A Lattice Problem in Quantum NP

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Abstract

We consider $coGapSVP_{\sqrt{n}}$, a gap version of the shortest vector in a lattice problem. This problem is known to be in $AM \cap coNP$ but is not known to be in NP or in MA. We prove that it lies inside QMA, the quantum analogue of NP. This is the first non-trivial upper bound on the quantum complexity of a lattice problem.

The proof relies on two novel ideas. First, we give a new characterization of QMA, called QMA+. Working with the QMA+ formulation allows us to circumvent a problem which arises commonly in the context of QMA: the prover might use entanglement between different copies of the same state in order to cheat. The second idea involves using estimations of autocorrelation functions for verification. We make the important observation that autocorrelation functions are positive definite functions and using properties of such functions we severely restrict the prover's possibility to cheat. We hope that these ideas will lead to further developments in the field.

1 Introduction

The field of quantum algorithms has witnessed several important results (e.g., [11, 24, 23, 6, 4]) in the last decade, since the breakthrough discovery of Shor's quantum algorithm for factoring and discrete logarithm in 1994 [21]. Despite these important developments, two problems in particular had little progress in terms of quantum algorithms: graph isomorphism (GI), and gap versions of lattice problems such as the shortest vector in the lattice problem (GapSVP) and the closest vector in the lattice problem (GapCVP).

To understand why these problems are interesting in the context of quantum computation, let us first recall their definitions and what is known about them classically. Graph isomorphism is the problem of deciding whether two given graphs can be permuted one to the other. It is known to be in $NP \cap coAM$ [8] and therefore, it is not NP complete unless the polynomial hierarchy collapses. $GapSVP_{\beta(n)}$ is the problem of deciding whether the shortest vector in a given n-dimensional lattice L is shorter than 1 or longer than $\beta(n)$. $GapCVP_{\beta(n)}$ is the following problem: Given a lattice and a vector v, decide whether $d(v,L) \leq 1$ or $d(v,L) > \beta(n)$ where d(v,L) is the minimal distance between v and any point in L. Both problems have important cryptographic applications [16]. Regarding their complexity, it is easy to see that they both lie in NP for any $\beta(n) \geq 1$. The results of Lagarias et al. [14] imply that when $\beta(n) = \Omega(n)$, both problems are in coNP. For $\beta(n) = \Omega(\sqrt{n/\log(n)})$ these lattice problems are not known to be in coNP but as shown in [7], they are in coAM (and in fact in the class Statistical Zero Knowledge). This implies that for $\beta(n) = \Omega(\sqrt{n/\log(n)})$ the problems are not NP complete unless the polynomial hierarchy collapses.

The fact that the graph isomorphism problem and the two lattice problem with the above parameters are very unlikely to be NP complete, and that they possess a lot of structure, raised the hope that quantum computers might be able to solve them more efficiently than classical computers. Despite many attempts, so far all that is known in terms of the quantum complexity of these problems are reductions to problems for

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which quantum algorithms are also not known [2, 17, 5], and negative results regarding possible approaches [10, 18]. Progress in designing an algorithm for one of these problems is the holy grail of quantum algorithmic theory.

In light of the difficulty of finding efficient algorithms for these problems, a weaker question attracted attention: can any quantum upper bound be given on these problems, which does not follow trivially from the classical upper bounds? Regarding graph isomorphism, which is known to be in coAM, the natural question to ask is whether it is in coQMA, the quantum analog of coNP. It is more natural to speak in this context, and in the rest of the paper, about the *complements* of the problems we described, and so the question is whether the graph *non*-isomorphism (GNI) problem lies inside QMA. QMA can be viewed as the quantum analog of NP, and was recently studied in various papers [13, 24, 1, 12, 22]. Strictly speaking, QMA is actually the analog of Merlin Arthur, the probabilistic version of NP, since in the quantum world it is more natural to consider probabilistic classes. Attempts to prove that GNI is in QMA have so far failed. As for lattice problems, since $NP \subseteq QMA$, it follows from the classical result [14] that if $\beta(n) = \Omega(n)$ the complements of the problems we described, namely coGapCVP and coGapSVP, lie in QMA. The interesting question, however, is whether these problems are still in QMA for lower gaps, such as $\beta(n) = \Omega(\sqrt{n})$. Notice that this does not follow from the classical results.

1.1 Results

In this paper we solve the question of containment in QMA for one of the aforementioned problems. This is the first non trivial quantum upper bound for a lattice problem.

Theorem 1.1 The problem $coGapSVP_{c\sqrt{n}}$ is in QMA for some constant c > 0.

One of the new ideas in the proof of Theorem 1.1 is the important connection between quantum estimations of inner products, or autocorrelation estimates, and properties of positive definite functions. The technique of using positive definite functions to analyze quantum protocols is likely to prove useful in other contexts, due to its generality: the property of positive definiteness applies to autocorrelation functions over any group, and not only over \mathbb{R}^n as in our case.

Another important issue in the proof Theorem 1.1 is a problem that arises commonly in the analysis of QMA protocols. Namely, in certain situations, we would like to repeat a test on several copies of the witness but the prover might use entanglement between the copies in order to cheat. We circumvent this problem by giving a new characterization of QMA, named QMA+. We start by proving that indeed QMA=QMA+ and then, using this new characterization, we prove the soundness of our protocol.

1.2 Open Questions

Hopefully, both the new characterization of QMA and the new technique of verification using positive definite functions will help in proving that other important problems such as GNI and $coGapCVP_{\sqrt{n}}$ lie in QMA.

In more generality, in this work we gain a better understanding of the class QMA and the techniques used to analyze it. We hope that this work will lead to an even better understanding of this important class. Understanding classical NP led to a few of the most important results in theoretical computer science, including PCP and hardness of approximation. A few indications that QMA is fundamental for quantum computation have already been given in [1, 3].

Our results might also lead to progress in terms of quantum algorithms for lattice problems. In this context, it is interesting to consider Theorem 1.1 in light of a recent paper by Aharonov and Ta-Shma [2]. [2] showed that if the state we use as the quantum witness in the QMA protocol can be generated efficiently, it can be used to provide a BQP algorithm for the lattice problem. The result we present here shows that certain properties of the state of [2] can be verified efficiently, which might be a stepping stone towards understanding how to generate the state efficiently, thus providing an efficient algorithm for the lattice problem.

Finally, we mention that similar techniques to the one used in the proof of QMA = QMA+, might also prove useful in other contexts, for example for proving security of quantum cryptographic protocols.

1.3 Outline of the Paper

The paper starts with an overview of the proof. We continue with preliminaries in Section 3. The proof of Theorem 1.1 is obtained by combining three theorems. The proof of each of the theorems is independent and is presented in a separate section. First, in Section 4 we define the class QMA+ and show that it is equal to QMA. Then, in Section 5, we show that coGapCVP', a version of coGapCVP, is in QMA+. Finally, in Section 6 we show that if coGapCVP' is in QMA then so is coGapSVP.

2 Overview of the Proof

Assume we are given a witness which we would like to verify. Usually, we apply a certain unitary transformation and measure the output qubit. If the witness is correct, the outcome should be 1. Hence, we reject if the outcome is 0. Consider, however, a situation where our unitary transformation is such that for the correct witness the outcome is 1 with probability p, for some p > 0. Thus, it is natural to consider the following stronger test: we apply a unitary transformation and accept if the *probability* of measuring 1 is close to some number p. We call a verifier that performs such tests a super-verifier and denote the corresponding class by QMA+. Our first theorem is

Theorem 2.1 QMA = QMA +

Showing that QMA is contained in QMA+ is easy; essentially, the super-verifier can say that the probability of measuring 1 should be close to p=1. The other direction is more interesting. Given a super-verifier we can construct a verifier that accepts a witness which is composed of many copies of the original witness. The verifier can then apply the unitary transformation to each one of the copies and measure the results. Finally, it can compute the fraction of times 1 was measured and check if it is close to p. Indeed, if the prover does not cheat and sends many copies of the original witness we should measure 1 in around a p fraction of the measurements. However, it seems that the prover might be able to cheat by using entanglement between the different copies. Using the Markov inequality, we show that this is impossible.

Next, we show

Theorem 2.2 The problem $coGapCVP'_{c\sqrt{n}}$ is in QMA+ for some constant c > 0.

 $coGapCVP'_{\beta(n)}$ is a variant of $coGapCVP_{\beta(n)}$ where we are given the additional promise that the shortest vector in L is longer than $\beta(n)$. The proof of this theorem is very involved, but the idea is as follows.

The correct quantum witness $|\xi\rangle$ for coGapCVP', i.e., the witness in case v is far from the lattice, is defined as follows (a similar state appears in [2] which can be seen as the quantum analogue of the probability distribution of [7]). Consider the 'probability distribution' obtained by choosing a random lattice point and adding to it a Gaussian of radius \sqrt{n} . We define $|\xi\rangle$ as the superposition corresponding to this probability distribution. See Figure 1. Actually, the state $|\xi\rangle$ cannot be defined as above, since we cannot represent a point in \mathbb{R}^n with infinite precision, so we need to work over a very fine grid. Moreover, the number of grid points in \mathbb{R}^n is infinite. Hence, we restrict the state to grid points inside the basic parallelepiped of the lattice. We will define this formally later; it is best to keep in mind the continuous picture.

