

# Quantum Hamiltonian Complexity

## Part II

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Computer Science Department  
UC Irvine

# The class QMA (Quantum Merlin Arthur)

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A problem is in NP if there is a poly-sized uniform circuit family  $\{C_n\}$  such that on input  $x$ , where  $|x| = n$ :

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# Boolean Satisfiability is NP-hard [Cook-Levin]

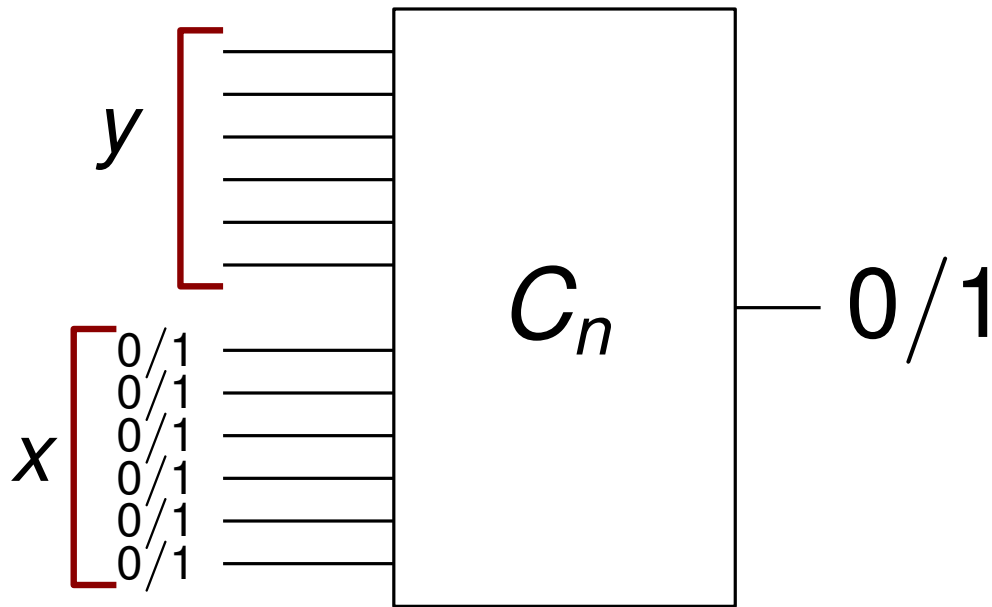
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Is  $x \in L$ ?

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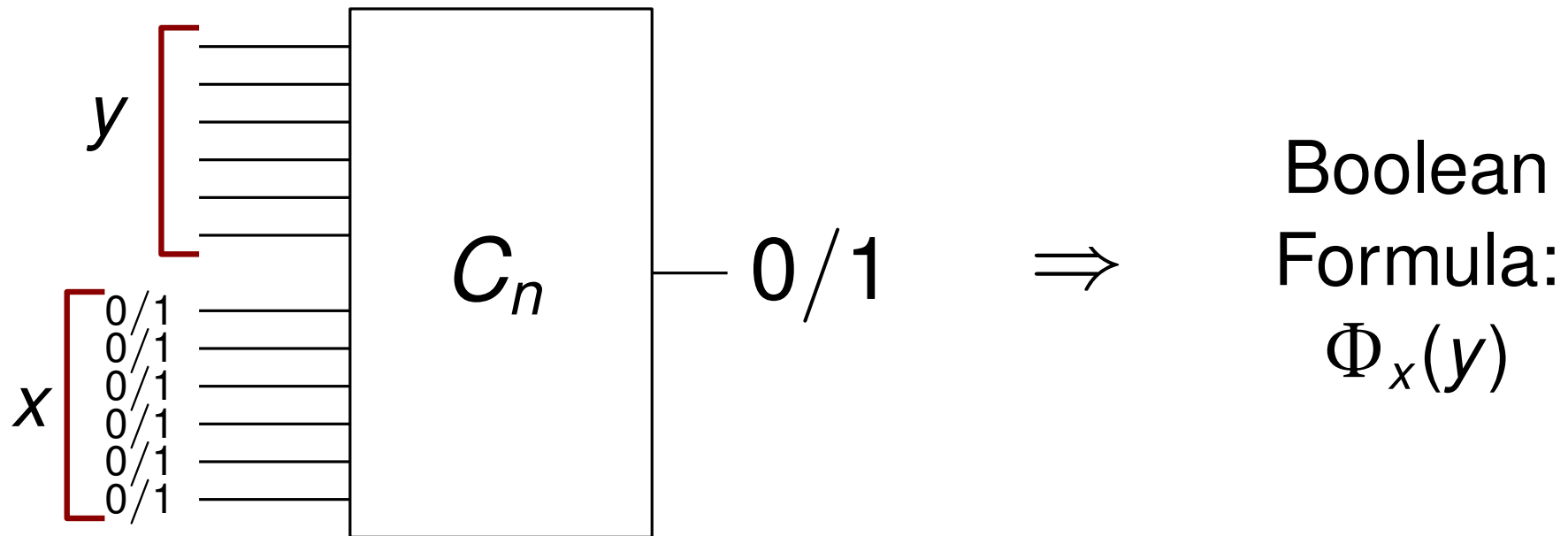


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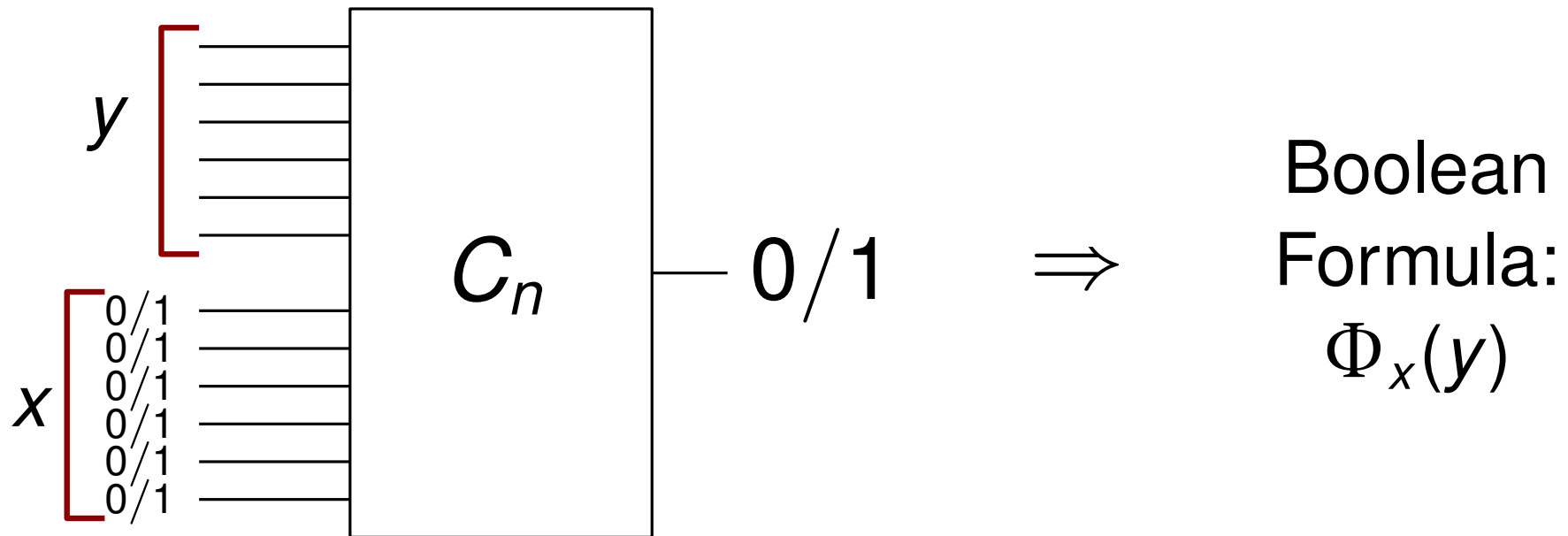


