, \lambda^3 y, \lambda z)$$

$[z:x:y]$ - homogeneous coordinates on $\mathbb{P}^2_{[2,3,1]}$

Calabi-Yau conditions:

B-3D Kähler

f, g - sections of $\overline{\mathcal{K}}_B^6$ and $\overline{\mathcal{K}}_B^4$ on \mathbf{B}

$[\overline{\mathcal{K}}_B]$ - anti-canonical bundle on \mathbf{B}

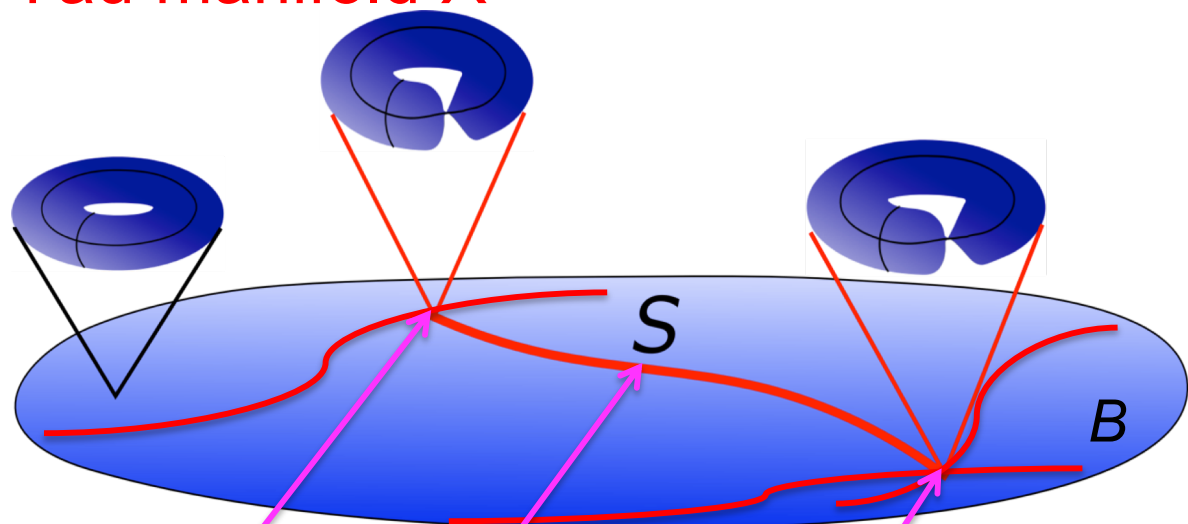
$[x:y:z]$ - sections of specific line-bundles on \mathbf{B}

F-theory compactification

Singular torus fibered Calabi-Yau manifold X

Modular parameter of two-torus
(elliptic curve)

$$\tau \equiv C_0 + ig_s^{-1}$$



Weierstrass normal form for elliptic fibration of X

$$y^2 = x^3 + fxz^4 + gz^6$$

Matter
(co-dim 2; chirality- G_4 -flux)

Yukawa couplings
(co-dim 3)

divisor- singular elliptic-fibration, $g_s \rightarrow \infty$
location of (p,q) 7-branes

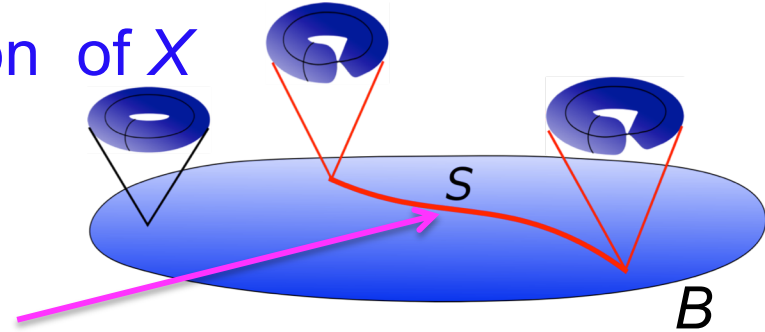
non-Abelian gauge symmetry
(co-dim 1) – ADE singularities

Non-Abelian Gauge Symmetry

[Kodaira],[Tate], [Vafa], [Morrison,Vafa],...[Esole,Yau],
[Hayashi,Lawrie,Schäfer-Nameki],[Morrison], ...