Given this superposition, for some constant c, solving $coGapCVP'_{c\sqrt{n}}$ (and in fact also $coGapCVP_{c\sqrt{n}}$) is easy: it is done by estimating the inner product of the above state with the same state shifted by v. If $d(v,L) \geq c\sqrt{n}$ then the inner product is almost zero since the Gaussians and their shifted version do not intersect. If $d(v,L) \leq 1$, the inner product is large since the two states are almost the same. To show containment in QMA+, we will use this state as the correct witness. Hence, it remains to show how a



Figure 1: The quantum witness

super-verifier can verify that the prover is not cheating. Cheating in this context means that $d(v, L) \leq 1$ but the prover claims that $d(v, L) \geq c\sqrt{n}$ and sends some witness which is not necessarily the correct witness.

We now define the verification process. Define h(x) to be the real part of the inner product of the given witness state with itself shifted by x. We call h the autocorrelation function of the witness. It is a function from \mathbb{R}^n to \mathbb{R} such that h(0) = 1. We define g to be the same, for the correct witness $|\xi\rangle$. An important property of h is that for any x, there exists a quantum circuit whose probability of outputting 1 is directly related to h(x). Hence, since a super-verifier can check the probability of outputting 1, it can effectively check that h(x) is close to some value. Since we expect to see the correct witness, we construct a super-verifier that checks that h(x) is close to g(x) for some vectors x. More precisely, with probability half the super-verifier chooses the vector v and otherwise it randomly chooses a polynomially short vector.

In order to complete the description of the super-verifier, we have to show that it can compute g(x) for the points chosen above. Later in the paper we analyze the function g and it turns out to have a familiar form: it is very close to a periodic Gaussian, like the one shown in Figure 1. Therefore, g(v) is approximately zero since v is far from the lattice and g(x) for short vectors x has the form $e^{-\|x\|^2}$. In both cases, the super-verifier knows the value of g and can therefore perform the verification procedure described above. We remark that analyzing g involves some technical calculations; It is here that we need the assumption that the shortest vector in the lattice is large, so that the Gaussians are well separated and do not interfere with each other.

The proof of soundness of this test uses the observation that autocorrelation functions are necessarily positive definite. A function f is positive definite (PD) if for any $k \geq 1$ and any k points $x_1, \ldots, x_k \in \mathbb{R}^n$, the $k \times k$ matrix M defined by $M_{i,j} = f(x_i - x_j)$ is positive semidefinite. Notice that no matter what witness the prover gives, the function k must be PD since it is an autocorrelation function. We will complete the proof by showing that no PD k exists which passes the above test if k0, k1, i.e., no PD function exists which is both close to 0 at a vector k1 whose distance to k2 is at most k3, and also close to a Gaussian at many randomly chosen points polynomially close to the origin.

Why doesn't such a PD function exist? Intuitively, our proof relies on certain non-local behaviors of positive definite functions. Namely, we will show that changing the value of a PD function at even one point affects the function at many other points. We assume that h(v) is close to 0 and $d(v, L) \le 1/3$. Let w be a point which is equal to v modulo the lattice (i.e., $w - v \in L$) such that $||w|| \le 1/3$. Such a point exists since $d(v, L) \le 1/3$. As we will see later, we can guarantee that h is periodic on the lattice and hence h(w) = h(v) is close to 0. We start with a simple property of positive definite functions which can be obtained from using 3×3 matrices in the definition: if h(w) is close to 0 then h(w/2) is at most 3/4 and similarly, h(w/4) is at most 15/16. By repeating the argument we derive an upper bound on h(y) where $y = w/2^k$ for some k > 0. The point y is polynomially close to the origin and the upper bound is much smaller than the correct Gaussian value, g(y). This shows that the super-verifier can detect a cheating prover by choosing the point y.

However, the super-verifier does not know where v is relative to the lattice and therefore he cannot compute w or y. The probability that our randomly chosen point happens to be y is negligible.

Thus, we will have to derive stronger properties of the function h. These will be obtained by considering the positive definite condition with 4×4 matrices. Essentially, we will show that for any point x which is almost orthogonal to y, it cannot be that h(x), h(x+y) and h(x-y) are all close to their correct values g(x), g(x+y), g(x-y). This means that one of the points in the triple x, x+y, x-y is such that the verifier detects a cheating prover by choosing it. Using the fact that y was chosen to be polynomially short, we will argue that all three points in a triple have roughly the same probability to be chosen by the verifier. Hence, a cheating prover is caught with non-negligible probability, and the soundness of the protocol follows.

Curiously, it seems essential in our proof to use Gaussians and not spheres. This is unlike the classical proof of [7] that seems to work both with spheres and with Gaussians. Essentially, the difference between the two distributions is in the behavior of their autocorrelation functions. For Gaussians, the autocorrelation with a short vector x behaves like $h(x) \approx 1 - c_1 ||x||^2$ while for spheres it behaves like $h(x) \approx 1 - c_2 ||x||$ where c_1, c_2 are some constants. In the proof, using properties of positive definite functions obtained from 4×4 matrices, we obtain an upper bound of the form $h(x) \le 1 - c' ||x||^2$ for some constant $c' > c_1$. This yields a contradiction since $1 - c' ||x||^2 < 1 - c_1 ||x||^2$. However, if we used spheres, we would not obtain any contradiction since $1 - c' ||x||^2 > 1 - c_2 ||x||$ for short vectors x.

To complete the proof of Theorem 1.1, we need the final theorem:

Theorem 2.3 For any $\beta = \beta(n) > 1$, if $coGapCVP'_{\beta}$ is in QMA then so is $coGapSVP_{\beta}$.

The proof of this theorem uses an idea similar to [9]. Essentially, an instance of $coGapSVP_{\beta}$ can be translated into n instances of $coGapCVP'_{\beta}$. If there is no short vector in the original lattice then in all the CVP instances the target vector is far from the lattice. Otherwise, if there exists a short vector then in at least one of the CVP instances, the target vector is close to the lattice. Based on this idea, we construct a quantum verifier for $coGapSVP_{\beta}$. The witness it expects to see is a concatenation of the n witnesses of the corresponding $coGapCVP'_{\beta}$ problems. It applies a $coGapCVP'_{\beta}$ verifier to each one of the copies and accepts if and only if they all accept.

3 Preliminaries

3.1 Definitions

For $\alpha \in \mathbb{R}$, define $\mu(\alpha)$ as $e^{-\pi\alpha^2}$. For any $x \in \mathbb{R}^n$, we will often denote $\mu(||x||)$ by $\mu(x)$. Let B_n denote the n-dimensional unit ball and let ω_n denote its volume. For a vector $x \in \mathbb{R}^n$ let x^{\perp} denote the n-1 dimensional subspace orthogonal to x. For a vector $x \in \mathbb{R}^n$ and a subspace S let $P_S(x)$ denote the projection of x on the subspace S. We will slightly abuse notation by denoting the projection of x on the subspace spanned by a vector $x \in \mathbb{R}^n$ and $x \in \mathbb{R}^n$ denote the $x \in \mathbb{R}^n$ and $x \in \mathbb{R}^n$ and $x \in \mathbb{R}^n$ denote the $x \in \mathbb{R}^n$ and $x \in \mathbb{R}^n$ and $x \in \mathbb{R}^n$ denote the $x \in \mathbb{R}^n$ denote the $x \in \mathbb{R}^n$ and $x \in \mathbb{R}^n$ denote the $x \in \mathbb{R}^n$ denot

3.2 Lattices

For an introduction to lattices, see [16]. A lattice in \mathbb{R}^n is defined as the set of all integer combinations of n linearly independent vectors. This set of vectors is known as a basis of the lattice and is not unique. Given a basis (v_1, \ldots, v_n) of a lattice L, the fundamental parallelepiped is defined as

$$\mathcal{P}(v_1, \dots, v_n) = \left\{ \sum_{i=1}^n x_i v_i \mid x_i \in [0, 1) \right\}.$$

When the basis is clear from the context we will use the notation $\mathcal{P}(L)$ instead of $\mathcal{P}(v_1,\ldots,v_n)$. Note that a lattice has a different fundamental parallelepiped for each possible basis. For a point $x \in \mathbb{R}^n$ we define d(x,L) as the minimum of ||x-y|| over all $y \in L$.

For a lattice $L = (v_1, \ldots, v_n)$ and a point $x \in \mathbb{R}^n$ we define $x \mod L$ as the unique point $y \in \mathcal{P}(v_1, \ldots, v_n)$ such that y - x is an integer combination of v_1, \ldots, v_n (see, e.g., [15]). Notice that a function $f : \mathcal{P}(L) \to \mathbb{C}$ can be naturally extended to a function $f' : \mathbb{R}^n \to \mathbb{C}$ by defining $f'(x) := f(x \mod L)$. We will often refer to values of functions outside of $\mathcal{P}(L)$, in which case we mean the periodicity above. We will also use, for technical proofs, the notion of a Voronoi cell of L, denoted Vor(L), which is the set of all points in \mathbb{R}^n which are closer to the origin than to any other lattice point. In addition, $\tau_L(x)$ denotes the unique point $y \in Vor(L)$ such that $y - x \in L$. Notice that $||\tau_L(x)|| = d(x, L)$.

3.3 Shortest and Closest Vector in a lattice

The shortest (non-zero) vector of L is the vector $x \in L$, such that $||x|| \neq 0$ and is minimal. The following is the gap version of the shortest vector problem:

Definition 3.1 (coGapSVP) For any gap parameter $\beta = \beta(n)$ the promise problem $coGapSVP_{\beta}$ is defined as follows. The input is a basis for a lattice L. It is a YES instance if the length of the shortest vector is more than β . It is a NO instance if the length of the shortest vector is at most 1.

