

# Overview of quantum learning theory

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## Goals of classical ML

- **Grand goal:** enable AI systems to improve themselves
- **Interacting with environment,** providing useful **data** to “train” the machine
- Underpinning these improvements is **better algorithms, more data, computational power**

## In the last decade:

- 1 **Image processing:** Deep neural networks used for image recognition
- 2 **Natural language processing:** used for speech recognition
- 3 **Reinforcement learning**

DeepMind has algorithms for chess, Go, and protein folding!



## What can quantum computing do for machine learning?

- Close to **quantum advantage** candidate for a **practical** problem?
- **Polynomial speed-ups** for many tasks as training Boltzmann machines, clustering, perceptron learning, support vector machines, . . .
- **Exponential speed-ups** for some tasks such as PCA, recommendation systems, linear system solvers, . . .

## The era of de-quantization

- Tang'18 gave a **classical** polynomial-time algorithm for recommendation systems
- A flurry of **de-quantized** algorithms for principal component analysis [T'18], **low-rank** linear system solvers [GLT'18, CLW'18], SDP solvers [CLLW'18]

*A need to prove formal separations in quantum machine learning*

In classical ML, the field of **computational learning theory** deals with understanding ML from a theoretical perspective.

## In these lectures:

- 1 Learning Boolean functions **encoded** as quantum examples
  - **Hardness of PAC** learning
  - Some **positive and negative** under the uniform distribution
- 2 Learning quantum states
  - General **tomography** and learning **specific class** of states
  - Learning in **weaker settings**: PAC learning and shadows
- 3 **Statistical learning** and open questions

# A Theory of the Learnable

Valiant gave a complexity-theoretic definition of what it means to learn

**Goal:** learn a class of functions  $\mathcal{C} = \{c_1, c_2, \dots\}$  where  $c_i : X \rightarrow \{0, 1\}$

**Example:**  $c_i$ s are halfspaces, i.e., each  $c_i$  is associated with a separating hyperplane

**What does it mean to learn?** Let  $c^* \in \mathcal{C}$  (unknown). Given points in  $X$ , what is  $c^*$ ?

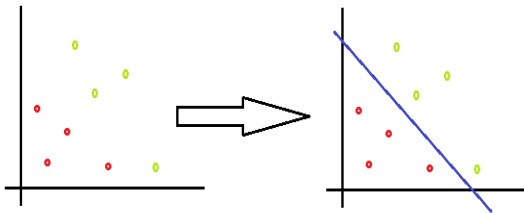
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# Classical learner using classical examples

## Basic definitions

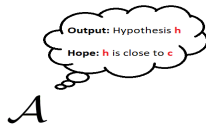
- **Concept class  $\mathcal{C}$** : collection of Boolean functions on  $n$  bits (**Known**)
- **Target concept  $c$** : some function  $c \in \mathcal{C}$ . (**Unknown**)
- **Distribution  $D$** :  $\{0, 1\}^n \rightarrow [0, 1]$
- **Labeled example** for  $c \in \mathcal{C}$ :  $(x, c(x))$  where  $x \sim D$

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$$\begin{array}{l} \mathcal{C} = \{c_1, c_2, \dots\} \\ \downarrow \\ c \\ \text{target} \\ \text{concept} \end{array} \quad \begin{array}{l} x_1 \sim D \\ x_2 \sim D \\ \vdots \\ x_T \sim D \end{array} \quad \begin{array}{l} \longrightarrow \\ \longrightarrow \\ \longrightarrow \\ \longrightarrow \end{array} \quad \begin{array}{l} (x_1, c(x_1)) \\ (x_2, c(x_2)) \\ \vdots \\ (x_T, c(x_T)) \end{array}$$



*Learner is trying to learn  $c$*



# Learning model: classical PAC learning

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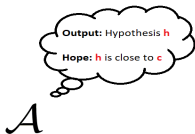
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$$\mathcal{C} = \{c_1, c_2, \dots\}$$