- Weierstrass normal form for elliptic fibration of X

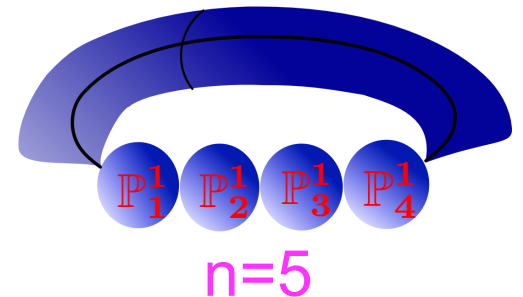
$$y^2 = x^3 + fxz^4 + gz^6$$



- Severity of singularity along divisor S in B specified by $[ord_S(f), ord_S(g), ord_S(\Delta)]$

- Resolution: structure of a tree of \mathbb{P}^1 's over S

Resolved I_n -singularity \leftrightarrow $SU(n)$ Dynkin diagram



Cartan gauge bosons: supported by $(1,1)$ form $\omega_i \leftrightarrow \mathbb{P}_i^1$ on resolved X

(via M-theory Kaluza-Klein reduction of C_3 potential $C_3 \supset A^i \omega_i$)

Non-Abelian gauge bosons: light M2-brane excitations on \mathbb{P}^1 's [Witten]

Deformation: [Grassi, Halverson, Shaneson'14-'15]

U(1)'s- Abelian Gauge Symmetry & Mordell-Weil group

rational sections of elliptic fibr. \leftrightarrow rational points of elliptic curve

Rational point Q on elliptic curve E with zero point P

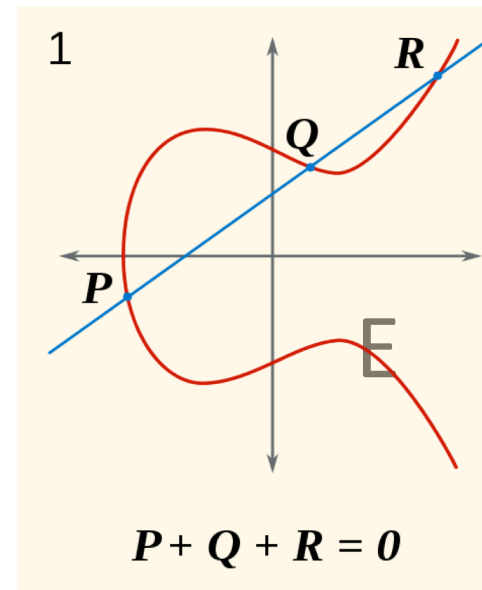
- is solution (x_Q, y_Q, z_Q) in field K of Weierstrass form