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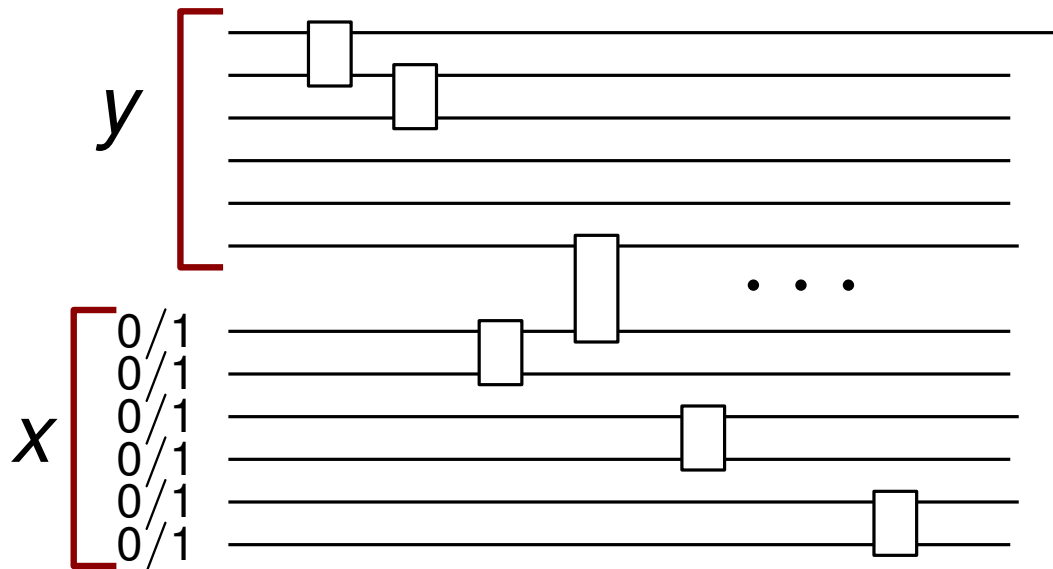
Is  $\Phi_x(y)$  satisfiable?

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Can assume  $C_n$  is a reversible circuit.



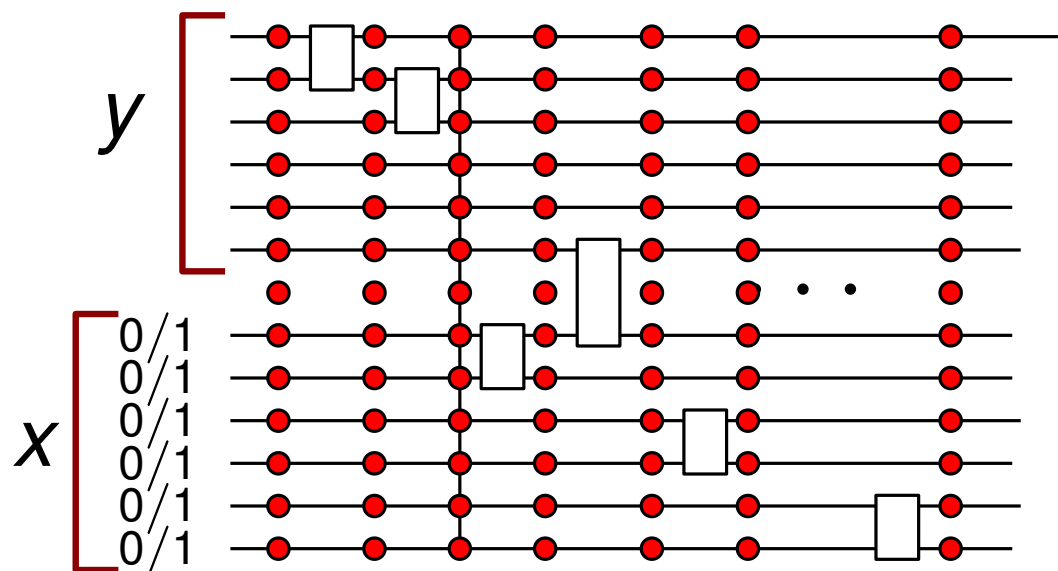
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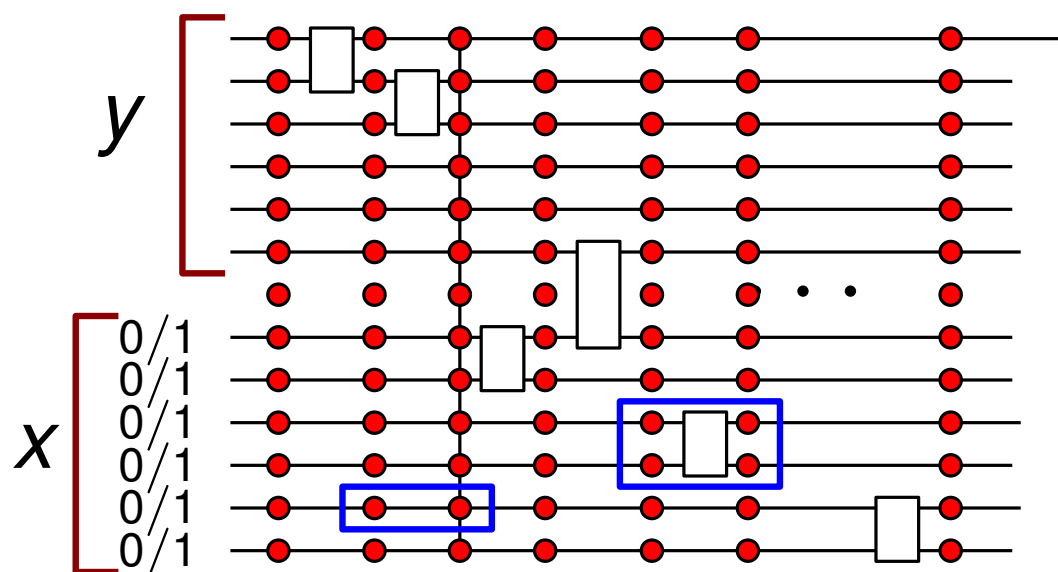


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Enforce correct  
computation  
by local constraints.

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# Local Hamiltonian is QMA-hard