We also define the gap version of the closest vector problem and a non-standard variant of it which will be used in this paper:

Definition 3.2 (coGapCVP) For any gap parameter $\beta = \beta(n)$ the promise problem $coGapCVP_{\beta}$ is defined as follows. The input is a basis for a lattice L and a vector v. It is a YES instance if $d(v, L) > \beta$. It is a NO instance if $d(v, L) \le 1$.

Definition 3.3 (coGapCVP') For any gap parameter $\beta = \beta(n)$ the promise problem $coGapCVP'_{\beta}$ is defined as follows. The input is a basis for a lattice L and a vector v. It is a YES instance if $d(v, L) > \beta$ and the shortest vector in L is of length at least β . It is a NO instance if $d(v, L) \leq 1$.

Each vector in the input basis v_1, \ldots, v_n is given with polynomially many bits. Without loss of generality, we assume that the target vector v is given to us in the form $\sum a_i v_i$ where each $0 \le a_i < 1$ is represented by at most $\ell = poly(n)$ bits.

3.4 Quantum NP

We are interested in the quantum analog of the class NP. For an introduction to this class, the reader is referred to a recent survey by Aharonov and Naveh [1] and to a book by Kitaev, Shen and Vyalyi [13]. Strictly speaking, this class is the quantum analogue of MA, the probabilistic version of NP, and so it is denoted QMA. It is also sometimes denoted BQNP [13].

Definition 3.4 (QMA) A language $L \in QMA$ if there exists a quantum polynomial time verifier V, polynomials p, q, and efficiently computable functions c, s, such that:

- $\forall x \in L \quad \exists \rho \quad \operatorname{tr}(\Pi^{|1\rangle} V \rho V^{\dagger}) \ge c(\frac{1}{|x|})$
- $\bullet \ \, \forall x \not\in L \quad \forall \rho \quad \mathrm{tr}(\Pi^{|1\rangle}V\rho V^{\dagger}) \leq s(\tfrac{1}{|x|}),$
- $c(\frac{1}{|x|}) s(\frac{1}{|x|}) \ge q(\frac{1}{|x|}),$

and the ρ 's are density matrices of p(|x|) qubits.

3.5 Positive Definite Functions

Definition 3.5 A $k \times k$ matrix M is positive semidefinite (PSD) if it is Hermitian and for any vector $w \in \mathbb{C}^k$, $w^{\dagger}Mw$ is real and non-negative.

The requirement that M is Hermitian is redundant since this is already implied by the requirement that $w^{\dagger}Mw$ is real for all $w \in \mathbb{C}^k$. The next two claims list some simple properties of positive semidefinite matrices.

Claim 3.6 Let M, M' denote two positive semidefinite matrices. Then the following matrices are also positive semidefinite: $cM, M + M', M^*$ and Re(M) where c > 0 is real and Re(M) is the matrix obtained by taking the real part of every entry of M.

Proof: Clearly, all four matrices are Hermitian. Let w be any vector in \mathbb{C}^k . Then, $w^{\dagger}cMw = cw^{\dagger}Mw \geq 0$ and $w^{\dagger}(M+M')w = w^{\dagger}Mw + w^{\dagger}M'w \geq 0$. Also, $w^{\dagger}M^*w = ((w^*)^{\dagger}Mw^*)^* \geq 0$. Finally, $Re(M) = (M+M^*)/2$ which is positive semidefinite according to the previous cases.

Claim 3.7 The determinant of a positive semidefinite matrix M is non-negative.

Proof: Since M is Hermitian, it can be diagonalized with orthogonal eigenvectors and real eigenvalues. Moreover, since it is positive semidefinite, its eigenvalues are non-negative. Hence, the determinant of M, which is the product of its eigenvalues, is non-negative.

Next, we define a positive definite function over an arbitrary group E. In this paper, E will always be a grid in \mathbb{R}^n , i.e., a discrete additive subgroup of \mathbb{R}^n .

Definition 3.8 Let E be a group. A function $g: E \to \mathbb{C}$ is positive definite (PD) if for any integer $k \geq 1$ and any set of group elements $x_1, \ldots, x_k \in E$, the k by k matrix M defined by $M_{i,j} = g(x_i - x_j)$ is positive semidefinite.

The following two corollaries follow directly from Definition 3.8 and Claims 3.6, 3.7:

Corollary 3.9 Let g, g' be two positive definite functions. Then the following functions are also positive definite: $c \cdot g$, g + g', Re(g) where c > 0 is real.

Corollary 3.10 Let $g: E \to \mathbb{C}$ be a positive definite function for some group E. Then, for any integer $k \geq 1$ and any set of group elements $x_1, \ldots, x_k \in E$, the k by k matrix M defined by $M_{i,j} = g(x_i - x_j)$ has a non-negative determinant.

Using Corollary 3.10 we derive the following two useful lemmas. These lemmas describe known properties of positive definite functions (see, e.g., [19, 20]).

Lemma 3.11 Let $g: E \to \mathbb{R}$ be a real positive definite function such that g(0) = 1. Then for any $x \in E$, g(x) = g(-x) and $|g(x)| \le 1$.

Proof: Choose k=2 in Definition 3.8 and choose 0 and x as the two group elements. Then,

$$M = \left(\begin{array}{cc} 1 & g(x) \\ g(-x) & 1 \end{array}\right)$$

is positive semidefinite. Hence, M is Hermitian and $g(x) = (g(-x))^* = g(-x)$. Moreover,

$$0 \le |M| = \begin{vmatrix} 1 & g(x) \\ g(x) & 1 \end{vmatrix} = 1 - (g(x))^2$$

Therefore,

$$|g(x)| \leq 1.$$

Lemma 3.12 Let $g: E \to \mathbb{R}$ be a real positive definite function such that g(0) = 1. Then, for any $x \in E$ such that $x/2 \in E$ exists, $g(x/2) \le \sqrt{(1+g(x))/2} \le (g(x)+3)/4$.

Proof: Choose k = 3 in Definition 3.8 and choose 0, x and x/2 as the three group elements. Let b denote g(x) and a denote g(x/2) = g(-x/2). Then,

$$0 \le \begin{vmatrix} 1 & b & a \\ b & 1 & a \\ a & a & 1 \end{vmatrix} = 1 - a^2 - b(b - a^2) + a(ba - a) = (1 - b)(1 + b - 2a^2).$$

According to Lemma 3.11, $b \le 1$. Hence we have $1 + b - 2a^2 \ge 0$ which implies

$$a \le \sqrt{(1+b)/2} \le \frac{3+b}{4}.$$

3.6 Autocorrelation and Positive Definite Functions

The following claim shows the important fact that autocorrelation functions are always positive definite.

Claim 3.13 Let f be a function from a group E to the complex numbers, and let h be its autocorrelation function defined by $h(x) := \sum_{y \in E} f^*(y) f(y+x)$. Then h is a positive definite function.

Proof: Let $k \geq 1$ and $x_1, \ldots, x_k \in E$ be arbitrary and consider the $k \times k$ matrix M defined by $M_{i,j} = h(x_i - x_j)$. According to Definition 3.8, it is enough to show that M is PSD. For any vector $w \in \mathbb{C}^k$,

$$w^{\dagger}Mw = \sum_{i,j=1}^{k} h(x_i - x_j)w_i^*w_j = \sum_{i,j=1}^{k} \sum_{y \in E} f^*(y)f(y + x_i - x_j)w_i^*w_j$$

$$= \sum_{i,j=1}^{k} \sum_{y \in E} f^*(y - x_i)f(y - x_j)w_i^*w_j = \sum_{y \in E} (\sum_{i=1}^{k} f^*(y - x_i)w_i^*)(\sum_{j=1}^{k} f(y - x_j)w_j)$$

$$= \sum_{y \in E} \left| \sum_{i=1}^{k} f(y - x_i)w_i \right|^2 \ge 0$$

$4 \quad QMA +$

A "super-verifier" is given by a classical polynomial-time randomized algorithm that given an input x outputs a description of a quantum circuit V and two numbers $r, s \in [0, 1]$. This can be thought of as follows. Assume that we are given a witness described by a density matrix ρ . Then, consider $\operatorname{tr}(\Pi^{|1\rangle}V\rho V^{\dagger})$ where $\Pi^{|1\rangle}$ is the projection on the space where the output qubit of V is $|1\rangle$ (this is equal to the probability of measuring an output qubit of $|1\rangle$). Then, r represents an estimate of this value and s is the accuracy of the estimate.

Definition 4.1 (QMA+) A language $L \in QMA+$ if there exists a super-verifier and polynomials p_1, p_2, p_3 such that:

• $\forall x \in L \quad \exists \rho \quad \Pr_{V,r,s} \left(|\operatorname{tr}(\Pi^{|1\rangle}V\rho V^{\dagger}) - r| \leq s \right) = 1$ (i.e., there exists a witness such that with probability 1 the super-verifier outputs V which accepts the witness with probability which is close to r)

• $\forall x \notin L \quad \forall \rho \quad \Pr_{V,r,s} \left(|\operatorname{tr}(\Pi^{|1\rangle}V \rho V^{\dagger}) - r| \le s + p_3(1/|x|) \right) \le 1 - p_2(1/|x|)$ (i.e., for any witness, with some non-negligible probability, the super-verifier outputs a circuit V that accepts the witness with probability which is not close to r)

where probabilities are taken over the outputs V, r, s of the super-verifier and ρ is a density matrix over $p_1(|x|)$ qubits.