$$y^2 = x^3 + fxz^4 + gz^6$$

- Rational points form group (addition) on E

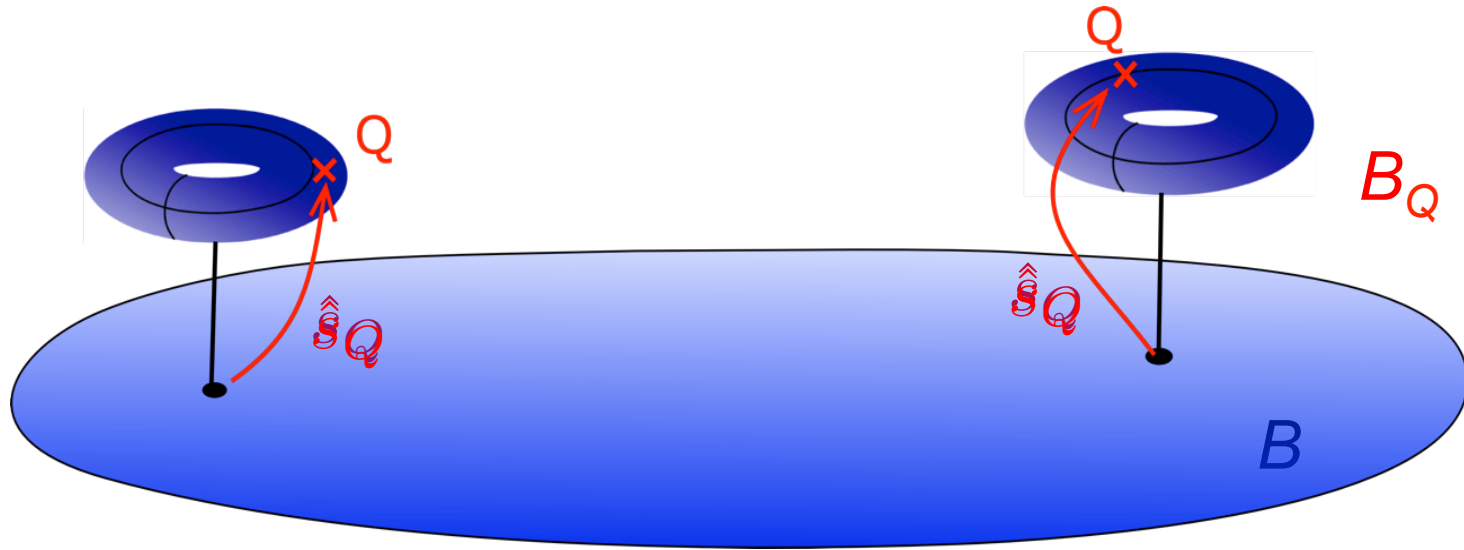


Mordell-Weil group of rational points



U(1)'s-Abelian Symmetry & Mordell-Weil Group

Point Q induces a rational section $\hat{s}_Q : B \rightarrow X$ of elliptic fibration

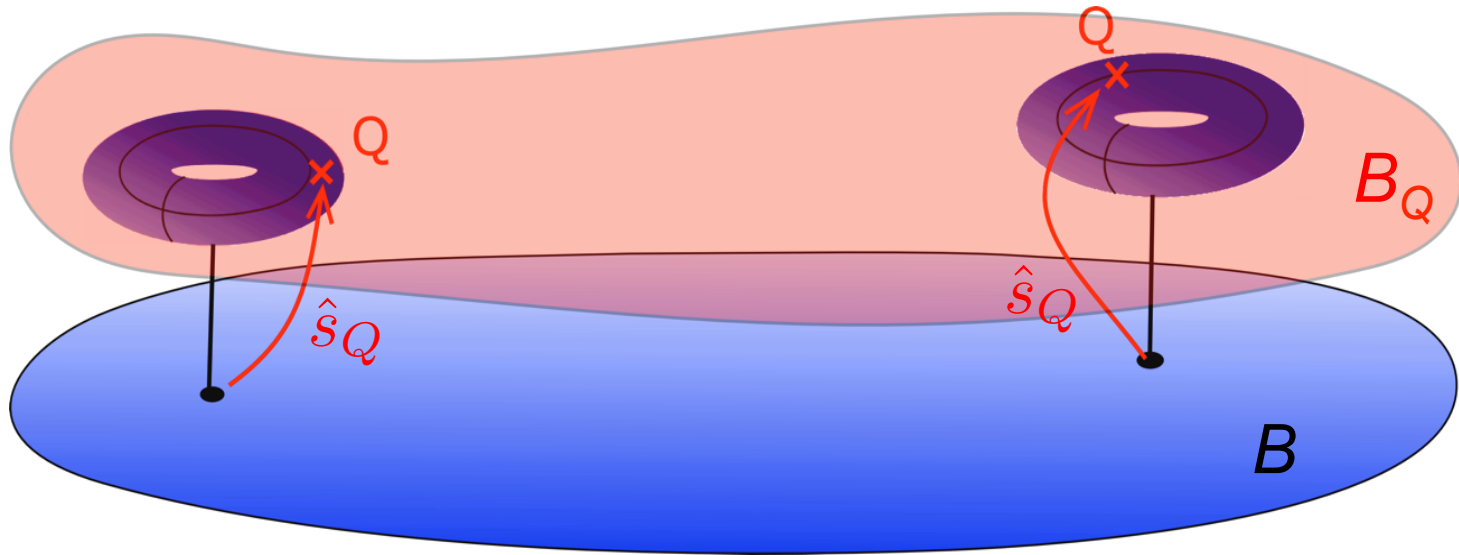


➔ \hat{s}_Q gives rise to a second copy of B in X :

new divisor B_Q in X

U(1)'s-Abelian Symmetry & Mordell-Weil Group

Point $Q \in E$ induces a rational section $\hat{s}_Q : B \rightarrow X$ of elliptic fibration



➔ \hat{s}_Q gives rise to a second copy of B in X :

new divisor B_Q in X

Shioda map of \hat{s}_Q , complementary to \mathcal{B}_P - zero section & \mathcal{E}_i - Cartan divisors:

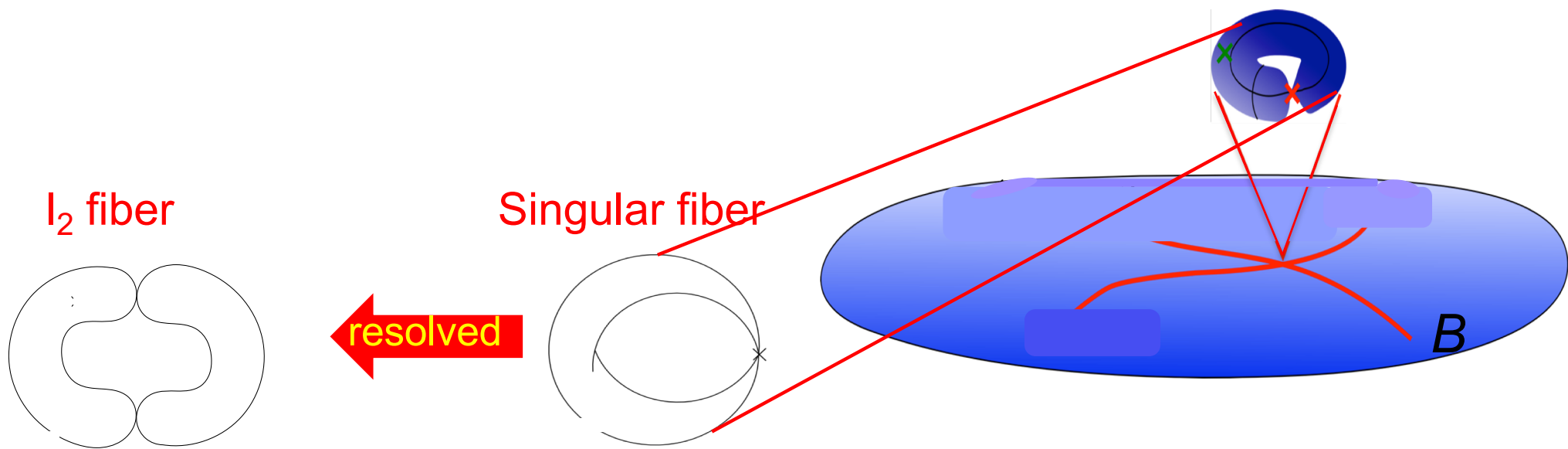
$$\sigma(\hat{s}_Q) = B_Q - B_P - \sum_i l_i E_i + \dots$$

[M.C., Lin, 1706.08521] - a bit more later

Implications for global constraints on gauge symmetry & F-theory "swampland"

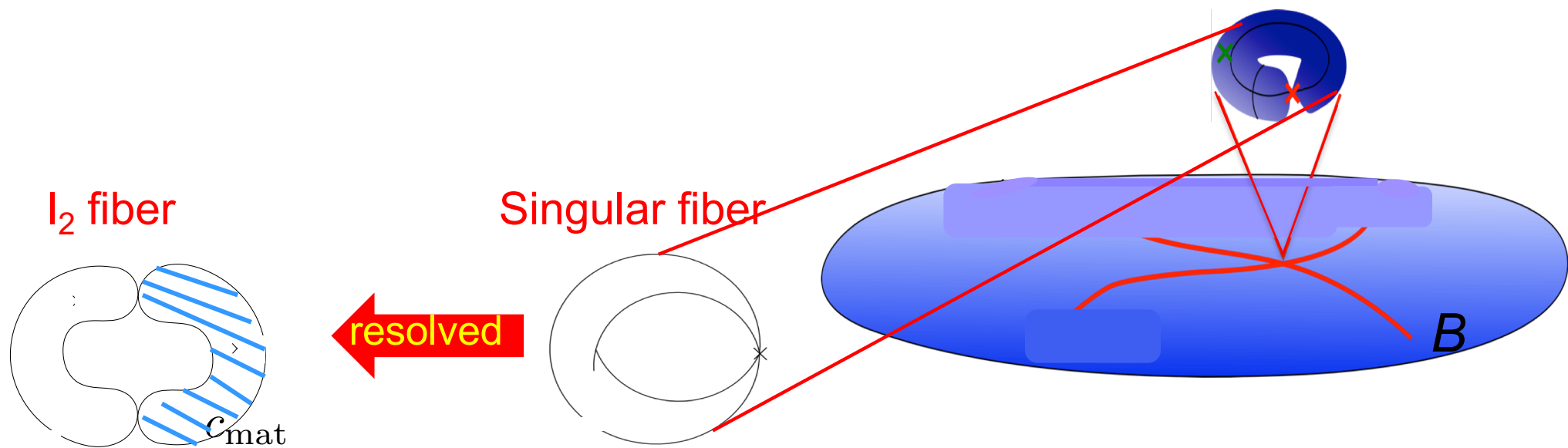
Matter

Singularity at codimension-two in B :



Matter

Singularity at codimension-two in B :



w/isolated (M2-matter) curve wrapping $\mathbb{P}^1 \rightarrow$ charged matter
(determine rep. via intersection theory)

III. Particle physics in F-theory

Globally consistent models

Initial focus: F-theory with SU(5) grand unification

[10 10 5 coupling,...] [Donagi,Wijnholt'08][Beasley,Heckman,Vafa'08]...