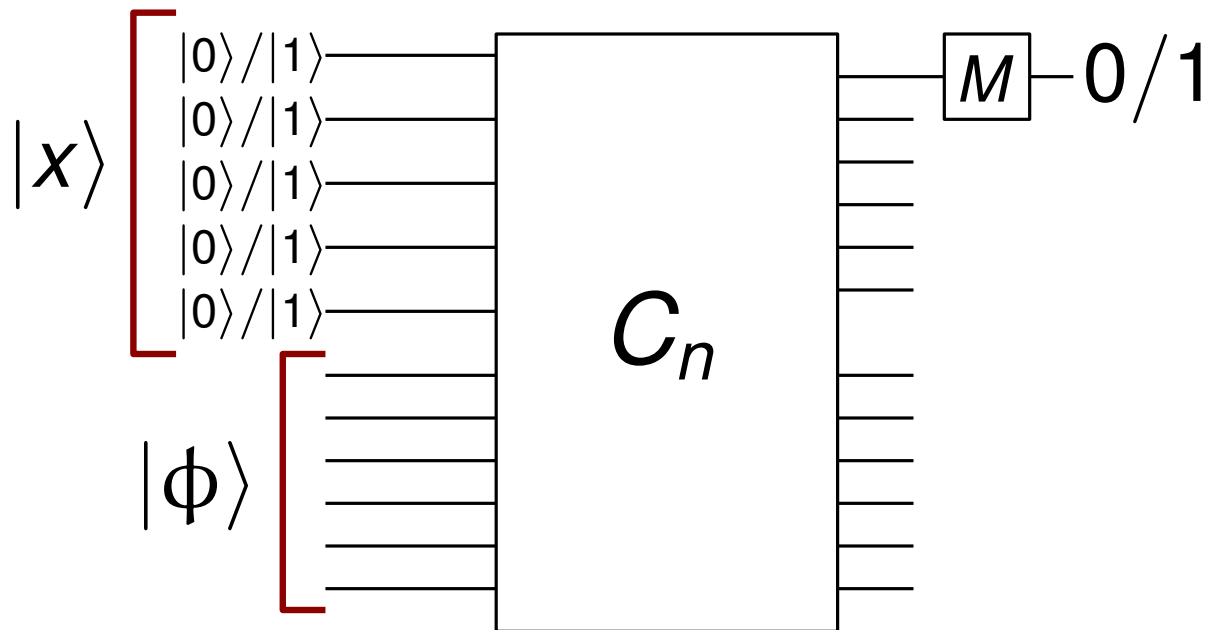
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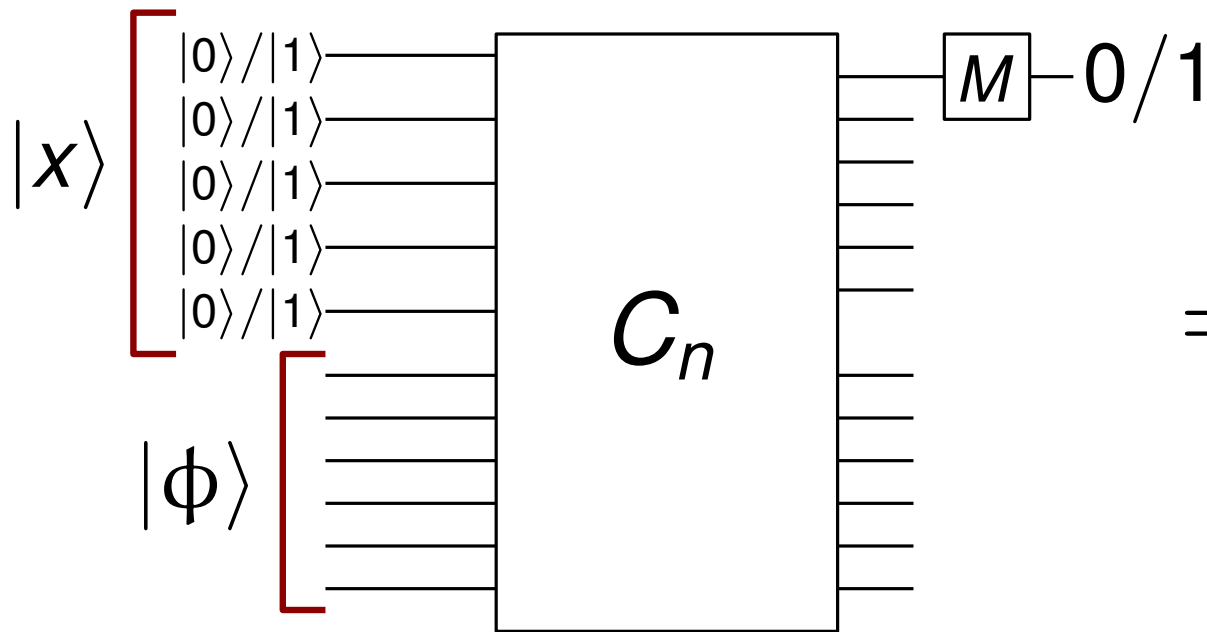


Is there a quantum state  $|\phi\rangle$   
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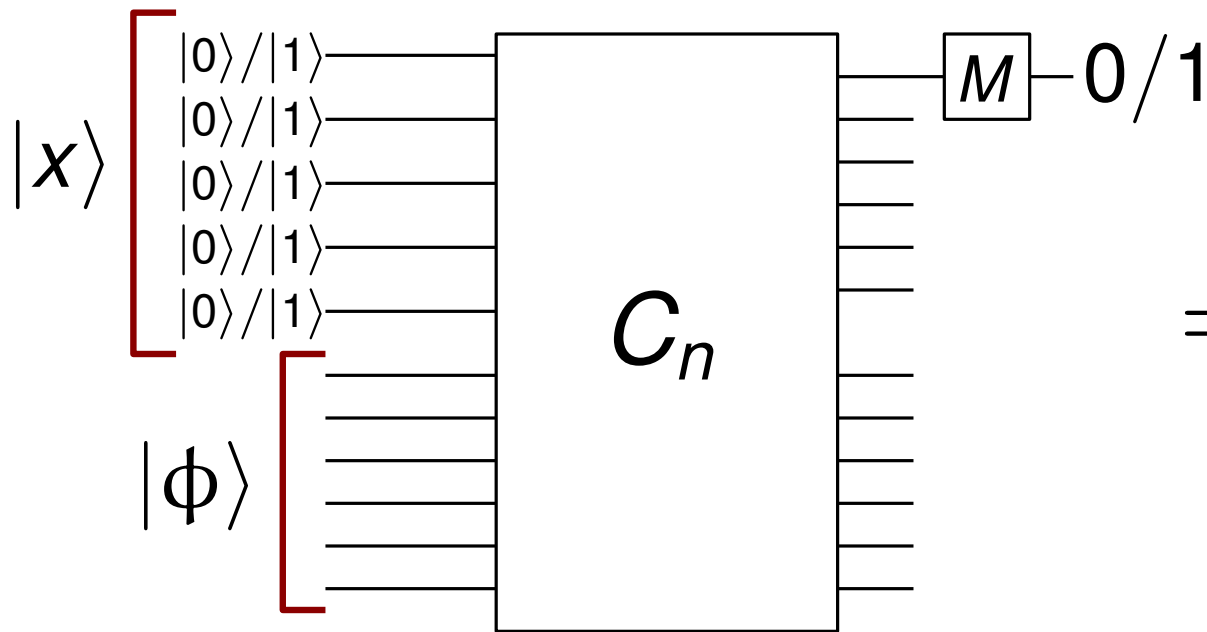
$k$ -Local  
Hamiltonian:  
 $(H_x, E, \Delta)$

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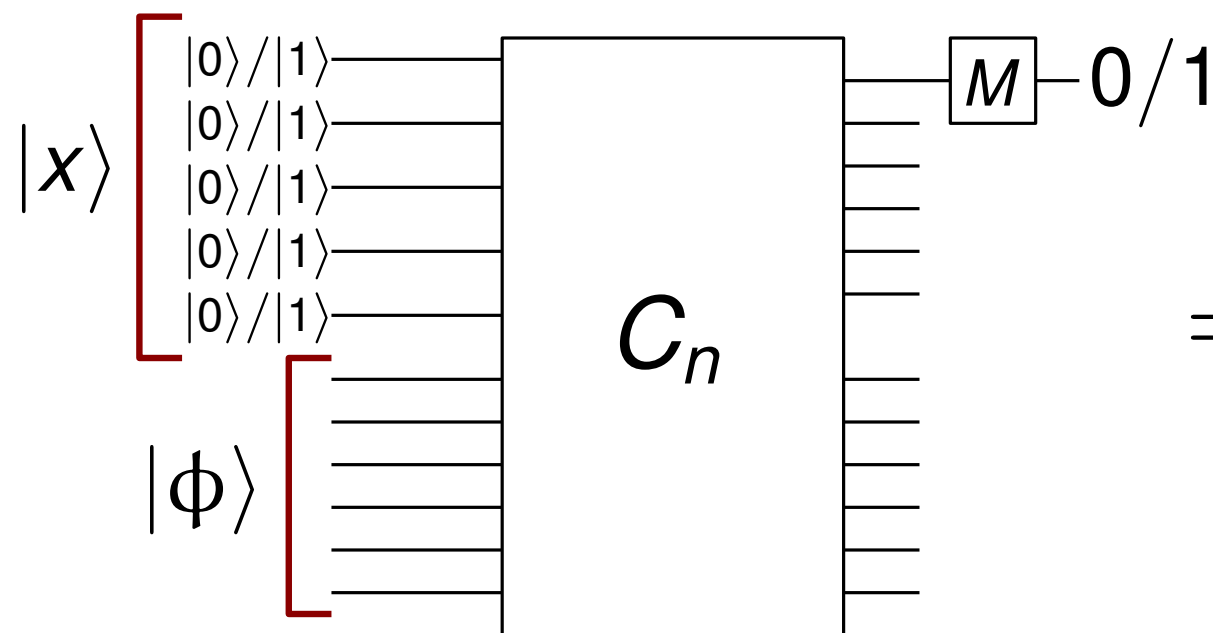
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$\Leftrightarrow$  Is the ground energy of  $H_x$   
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# Local Hamiltonian is QMA-hard [Kitaev 1995]