In the rest of this section we prove Theorem 2.1. We note that for simplicity we defined QMA+ with perfect completeness in the YES case; the same theorem holds also with non-perfect completeness.

The following lemma proves the easy direction of the theorem. It will not be used in this paper and is presented here mainly for the sake of completeness.

Lemma 4.2 $QMA \subseteq QMA +$

Proof: Note that using amplification [13], any language in QMA has a verifier with completeness $c \geq 7/8$ and soundness $s \leq 1/8$. Given such a verifier V, construct a super-verifier that simply outputs (V, r = 1, s = 1/2). This satisfies the definition of QMA+, using $p_3(|x|) = p_2(|x|) = 1/4$, for example.

We now prove the more interesting direction:

Theorem 4.3 $QMA + \subseteq QMA$

Proof: Given a super-verifier for a language $L \in QMA+$ with polynomials p_1, p_2, p_3 , we construct a QMA verifier V' for L. Let k = poly(|x|) be a large enough parameter to be determined later. The witness given to V' consists of $k \cdot p_1(|x|)$ qubits which can be thought of as k registers of $p_1(|x|)$ qubits each. Given an input x, the verifier V' starts by calling the super-verifier with the input x. The result is a description of a circuit V and numbers $r, s \in [0, 1]$. Next, V' applies V to each of the k registers and measures the results. Let r' denote the number of 1s measured divided by k. V' accepts if $|r' - r| \le s + \frac{1}{2}p_3(1/|x|)$ and rejects otherwise.

Completeness: Let $x \in L$ and let ρ be as in Definition 4.1. The witness for V' will be $\rho^{\otimes k}$. Note that the probability to measure 1 in each register is $\operatorname{tr}(\Pi^{|1\rangle}V\rho V^{\dagger})$. Let us denote this probability by p_V and let us choose $k = n/(p_3(1/|x|))^2$. Then, according to the Chernoff bound, the probability that $|r'-p_V| > \frac{1}{2}p_3(1/|x|)$ is at most $2e^{-2k(p_3(1/|x|)/2)^2} = 2^{-\Omega(n)}$. By Definition 4.1, the triples (V, r, s) given by the super-verifier are such that $|p_V - r| \leq s$ and

$$|r' - r| \le |r' - p_V| + |p_V - r| \le \frac{1}{2}p_3(1/|x|) + s$$

which implies that V' accepts with probability exponentially close to 1.

Soundness: It suffices to show that if $x \notin L$ then V' rejects with probability at least $\frac{1}{2}p_2(1/|x|)p_3(1/|x|)$ (which is polynomially bounded from 0). Essentially, the reasoning is based on a Markov argument, as we will see shortly.

Let $|\eta\rangle$ be any witness for V'. We first define a witness ρ for the circuits V that the super-verifier outputs. Let η_i be the reduced density matrix of η to the i'th register, and let ρ to be the average of the reduced density matrices: $\rho = \frac{1}{k} \sum_{i=1}^{k} \eta_i$. For an output of the super-verifier (V, r, s) we again let p_V denote the probability to measure 1 given ρ , namely $p_V = \operatorname{tr}(\Pi^{|1\rangle}V\rho V^{\dagger})$. We observe that

Claim 4.4 For a fixed witness $|\eta\rangle$ and a fixed circuit V, the expectation of the random variable r' is p_V .

Proof: The random variable r' is the average of k indicator variables. The expected value of the i'th indicator variable is $\operatorname{tr}(\Pi^{|1\rangle}V\eta_iV^{\dagger})$. Therefore, using linearity of expectation, the expected value of r' is $\frac{1}{k}\sum \operatorname{tr}(\Pi^{|1\rangle}V\eta_iV^{\dagger})=p_V$.

According to Definition 4.1, with probability at least $p_2(1/|x|)$, (V, r, s) is such that $|p_V - r| > s + p_3(1/|x|)$. Then, it is enough to show that for such triples (V, r, s), V' rejects with probability at least $\frac{1}{2}p_3(1/|x|)$. So, in the following fix one such triple (V, r, s). Using Claim 4.4, we obtain that the expected value of r' is either less than $r - s - p_3(1/|x|)$ or more than $r + s + p_3(1/|x|)$. We now use a Markov argument; In the first case, since r' is a non-negative random variable, the probability that it is more than $r - s - \frac{1}{2}p_3(1/|x|)$ (so that V' may accept) is at most

$$\frac{r-s-p_3(1/|x|)}{r-s-\frac{1}{2}p_3(1/|x|)} \le 1 - \frac{1}{2}p_3(1/|x|).$$

Similarly, for the second case, consider the non-negative random variable 1 - r'. The probability that it is greater than $1 - (r + s + \frac{1}{2}p_3(1/|x|))$ is at most

$$\frac{1 - (r + s + p_3(1/|x|))}{1 - (r + s + \frac{1}{2}p_3(1/|x|))} \le 1 - \frac{1}{2}p_3(1/|x|).$$

5 coGapCVP' is in QMA+

In this section we prove Theorem 2.2. Recall that an input to $coGapCVP'_{c\sqrt{n}}$ is a pair (L, v). By choosing a large enough constant c and scaling we can assume that in YES instances, $d(v, L) > 10\sqrt{n}$ and the shortest vector in L is of length at least $10\sqrt{n}$ and that in NO instances d(v, L) < 1/3.

5.1 The Quantum Witness

In the case of a YES instance, the prover provides a quantum state that represents a Gaussian distribution around the lattice points. We will use the periodicity of the lattice and present our state as a superposition over points inside the parallelepiped $\mathcal{P}(L)$.

We would have liked to consider the superposition over all points in the parallelepiped $\mathcal{P}(L)$ with weights that depend on the distance to the lattice:

$$|\xi\rangle pprox \sum_{x \in \mathcal{P}(L) \mid d(x,L) \le 2\sqrt{n}} \sqrt{\mu(\tau_L(x))} |x\rangle.$$

However, this state is ill defined since the register contains points in \mathbb{R}^n , which we need infinite precision in order to represent. We will therefore discretize space, and consider points on a very fine lattice G. In order to prevent confusion, we will refer to G as a 'grid' and not a lattice. We discuss this in the following.

Discretization Issues: The grid G is obtained by scaling down the lattice $L = (v_1, \ldots, v_n)$ by a factor of 2^m for some m > 0. Formally, G is the set of all integer combinations of the vectors $v_i/2^m$ where $m \leq poly(n)$ is chosen such that the following requirements are satisfied:

- The diameter of one parallelepiped of G, diam($\mathcal{P}(G)$), is at most 2^{-n^2} , and
- $m \ge \ell + n$ where ℓ was defined as the precision in which v is given.

Note that we can choose m to be polynomial in n because $\operatorname{diam}(\mathcal{P}(G)) = \operatorname{diam}(\mathcal{P}(L))/2^m \leq \sum_i |v_i|/2^m$.

To store a vector in $\mathcal{P}(L) \cap G$ in the quantum register, we store its coefficients in terms of the basis vectors v_i . Each coefficient is a number of the form $j/2^m$ for $0 \leq j < 2^m$ and so we need m bits to store j. Since we need n coefficients, the register consists of nm = poly(n) qubits.

The formal definition of the witness is:

$$|\xi\rangle = \sum_{x \in \mathcal{P}(L) \cap G} f(x)|x\rangle$$

where

$$f(x) = \begin{cases} \sqrt{\mu(\tau_L(x))}/D & d(x,L) \le 2\sqrt{n}, \\ 0 & \text{otherwise.} \end{cases}$$

and D is a normalization factor chosen so that

$$\sum_{x \in \mathcal{P}(L) \cap G} (f(x))^2 = 1.$$

5.2 Autocorrelation tests

Our verification process is based on autocorrelation tests which we define in the following.

Definition 5.1 For $x \in G$, T_x is defined to be the bijection $a \mapsto a - x \mod \mathcal{P}(L)$ from $\mathcal{P}(L) \cap G$ into itself.

Definition 5.2 The function $g: G \to \mathbb{R}$ is defined as $g(x) = Re(\langle \xi | T_x | \xi \rangle)$.

Note that g(x) is equal to

$$g(x) = \sum_{y \in \mathcal{P}(L) \cap G} f(y) f(x+y)$$

Definition 5.3 (Autocorrelation circuit with respect to x) For any $x \in G$ define the circuit C_x as follows. Given an input register, add one qubit (called the control qubit) in the state $\frac{1}{\sqrt{2}}(|0\rangle + |1\rangle)$. Then apply T_x to the register conditioned that the control qubit is 1, and otherwise do nothing. Finally, apply the Hadamard matrix H on the control qubit. The control qubit is the output qubit.

Claim 5.4 Given a pure state $|\eta\rangle$, the probability of measuring 1 after applying C_x is $(1 - Re(\langle \eta | T_x | \eta \rangle))/2$.