Model Constructions:

Local

[Donagi,Wijnholt'09-10]...[Marsano,Schäfer-Nameki,Saulina'09-11]...

Review: [Heckman]

Global

[Blumehagen,Grimm,Jurke,Weigand'09][M.C., Garcia-Etxebarria,Halverson'10]...

[Marsano,Schäfer-Nameki'11-12]...[Clemens,Marsano,Pantev,Raby,Tseng'12]...

Also SO(10) ... [Buchmüller, Dierigl, Oehlmann, Rühle'17]

Other particle physics models:

Standard Model building blocks (via toric techniques)

[Lin,Weigand'14] SM x U(1) [1604.04292]

First global 3-family Standard, Pati-Salam, Trinification models

[M.C., Klevers, Peña, Oehlmann, Reuter, 1503.02068]

Global 3-family Standard Model with Z_2 matter parity

[M.C., Lin, Liu, Oehlmann, 1807.01320]

Construction of elliptically fibered Calabi-Yau manifold

i. Elliptic curve E

Examples of constructions via toric techniques:

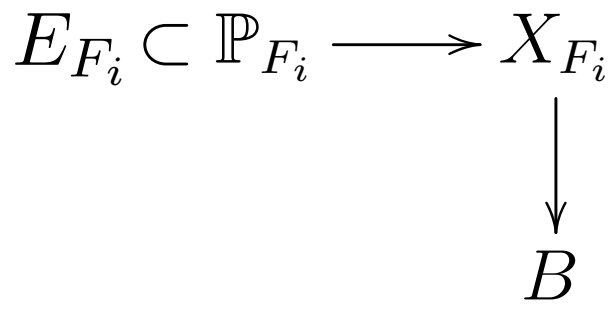
E_{F_i} as a Calabi-Yau hypersurface in the two-dimensional toric variety \mathbb{P}_{F_i} , [generalized weighted projective spaces, associated with 16 reflexive polytopes F_i]:

c.f., [Klevers, Pena, Oehlmann, Piragua, Reuter '14]

$$E_{F_i} = \{p_{F_i} = 0\} \text{ in } \mathbb{P}_{F_i}$$

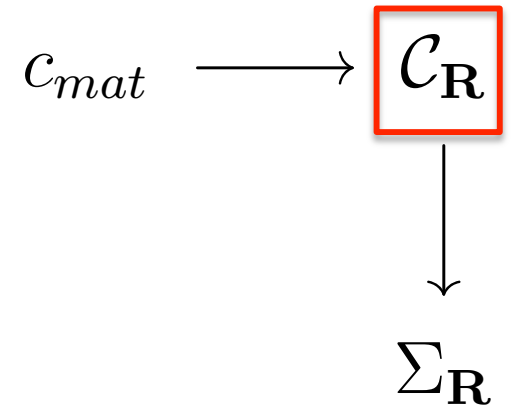
ii. Elliptically fibered Calabi-Yau space: X_{F_i}

Impose Calabi-Yau condition:
coordinates in \mathbb{P}_{F_i} and coeffs. of E_{F_i} lifted to
sections of specific line-bundles on B



iii. Chiral index for D=4 matter:

$$\chi(\mathbf{R}) = \int_{\mathcal{C}_{\mathbf{R}}} G_4$$



a) construct G_4 flux by computing $H_V^{(2,2)}(\hat{X})$

[so-called vertical fluxes – do not induce Gukov-Vafa-Witten potential]

b) determine matter surface $\mathcal{C}_{\mathbf{R}}$ (via resultant techniques)

iv. Global consistency – D3 tadpole cancellation:

$$\frac{\chi(X)}{24} = n_{D3} + \frac{1}{2} \int_X G_4 \wedge G_4$$

a) satisfied for integer and positive n_{D3}

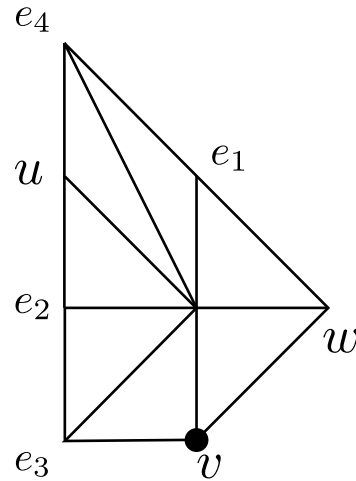
b) constraint on integer valued flux G_4

$$G_4 + \frac{1}{2} c_2(X) \in H^4(\mathbb{Z}, \hat{X})$$

Standard Model

[M.C., Klevers, Peña, Oehlmann, Reuter, 1503.02068]