↓

$c$   
target  
concept

$$\begin{array}{lcl} x_1 \sim D & \longrightarrow & (x_1, c(x_1)) \\ x_2 \sim D & \longrightarrow & (x_2, c(x_2)) \\ \vdots & & \vdots \\ x_T \sim D & \longrightarrow & (x_T, c(x_T)) \end{array}$$



**Goal of  $\mathcal{A}$ .** For every  $c \in \mathcal{C}$  and  $D$ , with probability  $\geq 1 - \delta$  output a **hypothesis  $h$**  s.t.

$$\Pr_{x \sim D} [h(x) \neq c(x)] \leq \varepsilon$$

**Sample complexity of  $\mathcal{C}$** : Number of examples used on the **hardest**  $c \in \mathcal{C}$  and  $D$

**Time complexity of  $\mathcal{C}$** : Number of time steps used on the **hardest**  $c \in \mathcal{C}$  and  $D$

# Quantum PAC learning

Learner is quantum and the data is quantum

Bshouty-Jackson'95 introduced a quantum example as a **superposition**

$$\sum_{x \in \{0,1\}^n} \sqrt{D(x)} |x, c(x)\rangle$$

Measuring this state gives a  $(x, c(x))$  with probability  $D(x)$ ,  
so quantum examples are **at least as powerful** as classical

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QA

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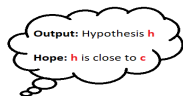
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$$\mathcal{C} = \{c_1, c_2, \dots\}$$

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target  
concept

$$\begin{aligned} \sum_x \sqrt{D(x)} |x, c(x)\rangle &\longrightarrow \\ \sum_x \sqrt{D(x)} |x, c(x)\rangle &\longrightarrow \\ \vdots & \\ \sum_x \sqrt{D(x)} |x, c(x)\rangle &\longrightarrow \end{aligned}$$



$\mathcal{QA}$

Goal of  $\mathcal{QA}$ . For every  $c \in \mathcal{C}$  and  $D$ , with prob.  $\geq 1 - \delta$  output a **hypothesis**  $h$  s.t.

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Motivating question: Do quantum examples give an advantage for PAC learning?

# Vapnik and Chervonenkis (VC) dimension

VC dimension of  $\mathcal{C} \subseteq \{c : \{0, 1\}^n \rightarrow \{0, 1\}\}$

Let  $M$  be the  $|\mathcal{C}| \times 2^n$  Boolean matrix whose  $c$ -th row is the truth table of concept  $c : \{0, 1\}^n \rightarrow \{0, 1\}$

VC-dim( $\mathcal{C}$ ): **largest**  $d$  s.t. the  $|\mathcal{C}| \times d$  rectangle in  $M$  **contains**  $\{0, 1\}^d$  These  $d$  column indices are **shattered** by  $\mathcal{C}$

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Table: VC-dim( $\mathcal{C}$ ) = 2

Concepts	Truth table			
$c_1$	0	1	0	1
$c_2$	0	1	1	0
$c_3$	1	0	0	1
$c_4$	1	0	1	0
$c_5$	1	1	0	1
$c_6$	0	1	1	1
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Table: VC-dim( $\mathcal{C}$ ) = 3

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$c_6$	0	1	1	1
$c_7$	0	0	1	1
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# VC dimension characterizes PAC sample complexity

## VC dimension of $\mathcal{C}$

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## Fundamental theorem of PAC learning

Suppose VC-dim( $\mathcal{C}$ ) =  $d$

- Blumer-Ehrenfeucht-Haussler-Warmuth'86:  
every  $(\epsilon, \delta)$ -PAC learner for  $\mathcal{C}$  needs  $\Omega\left(\frac{d}{\epsilon} + \frac{\log(1/\delta)}{\epsilon}\right)$  examples
- Hanneke'16: exists an  $(\epsilon, \delta)$ -PAC learner for  $\mathcal{C}$  using  $O\left(\frac{d}{\epsilon} + \frac{\log(1/\delta)}{\epsilon}\right)$  examples