Proof: After adding the control qubit to $|\eta\rangle$, the state is $\frac{1}{\sqrt{2}}(|0\rangle|\eta\rangle + |1\rangle|\eta\rangle)$. After performing a conditioned T_x , the state is $\frac{1}{\sqrt{2}}(|0\rangle|\eta\rangle + |1\rangle T_x|\eta\rangle)$. Finally, after the Hadamard transform, the state is

$$\frac{1}{2}\left(|0\rangle(|\eta\rangle+T_x|\eta\rangle)+|1\rangle(|\eta\rangle-T_x|\eta\rangle)\right).$$

The probability of measuring 1 is therefore

$$\frac{1}{4}(\langle \eta| - \langle \eta|T_x^{\dagger})(|\eta\rangle - T_x|\eta\rangle) = \frac{1}{2}(1 - Re(\langle \eta|T_x|\eta\rangle)).$$

The next lemma provides a good approximation to g(x):

Lemma 5.5 Let L be a lattice whose shortest vector is of length at least $10\sqrt{n}$. Then, for any $x \in G$,

$$|g(x) - \mu(\tau_L(x)/2)| \le 2^{-\Omega(n)}.$$

Proof: The proof is fairly complicated technically, and we delay it to the appendix.

5.3 The super-verifier

The super-verifier randomly chooses one of the following two cases:

- Autocorrelation with respect to vOutput the circuit C_v , together with r = 1/2 and $s = n^{-100}$.
- Autocorrelation with respect to short vectors

Let B' denote the ball of radius $n^{-10} + n^{-11}$ around the origin. Choose a vector $x \in B' \cap G$ from the uniform distribution over $B' \cap G$. Let x' be either x or 2x with equal probability. Output the circuit $C_{x'}$, together with $r = (1 - \mu(x'/2))/2$ and $s = n^{-100}$.

5.4 Efficiency of the verifier

The verifier works on points in $\mathcal{P}(L) \cap G$. Note that the map $a \mapsto a - x \mod \mathcal{P}(L)$ for $x \in \mathcal{P}(L) \cap G$ is well defined and is a bijection on $\mathcal{P}(L) \cap G$, and so is its inverse. This means that these maps can be applied efficiently by a quantum computer. This follows from a basic result in quantum computation, which states that if U and its inverse can be applied efficiently classically, then they can be applied efficiently and without garbage bits by a quantum computer [13].

Next, we describe a procedure that picks a point uniformly at random from $B' \cap G$. First, pick a point $z \in \mathbb{R}^n$ uniformly from the ball $(n^{-10} + n^{-11} + n^{-20})B_n$. Represent it as a combination of the basis vectors $v_1, ..., v_n$. Then, let $x \in G$ be the point obtained by rounding the coefficients of z down to multiples of z^{-m} . If $z \in B'$ then output z. Otherwise, repeat the procedure again.

The probability of outputting each $x \in B' \cap G$ is proportional to the probability that z is in $x + \mathcal{P}(G)$. Since $\operatorname{diam}(\mathcal{P}(G)) < n^{-20}$, $x + \mathcal{P}(G) \subseteq (n^{-10} + n^{-11} + n^{-20})B_n$ and therefore the above probability is proportional to the volume of $\mathcal{P}(G)$. This volume is the same for all x and hence the output is indeed uniform over $B' \cap G$. The procedure has to be repeated when $x \notin B'$. This can only happen if $||z|| \ge n^{-10} + n^{-11} - \operatorname{diam}(\mathcal{P}(G)) > n^{-10} + n^{-11} - n^{-20}$. But the probability of this is at most

$$1 - \left(\frac{n^{-10} + n^{-11} - n^{-20}}{n^{-10} + n^{-11} + n^{-20}}\right)^n = 1 - \left(1 - 2\frac{n^{-20}}{n^{-10} + n^{-11} + n^{-20}}\right)^n \le 1 - (1 - 2n^{-10})^n \le 2n^{-9}$$

and therefore the procedure stops after a polynomial number of steps with probability exponentially close to 1. Finally, we note that we cannot really choose a uniform point z in the ball since its representation is not finite; this can be easily fixed by choosing an approximation to z and then arguing that the distance of the output distribution from the uniform distribution on $B' \cap G$ is exponentially small.

5.5 Completeness

Claim 5.6 Let L be a lattice whose shortest vector is of length at least $10\sqrt{n}$ and v a vector such that $d(v,L) \geq 10\sqrt{n}$. Then, given the witness $|\xi\rangle$ described in Section 5.1, the super-verifier outputs triples (V,r,s) such that $|\operatorname{tr}(\Pi^{|1\rangle}V|\xi\rangle\langle\xi|V^{\dagger}) - r| \leq s$.

Proof: First assume that the super-verifier outputs C_v . By Lemma 5.5, g(v) is exponentially small and therefore, using Claim 5.4, $\operatorname{tr}(\Pi^{|1\rangle}V|\xi\rangle\langle\xi|V^{\dagger}) = (1-g(v))/2$ is in the range $[\frac{1}{2}-n^{-100},\frac{1}{2}+n^{-100}]$. Otherwise, the super-verifier outputs a circuit $C_{x'}$ for some short vector x'. Notice that d(x',L) = ||x'||, since the lattice has no short vectors. By Lemma 5.5, g(x') is exponentially close to $\mu(x'/2)$ and hence $\operatorname{tr}(\Pi^{|1\rangle}V|\xi\rangle\langle\xi|V^{\dagger})$ is exponentially close to $(1-\mu(x'/2))/2$.

5.6 Soundness

Theorem 5.7 Let L be a lattice and v be a vector such that $d(v, L) \leq 1/3$. Then, given any witness ρ , with probability at least n^{-1000} , the super-verifier outputs triples (V, r, s) such that $|\operatorname{tr}(\Pi^{|1\rangle}V\rho V^{\dagger}) - r| > s$.

Proof: We will need the following definitions:

Definition 5.8 We say x is "good" for a real function h if $|h(x) - \mu(x/2)| \le 2n^{-100}$ and $|h(2x) - \mu(x)| \le 2n^{-100}$. Otherwise, we say x is "bad" for h.

Definition 5.9 We say that h is ε -Gaussian approximating on the set A if all except at most ε fraction of the vectors in A are good for h.

The idea of the proof is as follows. Let ρ be any witness and assume by contradiction that $d(v, L) \leq 1/3$ and that with probability at least $1-n^{-1000}$ the super-verifier outputs (V, r, s) such that $|\operatorname{tr}(\Pi^{|1\rangle}V\rho V^{\dagger})-r| \leq s$. We use ρ to define a PD function h, and show that by the conditions of the theorem $|h(v)| \leq 2n^{-100}$ and that h is n^{-200} -Gaussian approximating on $B' \cap G$. We then show that such a PD function doesn't exist, if $d(v, L) \leq 1/3$, which derives a contradiction.

Definition of h: We can write ρ as $\rho = \sum_i w_i |\alpha_i\rangle \langle \alpha_i|$ for some weights w_i and pure states $|\alpha_i\rangle$. Also, write $|\alpha_i\rangle = \sum_{y\in\mathcal{P}(L)\cap G}\beta_i(y)|y\rangle$ for some $\beta_i:\mathcal{P}(L)\cap G\to\mathbb{C}$. This form of $|\alpha_i\rangle$ is without loss of generality, because by our choice of the number of qubits in the register, and by the definition of G, each possible basis state represents a point in $\mathcal{P}(L)\cap G$. Define the functions $h_i:G\to\mathbb{C}$,

$$h_i(x) = \langle \alpha_i | T_x | \alpha_i \rangle = \sum_{y \in \mathcal{P}(L) \cap G} \beta_i^*(y) \beta_i(y + x \mod \mathcal{P}(L)).$$

We let $h: G \to \mathbb{R}$ be the function

$$h(x) = \sum_{i} w_i Re(h_i(x)).$$

Claim 5.10 *h is PD*.

Proof: According to Corollary 3.9, it is enough to show that the h_i 's are positive definite. This follows from Claim 3.13, using the group of points in $\mathcal{P}(L) \cap G$ with addition modulo $\mathcal{P}(L)$.

Claim 5.11 $|h(v)| \leq 2n^{-100}$.

Proof: The super-verifier outputs the triple $(C_v, \frac{1}{2}, n^{-100})$ with probability half. By the assumption of the theorem we thus know that

$$|\operatorname{tr}(\Pi^{|1\rangle}C_v\rho C_v^{\dagger}) - \frac{1}{2}| \le n^{-100}.$$
 (1)

Note that by Claim 5.4, for any $x \in G$,

$$\operatorname{tr}(\Pi^{|1\rangle}C_x\rho C_x^{\dagger}) = \sum_i w_i (1 - Re(h_i(x)))/2 = (1 - h(x))/2.$$
 (2)

Substituting equation (2) in (1) and multiplying by 2 we get,

$$|h(v)| \le 2n^{-100}.$$

Claim 5.12 h is n^{-200} -Gaussian approximating on the set $B' \cap G$.

Proof: The super-verifier outputs a triple of the form $(C_{x'}, (1 - \mu(x'/2))/2, n^{-100})$ with probability half. Hence, with probability at least $1 - 2n^{-1000}$

$$|\operatorname{tr}(\Pi^{|1\rangle}C_{x'}\rho C_{x'}^{\dagger}) - (1 - \mu(x'/2))/2| \le n^{-100}$$
 (3)

where the probability is taken on the choice of x' by the super-verifier. Substituting equation (2) in equation (3) and multiplying by 2, we get:

$$|h(x') - \mu(x'/2)| \le 2n^{-100}$$
.

Recall that x' is chosen in two steps: we first choose $x \in B' \cap G$ and then choose x' to be either x or 2x. Hence, with probability at least $1 - 4n^{-1000}$ over the choice of x, both

$$|h(x) - \mu(x/2)| \le 2n^{-100}$$

and

$$|h(2x) - \mu(x)| \le 2n^{-100}$$

hold. Hence, h is n^{-200} -Gaussian approximating on the set $B' \cap G$.