F_{11} polytope



P_{F11}
 $\mathbb{P}^2 [u:v:w]$ with four non-generic
blow-ups $[e_1:e_2:e_3:e_4]$

Elliptic curve:

$$p_{F_{11}} = s_1 e_1^2 e_2^2 e_3 e_4^4 u^3 + s_2 e_1 e_2^2 e_3^2 e_4^2 u^2 v + s_3 e_2^2 e_3^2 u v^2 + s_5 e_1^2 e_2 e_4^3 u^2 w + s_6 e_1 e_2 e_3 e_4 u v w + s_9 e_1 v w^2$$

hypersurface constraint in P_{F11}



Construction of Calabi-Yau four-fold

Construction of Calabi-Yau four-fold

$$E_{F.} \subset \mathbb{P}_{F.} \longrightarrow X_{F.}$$

$$\downarrow$$

$$B$$

Coordinates and $s_i \rightarrow$ sections of line-bundles of the base B
 [Toric techniques via Stanley-Reisner ideal] \rightarrow

Section	Line Bundle
u	$\mathcal{O}(H - E_1 - E_2 - E_4 + \mathcal{S}_9 + [K_B])$
v	$\mathcal{O}(H - E_2 - E_3 + \mathcal{S}_9 - \mathcal{S}_7)$
w	$\mathcal{O}(H - E_1)$
e_1	$\mathcal{O}(E_1 - E_4)$
e_2	$\mathcal{O}(E_2 - E_3)$
e_3	$\mathcal{O}(E_3)$
e_4	$\mathcal{O}(E_4)$

section	Line Bundle
s_1	$\mathcal{O}_B(3[K_B^{-1}] - \mathcal{S}_7 - \mathcal{S}_9)$
s_2	$\mathcal{O}_B(2[K_B^{-1}] - \mathcal{S}_9)$
s_3	$\mathcal{O}_B([K_B^{-1}] + \mathcal{S}_7 - \mathcal{S}_9)$
s_4	$\mathcal{O}_B(2\mathcal{S}_7 - \mathcal{S}_9)$
s_5	$\mathcal{O}_B(2[K_B^{-1}] - \mathcal{S}_7)$
s_6	K_B^{-1}
s_7	$\mathcal{O}_B(\mathcal{S}_7)$
s_8	$\mathcal{O}_B([K_B^{-1}] + \mathcal{S}_9 - \mathcal{S}_7)$
s_9	$\mathcal{O}_B(\mathcal{S}_9)$
s_{10}	$\mathcal{O}_B(2\mathcal{S}_9 - \mathcal{S}_7)$

H - hyperplane divisor;
 K_B^{-1} -anti-canonical divisor $\bar{\mathcal{K}}$

Fibration depends only on additional \mathcal{S}_7 and \mathcal{S}_9 divisor classes

Construction of Calabi-Yau four-fold \rightarrow Divisors

$$E_{F_{11}} \subset \mathbb{P}_{F_{11}} \longrightarrow X_{F_{11}} \downarrow B$$

Over the locus $s_3 = 0 \rightarrow$ fiber degenerates to I_2 - fiber \rightarrow $SU(2)$

Over the locus $s_9 = 0 \rightarrow$ fiber degenerates to I_3 - fiber \rightarrow $SU(3)$

Cartan divisors of these gauge groups:

$$E_1^{SU(2)} = [e_1], \quad E_1^{SU(3)} = [e_2] \quad E_2^{SU(3)} = [u]$$

Two rational sections:

$$[u : v : w : e_1 : e_2 : e_3 : e_4]$$

$$\hat{s}_0 = X_{F_{11}} \cap \{v = 0\} : [1 : 0 : s_1 : 1 : 1 : -s_5 : 1] \text{ - zero section}$$

$$\hat{s}_1 = X_{F_{11}} \cap \{e_4 = 0\} : [s_9 : 1 : 1 : -s_3 : 1 : 1 : 0] \text{ - section associated with } U(1)$$



Standard Model gauge symmetry: $SU(3) \times SU(2) \times U(1)$

Standard Model Gauge Symmetry & Matter Reps.