# Quantum sample complexity

## Quantum upper bound

Classical upper bound  $O\left(\frac{d}{\epsilon} + \frac{\log(1/\delta)}{\epsilon}\right)$  carries over to quantum

## Best known quantum lower bounds

Atici & Servedio'04: lower bound  $\Omega\left(\frac{\sqrt{d}}{\epsilon} + d + \frac{\log(1/\delta)}{\epsilon}\right)$

Zhang'10 improved first term to  $\frac{d^{1-\eta}}{\epsilon}$  for all  $\eta > 0$



# Quantum sample complexity = Classical sample complexity

## Quantum upper bound

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## Our result: Tight lower bound

[AW'18]:  $\Omega\left(\frac{d}{\epsilon} + \frac{\log(1/\delta)}{\epsilon}\right)$  quantum examples are necessary

Two proof approaches

- Information theory: conceptually simple, nearly-tight bounds
- Optimal measurement: tight bounds, some messy calculations

# Proof approach: Pretty Good Measurement

State identification: Ensemble  $\mathcal{E} = \{(p_z, |\psi_z\rangle)\}_{z \in [m]}$

- Given state  $|\psi_z\rangle \in \mathcal{E}$  with prob  $p_z$ . **Goal**: identify  $z$
- Optimal measurement could be quite complicated, but we can always use the **Pretty Good Measurement**. This has POVM operators  $M_z = p_z \rho^{-1/2} |\psi_z\rangle \langle \psi_z| \rho^{-1/2}$ , where  $\rho = \sum_z p_z |\psi_z\rangle \langle \psi_z|$
- Success probability of PGM:  $P_{PGM} = \sum_i p_z \text{Tr}(M_z |\psi_z\rangle \langle \psi_z|)$
- Crucial property: if  $P_{opt}$  is the success probability of the optimal measurement, then  $P_{opt} \geq P_{pgm} \geq P_{opt}^2$  (Barnum-Knill'02)

How does learning relate to identification?

- Quantum PAC: **Given**  $|\psi_c\rangle = |E_{c,D}\rangle^{\otimes T}$ , **learn**  $c$  approximately
- **Goal**: show  $T \geq d/\epsilon$ , where  $d = \text{VC-dim}(\mathcal{C})$
- Suppose  $\{s_0, \dots, s_d\}$  is shattered by  $\mathcal{C}$ . Fix a **nasty** distribution  $D$ :  
 $D(s_0) = 1 - 16\epsilon$ ,  $D(s_i) = 16\epsilon/d$  on  $\{s_1, \dots, s_d\}$
- Let  $E : \{0, 1\}^k \rightarrow \{0, 1\}^d$  be a good error-correcting code  
s.t.  $k \geq d/4$  and  $d_H(E(y), E(z)) \geq d/8$
- Pick concepts  $\{c^z\}_{z \in \{0,1\}^k} \subseteq \mathcal{C}$ :  $c^z(s_0) = 0$ ,  $c^z(s_i) = E(z)_i \forall i$

# Pick concepts $\{c^z\} \subseteq \mathcal{C}$ : $c^z(s_0) = 0$ , $c^z(s_i) = E(z)_i \forall i$

Suppose  $VC(\mathcal{C}) = d + 1$  and  $\{s_0, \dots, s_d\}$  is shattered by  $\mathcal{C}$ , i.e.,  $|\mathcal{C}| \times (d + 1)$  rectangle of  $\{s_0, \dots, s_d\}$  contains  $\{0, 1\}^{d+1}$