We obtain a contradiction by using the following lemma with $w = \tau_L(v)$. Recall that the coefficients of v in the lattice basis are multiples of $2^{-\ell}$. This implies that $\tau_L(v)$ can be represented as an integer combination of the vectors $v_i/2^{\ell}$. Since m was chosen to be at least $\ell + n$, $w/2^n \in G$. The proof of the lemma appears in the next section.

Lemma 5.13 Let $w \in G$ such that $w/2^n$ is also in G and $||w|| \le 1/3$. Then, there is no positive definite function h, h(0) = 1, which is n^{-200} -Gaussian approximating on $B' \cap G$ and $|h(w)| \le 2n^{-100}$.

5.7 Proof of Lemma 5.13: No such PD function

Proof: (Of Lemma 5.13) Assume by contradiction that h is a positive definite function, that $|h(w)| \leq 2n^{-100}$ and that h is n^{-200} -Gaussian approximating on $B' \cap G$. We will derive a contradiction in two steps. First, we will find a short vector y in w's direction such that h(y) is much lower than the Gaussian value of $\mu(y/2)$. This is done using the upper bound on |h(w)| and "pulling" it towards the origin using the PD conditions. We will then apply a lemma that shows that the same deviation from the Gaussian occurs everywhere and not only in w's direction.

Definition 5.14 Define $y = w/2^k$, where $k \ge 0$ is the minimal integer such that $||y|| \le 2n^{-12}$.

Notice that if $k \neq 0$ then $||y|| > n^{-12}$. Hence, using $||w|| \leq 1/3$, we get that $k \leq \log(n^{12}/3)$.

Claim 5.15 $y \in G$.

Proof: Since k < n, y is an integer multiple of $w/2^n$ and is therefore in G.

The Gaussian at y, $\mu(y/2)$, can be approximated by $1 - \frac{\pi}{4} ||y||^2$ which is at least $1 - \frac{\pi}{4} 4n^{-24} = 1 - \pi n^{-24}$. The following claim shows that h(y) is strictly less than the Gaussian at y:

Claim 5.16 Let h be PD such that h(0) = 1, $h(w) \le n^{-100}$ and $||w|| \le 1/3$. Then $h(y) \le 1 - 5n^{-24}$.

Proof: Lemma 3.12, using w, w/2, shows that $h(w/2) \leq \frac{3+2n^{-100}}{4}$. Applying Lemma 3.12 again gives $h(w/4) \leq \frac{15+2n^{-100}}{16}$, and applying it k times gives $h(y) = h(w/2^k) \leq 1 - \frac{1-2n^{-100}}{2^{2k}}$. Since $k \leq \log(n^{12}/3)$, we have $h(y) \leq 1 - \frac{1-2n^{-100}}{2^{2k}} \leq 1 - \frac{1-2n^{-100}}{\frac{1}{9}n^{24}} \leq 1 - 5n^{-24}$.

To derive a contradiction, we will use the following claim:

Claim 5.17 Let h be PD such that h(0) = 1 and $h(y) \le 1 - 5n^{-24}$ for some $||y|| \le 2n^{-12}$. Let $z \in G$ be such that $||P_y(z)|| \le 1/n^{100}$ and $|||P_{y^{\perp}}(z)|| - n^{-10}| \le n^{-100}$. Then at least one of the vectors z, z - y, z + y is bad for h.

Proof: The proof uses the PD condition with 4×4 matrices. It is quite technical, and is delayed to the appendix.

We want to show that in the verifier's second test, it has a non negligible chance of picking x which is equal to one of the vectors of the form z, z + y, z - y satisfying the requirements in Claim 5.17. This would mean it has a good chance of catching a "bad" vector, as we will see later. For this we define:

$$A_1 = \left\{ z \in \mathbb{R}^n \mid |||P_{y^{\perp}}(z)|| - n^{-10}| \le n^{-100} \text{ and } ||P_{y}(z)|| \le n^{-100} \right\}$$

$$A_2 = A_1 + y$$

$$A_3 = A_1 - y$$

Claim 5.18 $A_1, A_2, A_3 \subseteq B'$

Proof: By the triangle inequality, the norm of a vector in A_1, A_2 or A_3 is at most $n^{-10} + 2n^{-100} + 2n^{-12}$, because $||y|| \le 2n^{-12}$. Hence, its norm is less than $n^{-10} + n^{-11}$, the radius of B'.

Claim 5.19 Let x be chosen uniformly at random from $B' \cap G$. The probability for x to be in $A_i \cap G$ is at least $n^{-180}/10$, for all i = 1, 2, 3.

Proof: First notice that $|A_1 \cap G| = |A_2 \cap G| = |A_3 \cap G|$ and they are all subsets of B'. Hence, the probability that x is $A_i \cap G$ is the same for i = 1, 2, 3. Therefore, in the following it will be enough to consider the set A_1 . Let

$$\tilde{A} = \{ z \in \mathbb{R}^n \mid |||P_{u^{\perp}}(z)|| - n^{-10}| \le n^{-100}/2 \text{ and } ||P_{u}(z)|| \le n^{-100}/2 \}$$

be a subset of A_1 . Notice that since diam $(\mathcal{P}(G))$ was chosen to be very small, any point $z \in G$ such that $(z + \mathcal{P}(G)) \cap \tilde{A} \neq \phi$ must satisfy $z \in A_1$. Similarly, if we define \tilde{B} as the ball of radius $n^{-10} + 2n^{-11}$ then any point $z \in G$ such that $(z + \mathcal{P}(G)) \cap B' \neq \phi$ must satisfy $z \in \tilde{B}$. Hence we obtain,

$$\frac{|A_1 \cap G|}{|B' \cap G|} \ge \frac{\operatorname{vol}(\tilde{A})/\operatorname{vol}(\mathcal{P}(G))}{\operatorname{vol}(\tilde{B})/\operatorname{vol}(\mathcal{P}(G))} = \frac{\operatorname{vol}(\tilde{A})}{\operatorname{vol}(\tilde{B})}.$$

We now lower bound this ratio of volumes. Recall that the volume of an n dimensional ball around the origin of radius R is $\omega_n R^n$ where ω_n is the volume of the unit n-ball.

$$\operatorname{vol}(\tilde{A}) = n^{-100} \cdot \omega_{n-1} \cdot ((n^{-10} + n^{-100}/2)^{n-1} - (n^{-10} - n^{-100}/2)^{n-1})$$

$$\geq n^{-100} \cdot \omega_{n-1} \cdot (n^{-10} - n^{-100}/2)^{n-1} \cdot ((1 + n^{-90})^{n-1} - 1)$$

$$\geq n^{-100} \cdot \omega_{n-1} \cdot (n^{-10} - n^{-100}/2)^{n-1} \cdot n^{-90}.$$

Using $vol(\tilde{B}) = \omega_n \cdot (n^{-10} + 2n^{-11})^n$,

$$\frac{\operatorname{vol}(\tilde{A})}{\operatorname{vol}(\tilde{B})} \geq n^{-190} \cdot \frac{\omega_{n-1}}{\omega_n} \cdot \frac{(n^{-10} - n^{-100}/2)^{n-1}}{(n^{-10} + 2n^{-11})^n} \\
\geq n^{-180} \cdot \frac{\omega_{n-1}}{\omega_n} \cdot \frac{(1 - n^{-90}/2)^{n-1}}{(1 + 2n^{-1})^n} \geq n^{-180}/10$$

where in the last inequality we used $\omega_{n-1}/\omega_n = \Omega(\sqrt{n}) > 1$.

Claim 5.20 h is not n^{-200} -Gaussian approximating on $B' \cap G$.

Proof: For any $x \in A_1 \cap G$, consider the triple x, x+y, x-y and notice that $x+y \in A_2 \cap G$, $x-y \in A_3 \cap G$. By Claim 5.17, at least one point in each triple is bad for h. Hence, at least a third of the points in one of the sets $A_1 \cap G$, $A_2 \cap G$, $A_3 \cap G$ are bad for h. Since each of these sets contains $n^{-180}/10$ of the points in $B' \cap G$, the fraction of bad points for h in $B' \cap G$ is at least $n^{-180}/30$.

This is a contradiction and thus completes the proof of Lemma 5.13.

6 Reducing coGapSVP to coGapCVP'

In this section we prove Theorem 2.3. We show how to construct a verifier V' for $coGapSVP_{\beta}$ given a verifier V for $coGapCVP'_{\beta}$. By using amplification [13], we can assume without loss of generality that for YES instances there exists a witness such that V accepts with probability at least $1-2^{-n}$ and that for NO instances V accepts with probability less than 2^{-n} for any witness. Let L be the input lattice given by (v_1, \ldots, v_n) . The witness supplied to V' is supposed to be of the following form:

$$|\alpha_1\rangle|\alpha_2\rangle\dots|\alpha_n\rangle.$$

Each $|\alpha_i\rangle$ is supposed to be a witness for the $coGapCVP'_{\beta}$ instance given by the lattice L_i spanned by $(v_1, \ldots, v_{i-1}, 2v_i, v_{i+1}, \ldots, v_n)$ and the target vector v_i . The verifier V' applies V to each $|\alpha_i\rangle$ with the instance (L_i, v_i) . It accepts if and only if V accepted in all the calls.