Start with a generic language  $L$  in QMA

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Start with  $k = O(\log n)$   
Then improve to  $k = 5$

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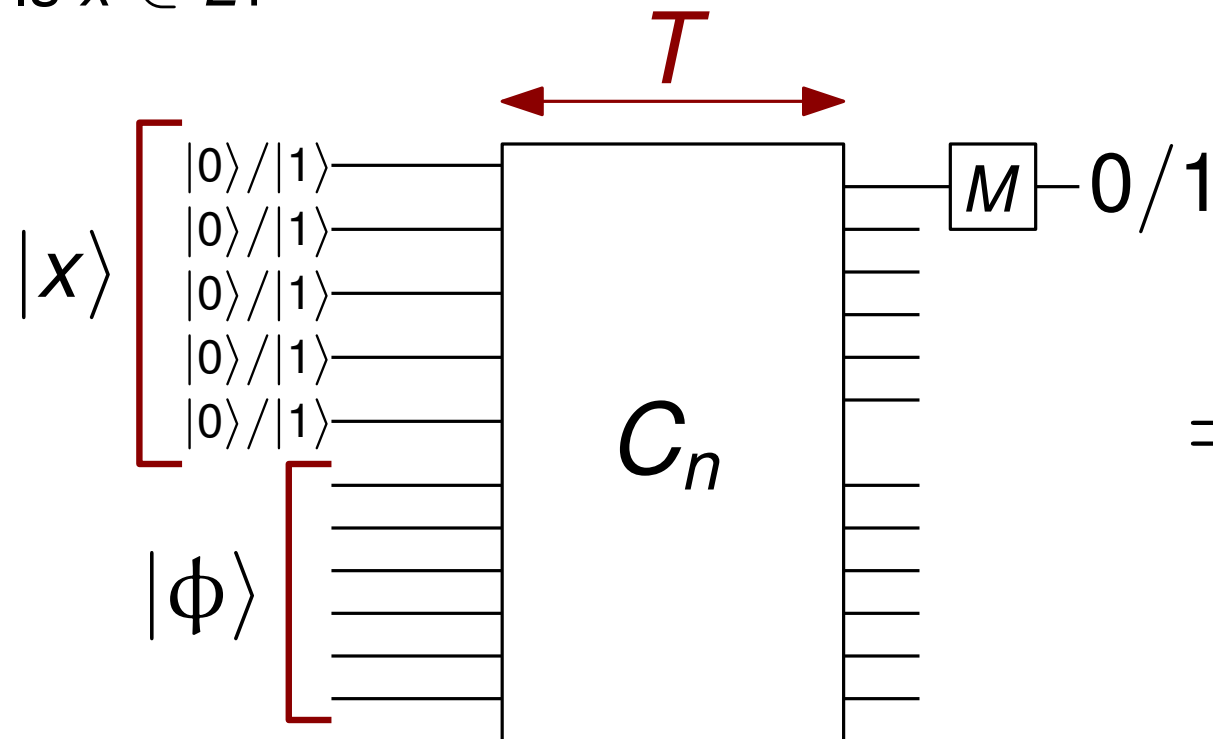
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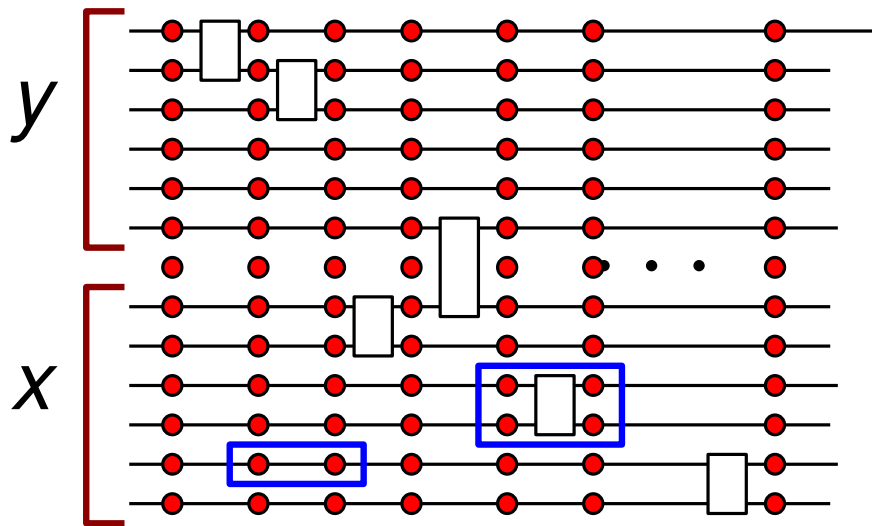
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# Computational History States - Classical and Quantum