gauge algebra $\mathfrak{su}(3) \oplus \mathfrak{su}(2) \oplus \mathfrak{u}(1)$

[M.C., Lin, 1706.08521]

Shioda map:

C-central element

$$\sigma(\hat{s}_1) = S_1 - S_0 + \frac{1}{2} E_1^{\mathfrak{su}(2)} + \frac{1}{3} (2 E_1^{\mathfrak{su}(3)} + E_2^{\mathfrak{su}(3)})$$

Matter:

$$(\mathbf{3}, \mathbf{2})_{\frac{1}{6}}, \quad (\mathbf{1}, \mathbf{2})_{-\frac{1}{2}}, \quad (\bar{\mathbf{3}}, \mathbf{1})_{-\frac{2}{3}}, \quad (\bar{\mathbf{3}}, \mathbf{1})_{\frac{1}{3}}, \quad (\mathbf{1}, \mathbf{1})_1$$

Compatible with the Z_6 global constraint



Construct G_4 for chiral index & D3-tadpole constraint

Standard Model:

Hyperplane divisor class

$$H=4\bar{\mathcal{K}}$$

Base $B = \mathbb{P}^3$ Divisors in the base:

$$\mathcal{S}_7 = n_7 H$$

$$\mathcal{S}_9 = n_9 H$$

$$n_7, n_9 \in \mathbb{Z}$$

Solutions $(\#(\text{families}); n_{D3})$ for allowed (n_7, n_9) :

$n_7 \setminus n_9$	1	2	3	4	5	6	7
7	—	(27; 16)	—	—	—	—	—
6	—	(12; 81)	(21; 42)	—	—	—	—
5	—	—	(12; 57)	(30; 8)	—	(3; 46)	—
4	(42; 4)	—	(30; 32)	—	—	—	—
3	—	(21; 72)	—	—	—	(15; 30)	—
2	(45; 16)	(24; 79)	(21; 66)	(24; 44)	(3; 64)	—	—
1	—	—	—	—	—	—	—
0	—	—	(12; 112)	—	—	—	—
-1	(36; 91)	(33; 74)	—	—	—	—	—
-2	—	—	—	—	—	—	—

Further features:

Higgs doublets - vector pairs

[C_3 w/ $G_4 = dC_3 = 0$, encoded in the intermediate Jacobian of Y ;
higher genus matter curves \rightarrow hard to calculate]

c.f., [Bies, Mayrhofer, Weigand, 1706.04616]

Vector exotics [ditto; but for quark doublets $g=1 \rightarrow n \leq 1$]

Yukawa Couplings (co-dimension 3 singularities)

expected to be generically there for all gauge invariant couplings \rightarrow could lead to R-parity violation couplings



Three Family Standard Model with Z_2 matter symmetry

[M.C., Lin, Liu, Oehlmann, 1807.01320]

Polytope F_2 (biquadric in $\mathbb{P}^1 \times \mathbb{P}^1$; fibration with bi-section $\rightarrow Z_2$;
further specialize fibration to obtain SM

Skip details

III. Landscape of Standard Models

Toric analysis

[M.C., J. Halverson, L. Lin, M. Liu and J. Tian, arXiv:1903.0009]

a) Take the same toric elliptic fibration as before:

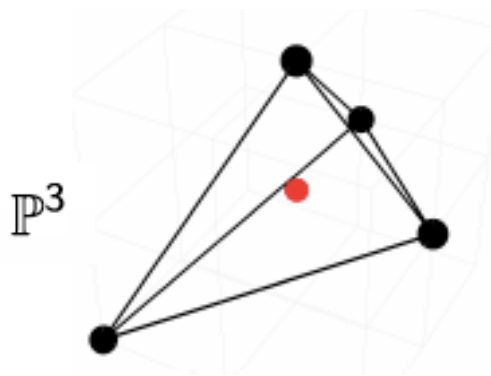
hyperplane constraint in 2D reflexive polytope F_{11} (dP_4):

Gauge symmetry: $\frac{SU(3) \times SU(2) \times U(1)}{\mathbb{Z}_6}$

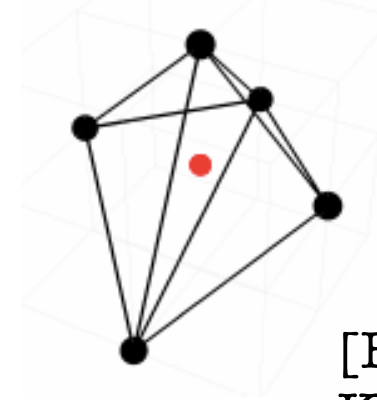
Global Gauge Symmetry

b) Take bases B , associated with 3D reflexive polytopes.