First assume that L is a YES instance to $coGapSVP_{\beta}$. In other words, the length of the shortest vector is at least β . Since L_i is a sublattice of L, its shortest vector is at least β . In addition, since for any $i \in [n]$, $v_i \notin L_i$ this implies that $d(v_i, L_i) \geq \beta$. Hence, (L_i, v_i) is a YES instance of $coGapCVP'_{\beta}$ and there exists a witness $|\alpha_i\rangle$ such that V accepts it with probability at least $1 - 2^{-n}$. Therefore, the combined witness $|\alpha_1\rangle \dots |\alpha_n\rangle$ is accepted by V' with probability at least $1 - n2^{-n}$.

It is left to consider the case where L is a NO instance. In other words, if

$$u = a_1v_1 + a_2v_2 + \ldots + a_nv_n$$

denotes the shortest vector, then its length is at most 1. Notice that not all the a_i 's are even for otherwise the vector u/2 is a shorter lattice vector. Let j be such that a_j is odd. Then the distance of v_j from the lattice L_j is at most $||u|| \le 1$ since $v_j + u \in L_j$. Hence, the j'th instance of $coGapCVP'_{\beta}$ is a NO instance and for any witness $|\alpha_j\rangle$, V accepts with probability at most 2^{-n} and so does V'.

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A Some Technical Claims

Claim A.1 For any two vectors $z, z' \in \mathbb{R}^n$,

$$|\mu(z) - \mu(z')| \le O(||z - z'||).$$

Proof: The derivative of $\mu(\alpha)$ is $-2\pi\alpha e^{-\pi\alpha^2}$ which is at most $\sqrt{2\pi/e}$ in absolute value. Hence, for any $\alpha, \beta \in \mathbb{R}$,

$$|\mu(\alpha) - \mu(\beta)| \le \sqrt{2\pi/e} \cdot |\alpha - \beta| = O(|\alpha - \beta|).$$

The claim follows since for any $w \in \mathbb{R}^n$, $\mu(w) = \mu(\|w\|)$ and $\|\|z\| - \|z'\|\| \le \|z - z'\|$.

Claim A.2

$$\int_{\mathbb{R}^n} \mu(z)dz = 1$$

Proof:

$$\int_{\mathbb{R}^n} \mu(z) dz = \int_{\mathbb{R}^n} e^{-\pi \|z\|^2} dz = \int_{\mathbb{R}^n} e^{-\pi z_1^2} \cdot \ldots \cdot e^{-\pi z_n^2} dz = (\int_{\mathbb{R}} e^{-\pi x^2} dx)^n = 1^n = 1.$$

Claim A.3

$$\int_{\sqrt{n}B_n} \mu(z)dz \ge 1 - 2^{-\Omega(n)}$$

Proof: According to Claim A.2, it is enough to show that

$$\int_{\mathbb{R}^n \setminus \sqrt{n} B_n} \mu(z) dz \le 2^{-\Omega(n)}.$$

Since μ depends only on the norm of z we can switch to polar coordinates and get

$$n \cdot \omega_n \cdot \int_{\sqrt{n}}^{\infty} e^{-\pi r^2} r^{n-1} dr \le$$

$$2n \cdot \omega_n \cdot \int_{\sqrt{n}}^{\infty} e^{-\pi r^2} r^{n-1} \left(1 - \frac{n-2}{2\pi r^2}\right) dr =$$

$$2n \cdot \omega_n \cdot \left(-\frac{1}{2\pi} e^{-\pi r^2} r^{n-2}\right) \Big|_{\sqrt{n}}^{\infty} =$$

$$\frac{n}{\pi} \cdot \omega_n \cdot e^{-\pi n} n^{\frac{n}{2} - 1}.$$
(4)

Using Stirling's formula,

$$\omega_n = \frac{\pi^{n/2}}{\Gamma(\frac{n}{2} + 1)} \approx \frac{1}{\sqrt{\pi n}} (\frac{2\pi e}{n})^{n/2}.$$

Hence, (4) is

$$\frac{n}{\pi} \cdot \frac{1}{\sqrt{\pi n}} \cdot (\frac{2\pi e}{n})^{n/2} e^{-\pi n} n^{\frac{n}{2} - 1} = \frac{1}{\pi} \cdot \frac{1}{\sqrt{\pi n}} \cdot (2\pi e)^{n/2} e^{-\pi n} = 2^{-\Omega(n)}.$$

B Proof of correct autocorrelation

In this section we prove Lemma 5.5. Recall that g is defined as

$$g(x) = \sum_{y \in \mathcal{P}(L) \cap G} f(y)f(x+y).$$

The function f is periodic on the lattice L. Hence,

$$g(x) = \sum_{y \in \mathcal{P}(L) \cap G} f(y) f(x+y) = \sum_{y \in \mathcal{P}(L) \cap G} f(\tau_L(y)) f(\tau_L(y) + x).$$

Furthermore, τ_L can be seen as a bijection between $\mathcal{P}(L) \cap G$ and $Vor(L) \cap G$. Hence, the above is equal to,

$$\sum_{y \in Vor(L) \cap G} f(y)f(y+x).$$

When $||y|| > 2\sqrt{n}$, f(y) = 0. Also, if $||y|| \le 2\sqrt{n}$ then $y \in Vor(L)$ because the shortest vector in the lattice is at least $10\sqrt{n}$. Therefore, the above sum is,

$$\sum_{y \in G \mid ||y|| \le 2\sqrt{n}} f(y)f(y+x).$$

Notice that for $||y|| \le 2\sqrt{n}$, $f(y) = \sqrt{\mu(y)}/D$. Also, if $f(y+x) \ne 0$ then $d(y+x,L) \le 2\sqrt{n}$ and therefore $d(x,L) \le 4\sqrt{n}$. Using the assumption that the shortest vector in the lattice is $10\sqrt{n}$, this implies that the closest lattice point to y+x is the same as the closest lattice point to x. In other words, $\tau_L(y+x) = y+\tau_L(x)$. Let S(x) denote the set of all $y \in G$ such that both ||y|| and $||y+\tau_L(x)||$ are at most $2\sqrt{n}$. Then the above sum is,

$$\frac{1}{D^2} \sum_{y \in S(x)} \sqrt{\mu(y)\mu(y + \tau_L(x))}.$$

For any $y \in S(x)$, $\mu(y) \geq 2^{-O(n)}$. Using Claim A.1, we see that for any $z \in y + \mathcal{P}(G)$, $|\mu(y) - \mu(z)| \leq O(\operatorname{diam}(\mathcal{P}(G))) = 2^{-\Omega(n^2)}$. Hence, this translates to a multiplicative error of $\mu(z) = (1 \pm 2^{-\Omega(n)})\mu(y)$. A similar argument shows that $\mu(z + \tau_L(x)) = (1 \pm 2^{-\Omega(n)})\mu(y + \tau_L(x))$. By combining the two equalities and taking the square root, we get that for any $y \in S(x)$ and for any $z \in y + \mathcal{P}(G)$,

$$\sqrt{\mu(y)\mu(y+\tau_L(x))} = (1\pm 2^{-\Omega(n)})\sqrt{\mu(z)\mu(z+\tau_L(x))}$$

Averaging the right hand side over all $z \in y + \mathcal{P}(G)$,

$$\sqrt{\mu(y)\mu(y+\tau_L(x))} = (1\pm 2^{-\Omega(n)})\frac{1}{\operatorname{vol}(\mathcal{P}(G))}\int_{y+\mathcal{P}(G)}\sqrt{\mu(z)\mu(z+\tau_L(x))}dz.$$

We therefore obtain the following estimation of g(x):

$$(1 \pm 2^{-\Omega(n)}) \frac{1}{\text{vol}(\mathcal{P}(G)) \cdot D^2} \sum_{y \in S(x)} \int_{y+\mathcal{P}(G)} \sqrt{\mu(z)\mu(z+\tau_L(x))} dz$$
$$= (1 \pm 2^{-\Omega(n)}) \frac{1}{\text{vol}(\mathcal{P}(G)) \cdot D^2} \int_{S(x)+\mathcal{P}(G)} \sqrt{\mu(z)\mu(z+\tau_L(x))} dz.$$

Recall that D was chosen so that g(0) = 1. Hence, we get that

$$(1 \pm 2^{-\Omega(n)}) \frac{1}{\text{vol}(\mathcal{P}(G)) \cdot D^2} \int_{S(0) + \mathcal{P}(G)} \mu(z) dz = 1.$$

Since $S(0) + \mathcal{P}(G)$ contains the ball of radius \sqrt{n} around the origin,

$$1 - 2^{-\Omega(n)} \le \int_{\sqrt{n}B_n} \mu(z)dz \le \int_{S(0) + \mathcal{P}(G)} \mu(z)dz \le \int_{\mathbb{R}^n} \mu(z)dz = 1$$

where we used Claim A.3 and Claim A.2. Hence,

$$\frac{1}{\operatorname{vol}(\mathcal{P}(G)) \cdot D^2} = 1 \pm 2^{-\Omega(n)}.$$

Thus, the estimation of g(x) becomes

$$(1 \pm 2^{-\Omega(n)}) \int_{S(x) + \mathcal{P}(G)} \sqrt{\mu(z)\mu(z + \tau_L(x))} dz.$$