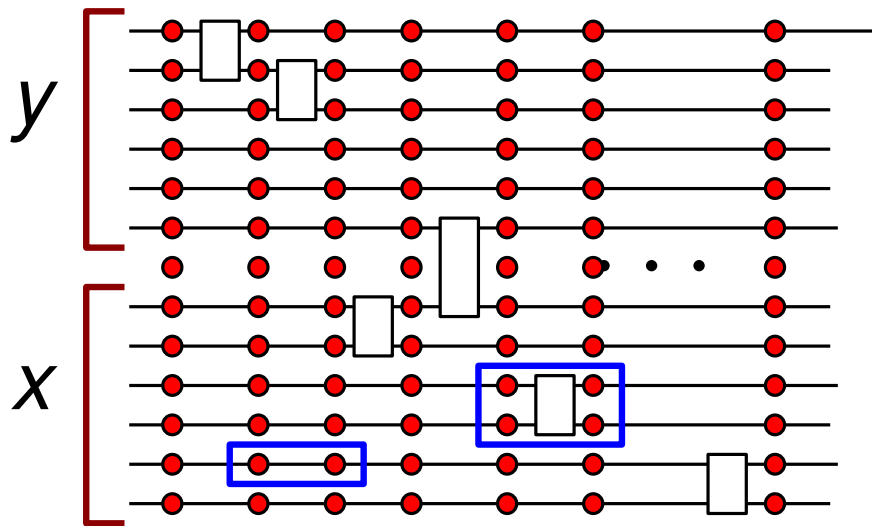
## Classical Reversible Circuit



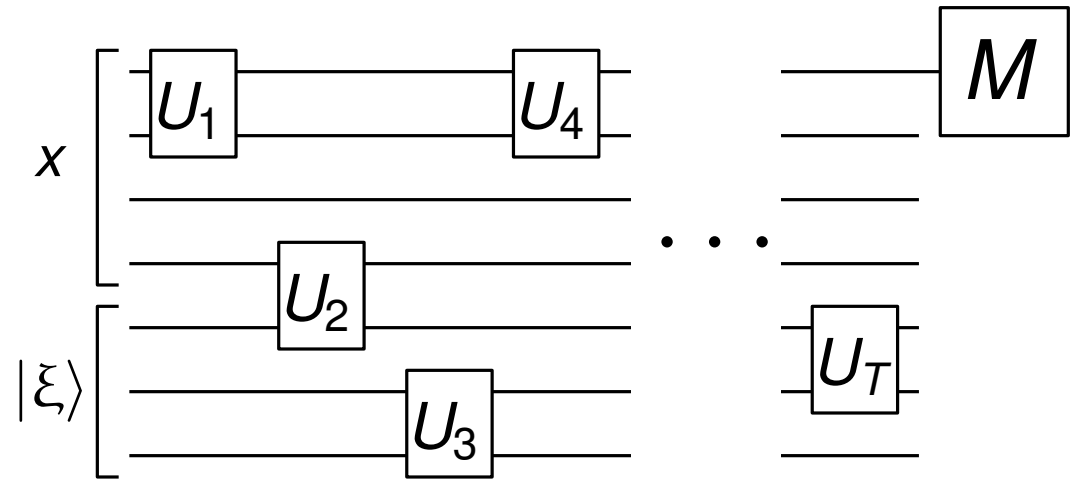


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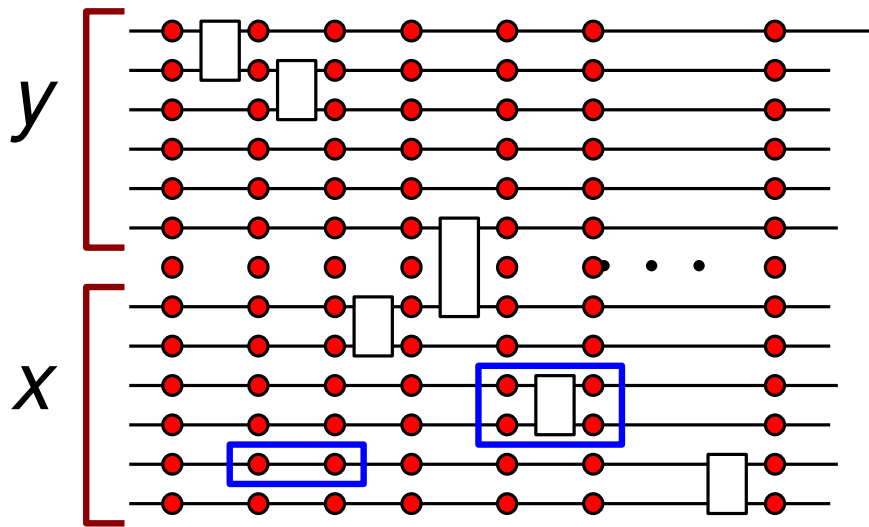


Quantum  
Verifier circuit

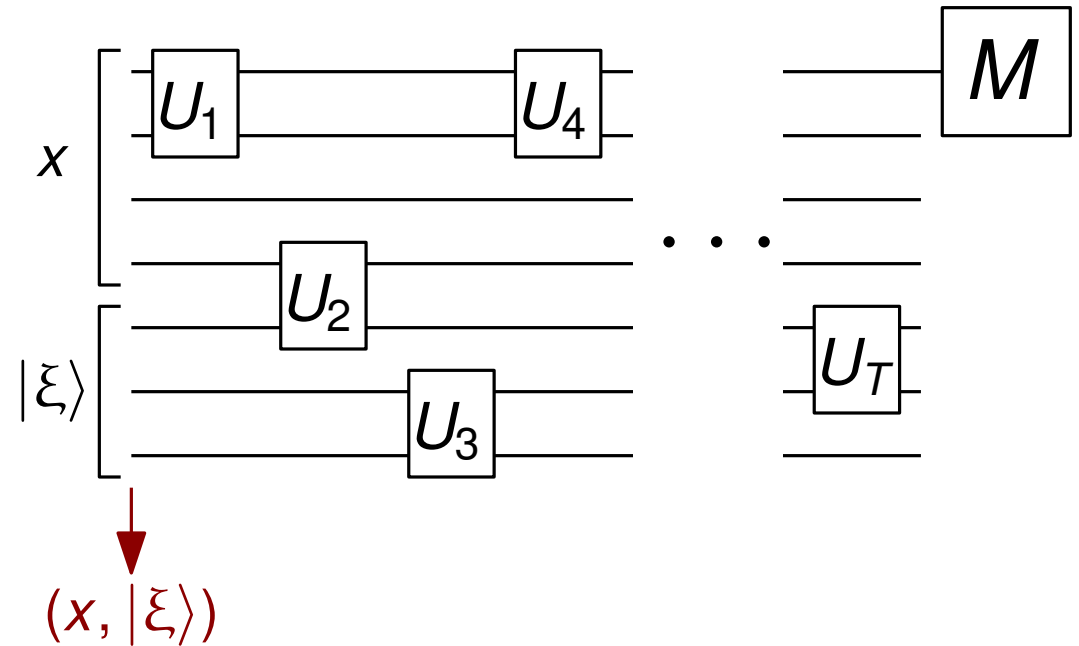


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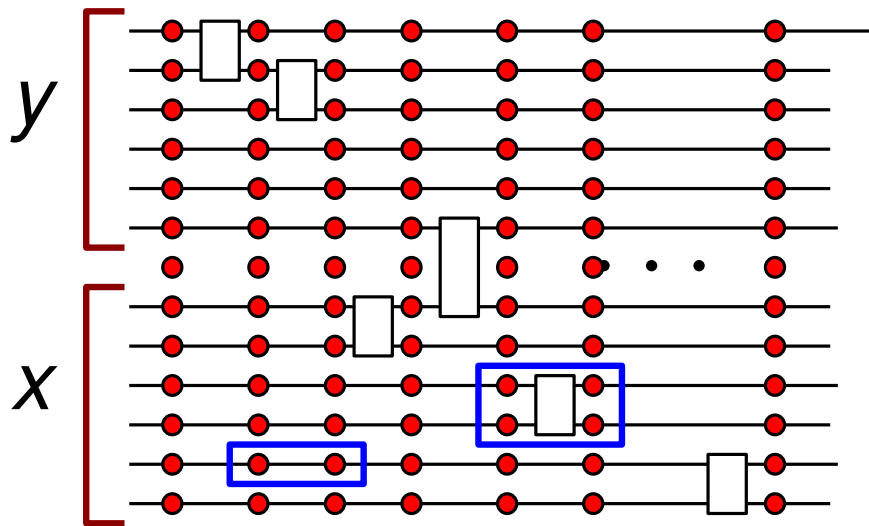


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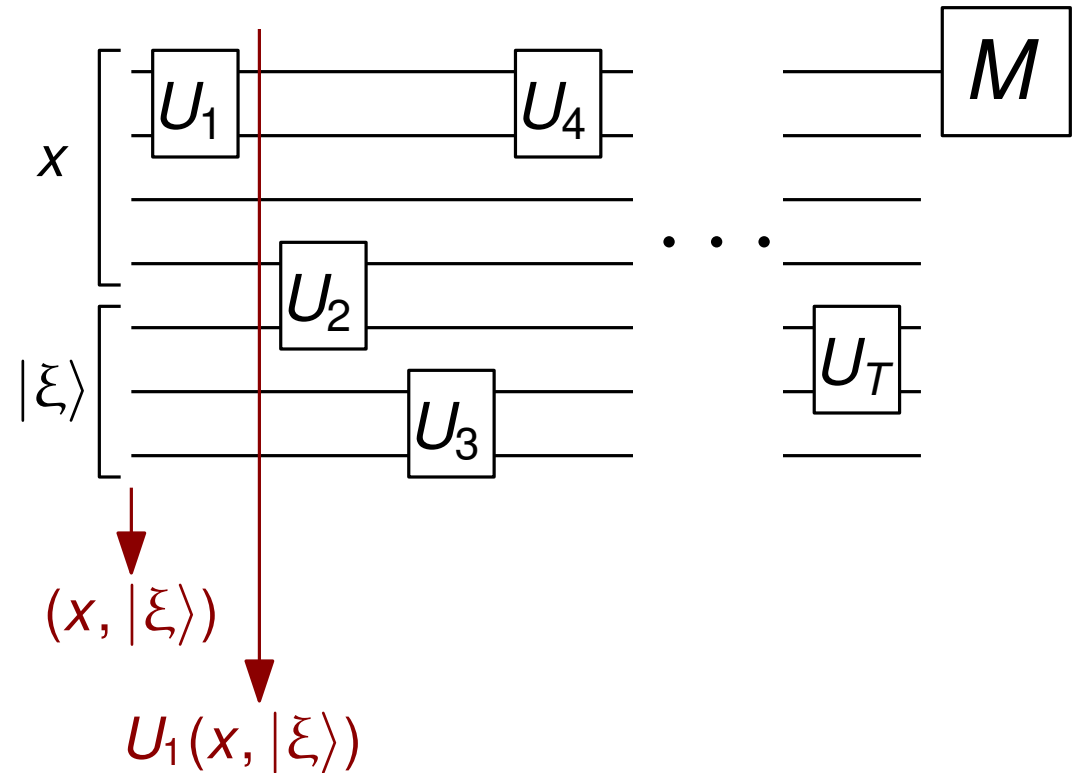