Concepts $c \in \mathcal{C}$	Truth table						
	$s_0$	$s_1$	$\dots$	$s_{d-1}$	$s_d$	$\dots$	$\dots$
$c_1$	0	0	$\dots$	0	0	$\dots$	$\dots$
$c_2$	0	0	$\dots$	1	0	$\dots$	$\dots$
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$\vdots$	$\vdots$	$\vdots$	$\ddots$	$\vdots$	$\vdots$	$\dots$	$\dots$
$c_{2^{d-1}}$	0	1	$\dots$	1	0	$\dots$	$\dots$
$c_{2^d}$	0	1	$\dots$	1	1	$\dots$	$\dots$
$c_{2^{d+1}}$	1	0	$\dots$	0	1	$\dots$	$\dots$
$\vdots$	$\vdots$	$\vdots$	$\ddots$	$\vdots$	$\vdots$	$\dots$	$\dots$
$c_{2^{d+1}}$	1	1	$\dots$	1	1	$\dots$	$\dots$
$\vdots$	$\vdots$	$\vdots$	$\ddots$	$\vdots$	$\vdots$	$\dots$	$\dots$

}  $c(s_0) = 0$   
Among

$\{c_1, \dots, c_{2^d}\}$ , pick  $2^k$  concepts that correspond to **codewords** of  $E : \{0, 1\}^k \rightarrow \{0, 1\}^d$  on  $\{s_1, \dots, s_d\}$

# Proof approach: Pretty Good Measurement

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- **Learning  $c^z$  approximately (wrt  $D$ ) is equivalent to identifying  $z$ !**

# Sample complexity lower bound via PGM

## Recap

- Learning  $c^z$  approximately (wrt  $D$ ) is equivalent to identifying  $z$ !
- If sample complexity is  $T$ , then there is a good learner that identifies  $z$  from  $|\psi_{c^z}\rangle = |E_{c^z, D}\rangle^{\otimes T}$  with probability  $\geq 1 - \delta$

## Analysis of PGM

- For the ensemble  $\{|\psi_{c^z}\rangle : z \in \{0, 1\}^k\}$  with uniform probabilities  $p_z = 1/2^k$ , we have  $P_{pgm} \geq P_{opt}^2 \geq (1 - \delta)^2$
- $P_{pgm} \leq \dots$  4-page calculation  $\dots \leq \exp(T^2 \varepsilon^2 / d + \sqrt{Td\varepsilon} - d - T\varepsilon)$
- This implies  $T = \Omega(d/\varepsilon)$

# Random classification noise

## Classical model

- There is a fixed **noise parameter**  $\eta \in [0, 1]$
- A learning algorithm for  $c \in \mathcal{C}$  obtains an  $(x, b)$  where  $b = c(x)$  with probability  $1 - \eta$  and  $b = 1 + c(x)$  with probability  $\eta$
- Given such noisy examples, **learn  $c$**

## Quantum model

- There is a fixed noise parameter  $\eta \in [0, 1]$
- A quantum learner obtains

$$\sum_x \sqrt{D(x)} |x\rangle (\sqrt{1-\eta} |c(x)\rangle + \sqrt{\eta} |1+c(x)\rangle).$$

Given **copies of this state**, learn  $c$

## Strengths and weaknesses of noisy examples

- [AW'18] quantum noisy examples **do not** provide an advantage
- When  $D$  is the **uniform distribution**, even learning parities is open classically but quantum learning parities is possible in quantum polynomial time.

# Agnostic learning

## Lets get real!

- So far, examples were generated according to a target concept  $c \in \mathcal{C}$
- In **realistic situations** we could have “noisy” examples for the target concept, or maybe *no fixed target concept* even exists

## How do we model this? Agnostic learning

- Unknown distribution  $D$  on  $(x, \ell)$  generates examples
- Suppose “best” concept in  $\mathcal{C}$  has error  $\text{OPT} = \min_{c \in \mathcal{C}} \Pr_{(x, \ell) \sim D} [c(x) \neq \ell]$
- **Goal** of the agnostic learner: **output  $h \in \mathcal{C}$  with error  $\leq \text{OPT} + \epsilon$**

## What about sample complexity?

- Classical sample complexity:  $\Theta\left(\frac{d}{\epsilon^2} + \frac{\log(1/\delta)}{\epsilon^2}\right)$  [VC74, Tal94]
- No quantum bounds known before (unlike PAC model)
- We show the **quantum examples do not reduce sample complexity**