This can be further approximated by

$$(1 \pm 2^{-\Omega(n)}) \int_{S(x)+\mathcal{P}(G)} \sqrt{\mu(z)\mu(z+\tau_L(x))} dz =$$

$$(1 \pm 2^{-\Omega(n)}) \int_{S(x)+\mathcal{P}(G)} \mu(z+\tau_L(x)/2)\mu(\tau_L(x)/2) dz =$$

$$(1 \pm 2^{-\Omega(n)})\mu(\tau_L(x)/2) \int_{S(x)+\mathcal{P}(G)} \mu(z+\tau_L(x)/2) dz.$$

where in the first equality we used $||z||^2 + ||z + \tau_L(x)||^2 = 2(||z + \tau_L(x)/2||^2 + ||\tau_L(x)/2||^2)$. We can now upper bound q(x) by

$$(1\pm 2^{-\Omega(n)})\mu(\tau_L(x)/2)\int_{\mathbb{R}^n}\mu(z+\tau_L(x)/2)dz = (1\pm 2^{-\Omega(n)})\mu(\tau_L(x)/2)\int_{\mathbb{R}^n}\mu(z)dz = (1\pm 2^{-\Omega(n)})\mu(\tau_L(x)/2).$$

In particular, this means that for x such that d(x, L) is greater than, say, $\sqrt{n}/2$, g(x) is indeed exponentially close to $\mu(\tau_L(x)/2) = 2^{-\Omega(n)}$. Therefore, it remains to consider the case $d(x, L) \leq \sqrt{n}/2$. Here, $\sqrt{n}B_n \subseteq S(x) + \mathcal{P}(G) + \tau_L(x)/2$ and therefore g(x) can be lower bounded by

$$(1\pm 2^{-\Omega(n)})\mu(\tau_L(x)/2)\int_{S(x)+\mathcal{P}(G)+\tau_L(x)/2}\mu(z)dz \ge (1\pm 2^{-\Omega(n)})\mu(\tau_L(x)/2)\int_{\sqrt{n}B_n}\mu(z)dz \ge (1\pm 2^{-\Omega(n)})\mu(\tau_L(x)/2)$$

where we used Claim A.3.

C Proof of Claim 5.17

We assume by contradiction that the vectors z, z - y, z + y are good for h, that $h(y) \le 1 - 5n^{-24}$ and that h is a positive definite function. We will derive a contradiction by using the PD condition with a 4×4 matrix.

Choose k = 4 in Definition 3.8 and choose the origin, the vector -z, the vector z and the vector y as the four vectors. By the assumption that h is positive definite, and by Corollary 3.10, the following holds:

$$\begin{vmatrix} 1 & h(z) & h(z) & h(y) \\ h(z) & 1 & h(2z) & h(z+y) \\ h(z) & h(2z) & 1 & h(z-y) \\ h(y) & h(z+y) & h(z-y) & 1 \end{vmatrix} \ge 0.$$

By the assumption that z, z - y, z + y are good, it follows that

$$h(z) = \mu(z/2) + O(n^{-100})$$

$$h(2z) = \mu(z) + O(n^{-100})$$

$$h(z+y) = \mu((z+y)/2) + O(n^{-100})$$

$$h(z-y) = \mu((z-y)/2) + O(n^{-100})$$

where the $O(n^{-100})$ denotes an additive error whose absolute value is at most in the order of n^{-100} .

Let $z' = P_{y^{\perp}}(z)$ be the projection of z on the subspace orthogonal to y. According to Claim A.1, by replacing z with z' in the above estimations we introduce an error of at most $O(||z-z'||) \leq O(n^{-100})$:

$$h(z) = \mu(z'/2) + O(n^{-100})$$

$$h(2z) = \mu(z') + O(n^{-100})$$

$$h(z+y) = \mu((z'+y)/2) + O(n^{-100})$$

$$h(z-y) = \mu((z'-y)/2) + O(n^{-100})$$

Let $\alpha = \mu(z'/2)$ and $\beta = \mu(z'/2)\mu(y/2)$. Then, notice that $\mu(z') = \alpha^4$ and that $\mu((z'+y)/2) = \mu((z'-y)/2) = \beta$ since z' and y are orthogonal. Hence,

$$h(z) = \alpha + O(n^{-100})$$

$$h(2z) = \alpha^4 + O(n^{-100})$$

$$h(z-y) = \beta + O(n^{-100})$$

$$h(z+y) = \beta + O(n^{-100})$$

We can replace each entry of the above determinant by its estimation. By Lemma 3.11, all the entries of the determinant have an absolute value of at most one and therefore the error introduced is at most $O(n^{-100})$:

$$\begin{vmatrix} 1 & \alpha & \alpha & h(y) \\ \alpha & 1 & \alpha^4 & \beta \\ \alpha & \alpha^4 & 1 & \beta \\ h(y) & \beta & \beta & 1 \end{vmatrix} + O(n^{-100}) \ge 0.$$

Let us now expand the determinant:

$$\begin{vmatrix} 1 & \alpha & \alpha & h(y) \\ \alpha & 1 & \alpha^4 & \beta \\ \alpha & \alpha^4 & 1 & \beta \\ h(y) & \beta & \beta & 1 \end{vmatrix} = \begin{vmatrix} 1 & \alpha & \alpha & h(y) \\ 0 & 1 - \alpha^2 & \alpha^4 - \alpha^2 & \beta - \alpha h(y) \\ 0 & \alpha^4 - \alpha^2 & 1 - \alpha^2 & \beta - \alpha h(y) \\ 0 & \beta - \alpha h(y) & \beta - \alpha h(y) & 1 - (h(y))^2 \end{vmatrix} = \begin{vmatrix} 1 - \alpha^2 & \alpha^4 - \alpha^2 & \beta - \alpha h(y) \\ \alpha^4 - \alpha^2 & 1 - \alpha^2 & \beta - \alpha h(y) \\ \beta - \alpha h(y) & \beta - \alpha h(y) & 1 - (h(y))^2 \end{vmatrix} = \begin{vmatrix} 1 - \alpha^2 & \alpha^4 - \alpha^2 & \beta - \alpha h(y) \\ \alpha^4 - 1 & 1 - \alpha^4 & 0 \\ \beta - \alpha h(y) & \beta - \alpha h(y) & 1 - (h(y))^2 \end{vmatrix} = \begin{vmatrix} 1 - \alpha^2 & \alpha^4 - \alpha^2 & \beta - \alpha h(y) \\ \alpha^4 - 1 & 1 - \alpha^4 & 0 \\ \beta - \alpha h(y) & \beta - \alpha h(y) & 1 - (h(y))^2 \end{vmatrix} = (1 - \alpha^4) \left((\alpha^2 - 1)^2 (1 - (h(y))^2) - 2(\beta - \alpha h(y))^2 \right).$$

Hence,

$$(1 - \alpha^4) \left((\alpha^2 - 1)^2 (1 - (h(y))^2) - 2(\beta - \alpha h(y))^2 \right) + O(n^{-100}) \ge 0.$$

From the assumption that $|||z'|| - n^{-10}| \le n^{-100}$ it follows that $1 - \alpha^4$ is in the order of $O(n^{-20})$. Hence, dividing by $1 - \alpha^4$ which is a positive number, we get

$$(\alpha^2 - 1)^2 (1 - (h(y))^2) - 2(\beta - \alpha h(y))^2 + O(n^{-80}) \ge 0.$$

Rearranging terms,

$$((1 - \alpha^2)^2 - 2\beta^2) + 4\alpha\beta \cdot h(y) - (1 + \alpha^4) \cdot (h(y))^2 + O(n^{-80}) \ge 0.$$

From this we can obtain the following lower bound on h(y),

$$h(y) \ge \frac{2\alpha\beta - \sqrt{4\alpha^2\beta^2 + (1+\alpha^4)((1-\alpha^2)^2 - 2\beta^2) + O(n^{-80})}}{1+\alpha^4}.$$

We show that the term under the square root is negligible, because it is $O(n^{-64})$:

$$4\alpha^{2}\beta^{2} + (1+\alpha^{4})((1-\alpha^{2})^{2} - 2\beta^{2}) + O(n^{-80}) = (1-\alpha^{2})^{2}(1+\alpha^{4} - 2\beta^{2}) + O(n^{-80})$$
$$= (1-\alpha^{2})^{2}\left((1-\alpha^{2})^{2} + 2(\alpha-\beta)(\alpha+\beta)\right) + O(n^{-80}).$$

The term $1 - \alpha^2$ is of the order $O(n^{-20})$, the term $\alpha + \beta$ is at most 2 and the term $\alpha - \beta$ equals $\mu(z'/2)(1 - \mu(y/2)) \le 1 - \mu(y/2)$ which is of the order $O(n^{-24})$. Hence, the above expression is of the order $O(n^{-64})$. After taking the square root it is of the order $O(n^{-32})$. We therefore get:

$$h(y) \ge \frac{2\alpha\beta}{1+\alpha^4} + O(n^{-32}) = \frac{2\alpha^2}{1+\alpha^4} \cdot \frac{\beta}{\alpha} + O(n^{-32}).$$

We have

$$\frac{2\alpha^2}{1+\alpha^4} = 1 - O((\alpha - 1)^2) = 1 + O(n^{-40}),$$

where we used the Taylor series expansion of the left hand side around 1.

Also, $\beta/\alpha = \mu(y/2) \ge 1 - \pi n^{-24} + O(n^{-48})$. Hence,

$$h(y) \ge 1 - \pi n^{-24} + O(n^{-32})$$

which contradicts the assumption that $h(y) \leq 1 - 5n^{-24}$.