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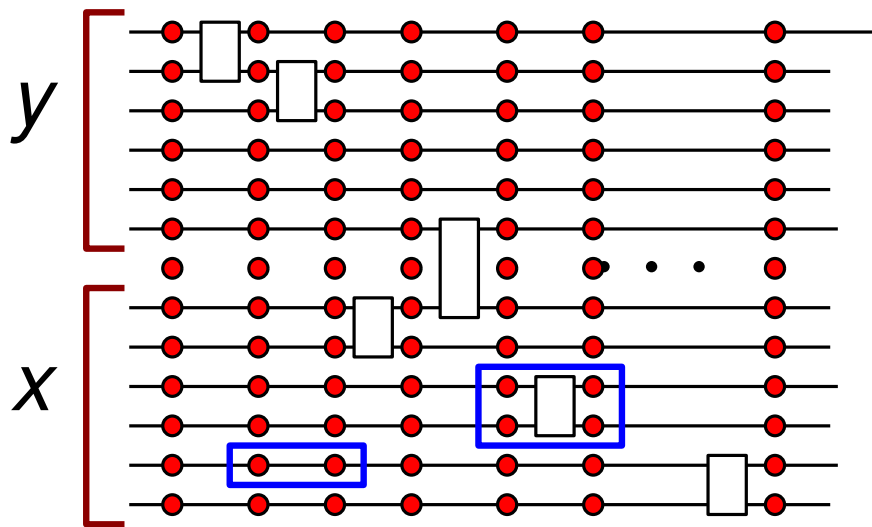


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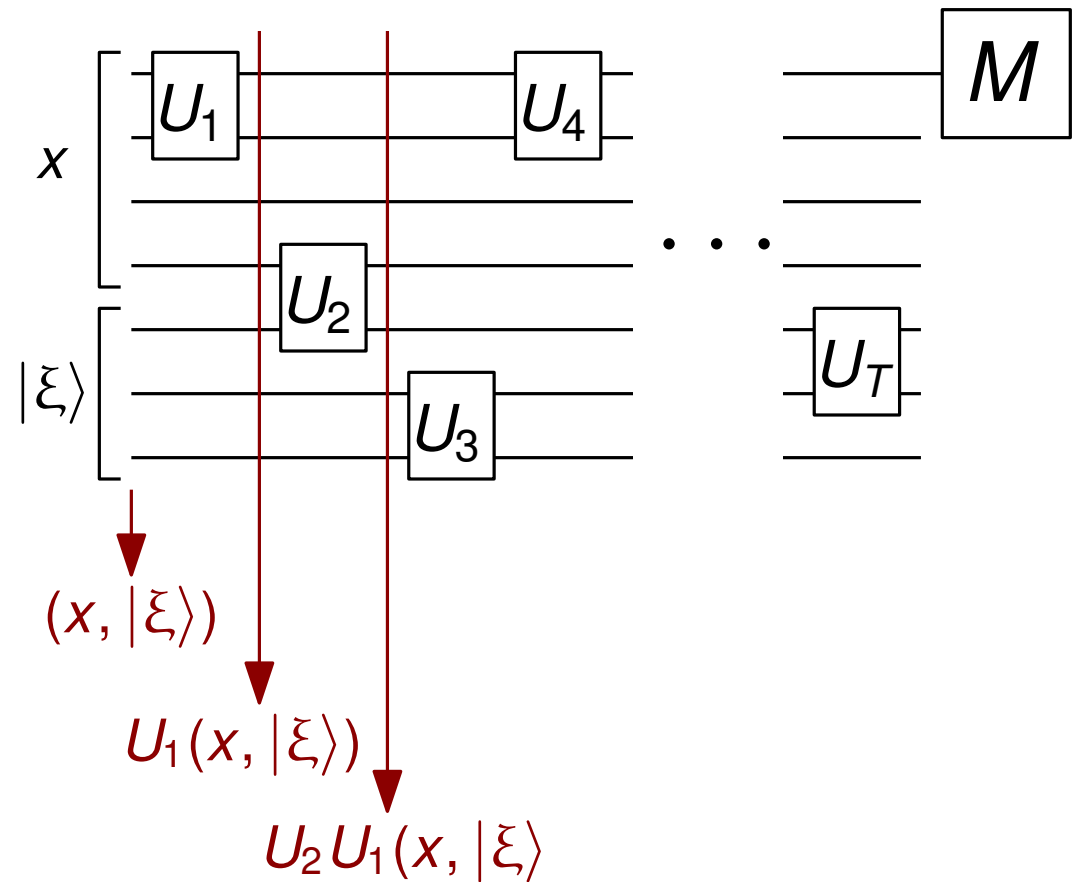


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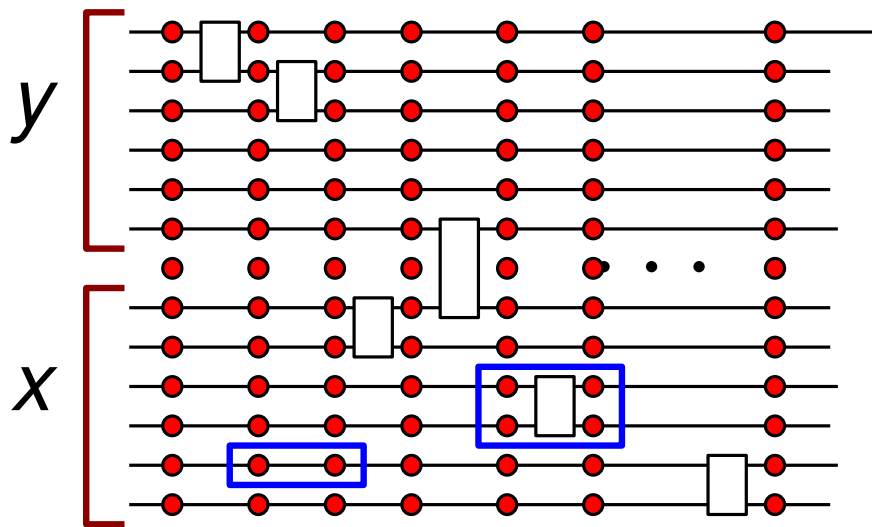