E.g.,



$\mathbb{P}^2 \times \mathbb{P}^1$



[Batyrev;
Kreuzer-Skarke]

For each reflexive polytope, different bases B are associated with different fine-star-regular triangulations of a chosen polytope.

[Triangulations determine intersections of divisors.]

Triangulations grow exponentially with the complexity of a polytope.

c) Specific choice of divisors: $S_{7,9} = \overline{\mathcal{K}}$

[anti-canonical divisor of the base B – fixed by the polytope]

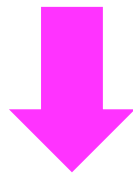
SU (3) and SU (2) divisors S_9 and S_3 with class $\overline{\mathcal{K}}$ →

$$g_{3,2}^2 = 2/\text{vol}(\overline{\mathcal{K}})$$

U(1) - (height-pairing) divisor volume $5\overline{\mathcal{K}}/6$ →

(accounting for a factor of 2 mismatch w/ Cartan generators)

$$\frac{5}{3} g_Y^2 = \frac{2}{\text{vol}(\overline{\mathcal{K}})}$$



Standard Model with gauge coupling unification

$$g_3^2 = g_2^2 = 5/3 g_Y^2$$

Fibration connected to SU(4)xSU(2)xSU(2) Pati-Salam
[but did not find manifest SO(10) GUT]

c.f., [M.C., Klevers, Peña, Oehlmann, Reuter, 1503.02068]

d) Remaining conditions:

iii. 3-families of quarks and leptons

iv. D3-tadpole constraints

G_4 in terms of (1,1)-forms, Poincaré dual to divisor classes:

$$G_4(a, \omega) = a G_4^a + \pi^* \omega \wedge \sigma$$
$$G_4^a = [e_4] \wedge ([e_4] + \pi^*[s_6])$$
$$+ \frac{[e_1] \wedge \pi^*[s_9]}{2} + \frac{\pi^*[s_3] \wedge ([e_2] + 2[u])}{3}$$
$$\omega \in H^{1,1}(B_3)$$

Chirality, D3 tadpole and G_4 integrality expressed in terms of intersection numbers of divisors in the base \rightarrow

Geometric conditions:

In the case $S_{7,9} = \bar{\mathcal{K}}$ and 3-families it reduces to:

$$\chi(\mathbf{R}) = -\frac{a}{5} \int_{B_3} \bar{\mathcal{K}} \wedge \bar{\mathcal{K}} \wedge \bar{\mathcal{K}} =: -\frac{a}{5} \bar{\mathcal{K}}^3$$

$$n_{D3} = 12 + \frac{5}{8} \bar{\mathcal{K}}^3 - \frac{45}{2\bar{\mathcal{K}}^3} \in \mathbb{Z}_{\geq 0}$$

Depends only on polytope and not on triangulation!

Landscape count:

$$12 + \frac{5}{8}\overline{\mathcal{K}}^3 - \frac{45}{2\overline{\mathcal{K}}^3} \in \mathbb{Z}_{\geq 0}$$

- Out of 4319 3D reflective polytopes → 708 satisfy the constraint.
(many of them with large number of lattice points)

- **Triangulation of polytopes** can be handled **combinatorially**.
(each corresponds to a different bases B)

It can be implemented on computer, e.g., in SageMath:

- i) for 237 polytopes w/ < 15 lattice points → 414310 MSSM models
- ii) for 471 polytopes w/ ≥ 15 lattice points →
exponentially growing computation time



c.f., [Halverson, Tian, 1610.08864]

- **Provide bound:** counting via fine-regular triangulation of each facets → estimate on regular fine-star-triangulation:

$$7.667 \times 10^{13} \lesssim N_{SM}^{\text{toric}} \lesssim 1.622 \times 10^{16}$$

(dominated by \mathcal{P}_8 polytope)

Outlook

Work in progress:

Number of vector pairs:

Higgs doublets on matter curves w/ $g = 1 + 9/2\overline{\mathcal{K}}^3 > 0$

→ technically difficult

→ number of vector exotics

work in progress, M.C., Bies, Lin, Liu

Continuous Data:

Yukawa Couplings [ratios and overall magnitudes]

work in progress, M.C., Lin, Liu, Zoccarato, Zhang

Expected to have R-parity suppressed couplings as it is a Higgs from Pati-Salam.



Outstanding issues:

moduli stabilization, ...supersymmetry breaking, ...

Further studies

Thank you!