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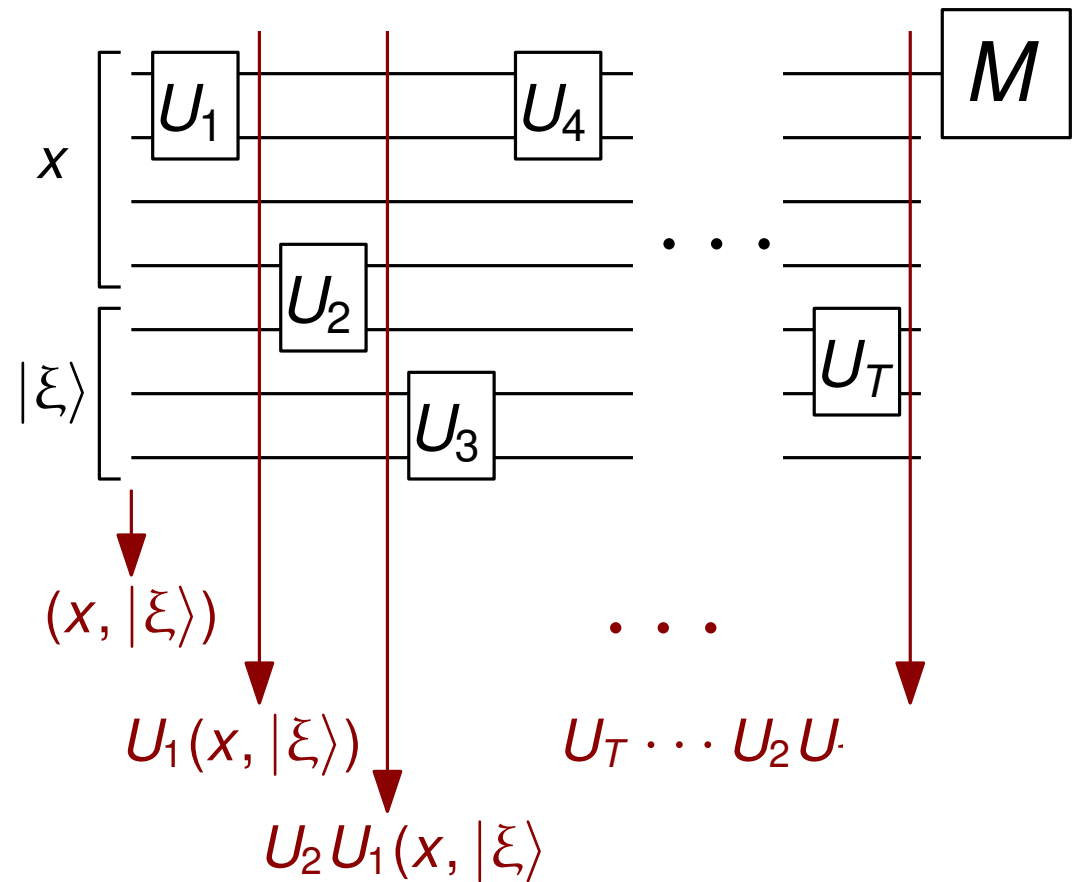


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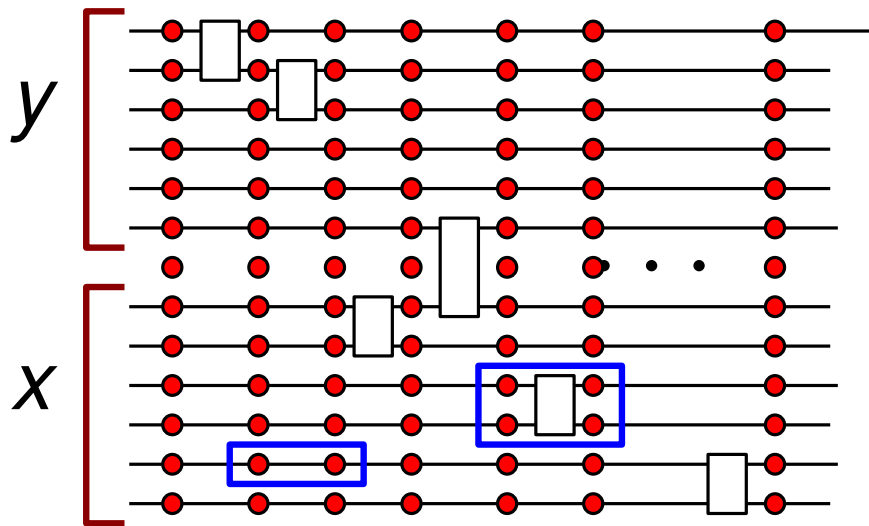


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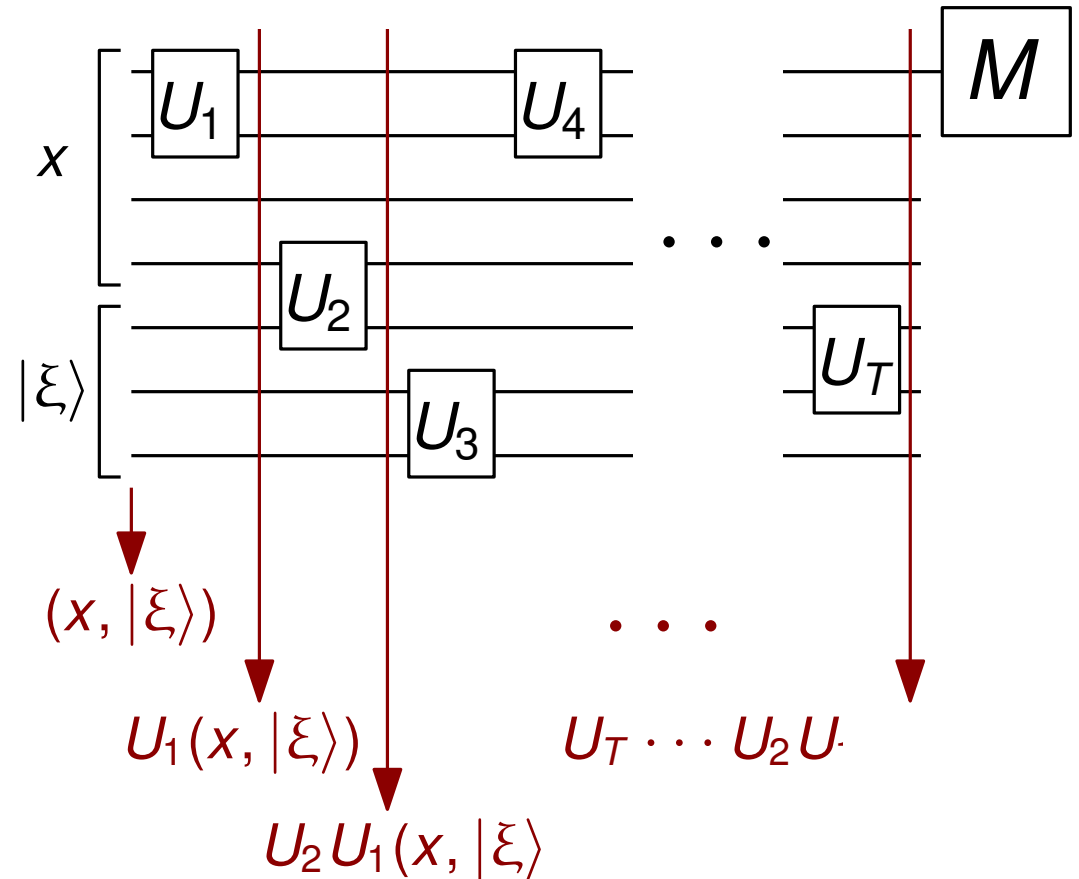


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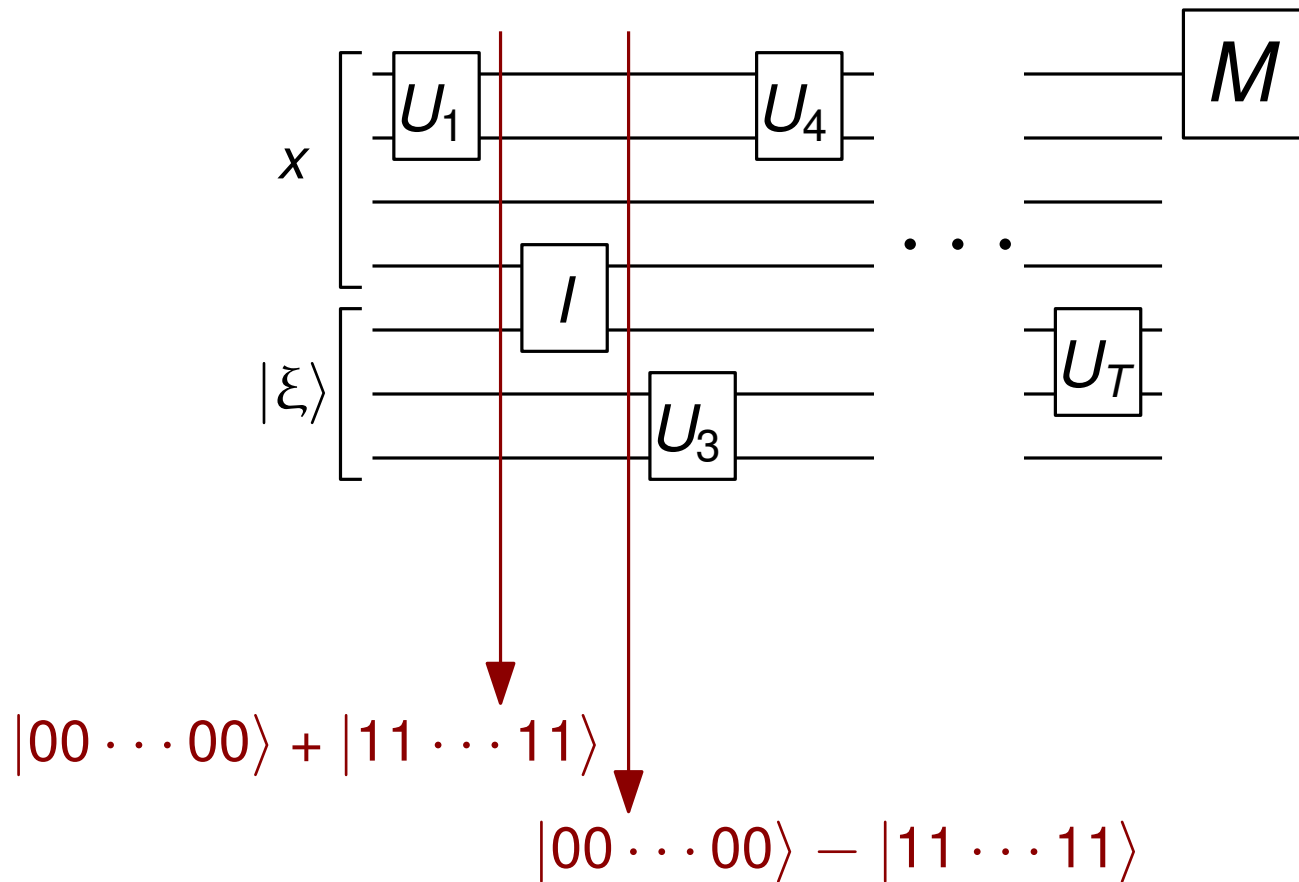
Quantum  
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Idea: Store the history of the computation in quantum superposition.

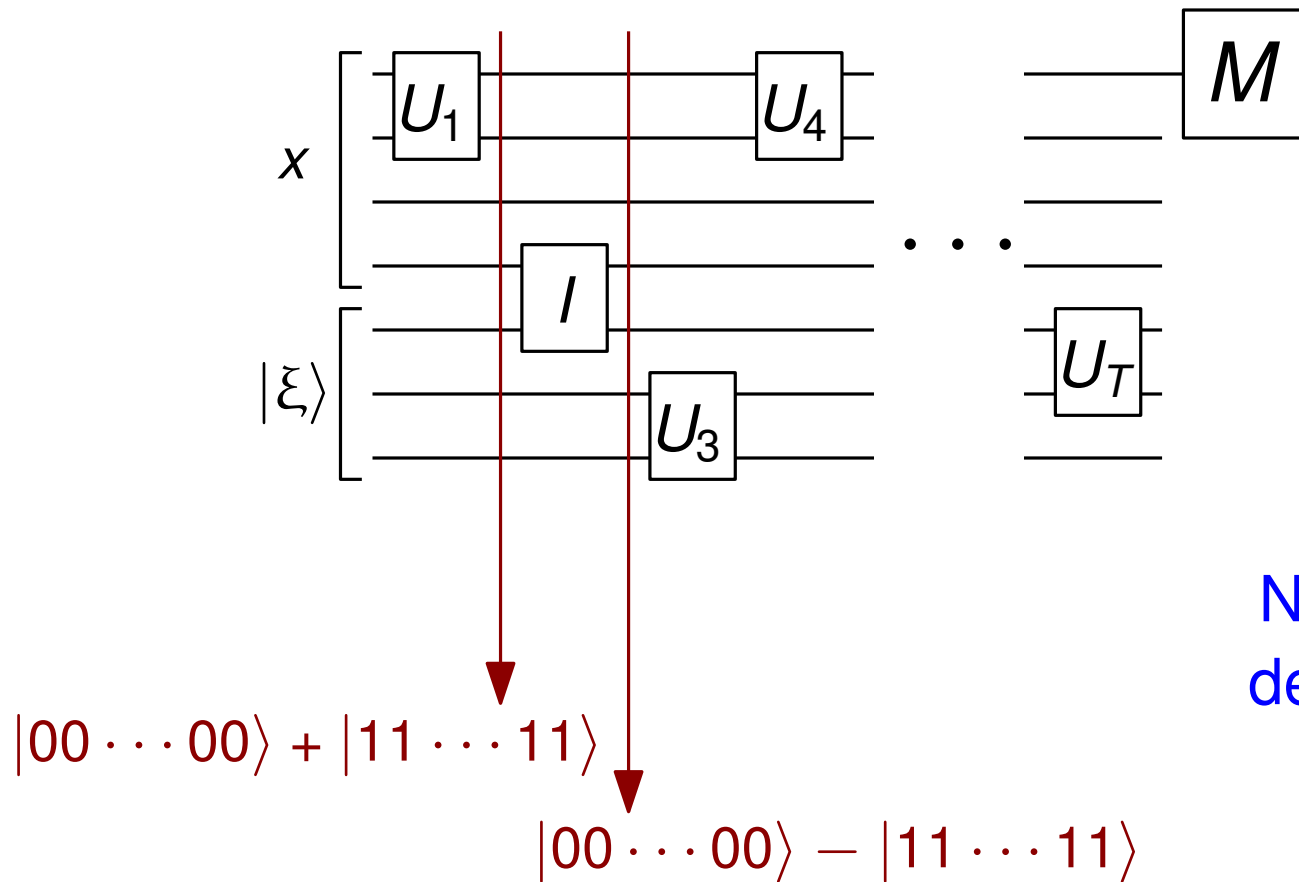
# Need for Superposition

## Quantum Verifier circuit



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No local check can detect this problem.



# The Computation State

The constraints of the  $k$ -local Hamiltonian ensure that the ground state is a superposition of:

$$\begin{array}{l} |x\rangle|\xi\rangle \quad |00 \dots 00\rangle \\ U_1|x\rangle|\xi\rangle \quad |00 \dots 01\rangle \\ U_2 U_1|x\rangle|\xi\rangle \quad |00 \dots 10\rangle \\ \vdots \\ U_T \dots U_2 U_1|x\rangle|\xi\rangle \quad |11 \dots 11\rangle \end{array}$$

$$\underbrace{U_T \dots U_2 U_1|x\rangle|\xi\rangle}_{\text{Computation Qubits}} \quad |11 \dots 11\rangle_{\text{Clock Qubits}}$$

Computation  
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Clock  
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$$\underbrace{U_T \dots U_2 U_1 |x\rangle|\xi\rangle}_{\text{Computation Qubits}} \quad \underbrace{|11 \dots 11\rangle}_{\text{Clock Qubits}}$$

$$\frac{1}{\sqrt{T+1}} \sum_{t=0}^T \underbrace{U_t U_{t-1} \dots U_2 U_1 |x\rangle|\xi\rangle}_{\text{Computation Register}} \otimes |t\rangle_{\text{Clock Register}}$$

Clock Register  
 $s$  qubits  
 $T = 2^s - 1$

# A Simpler Problem

Find a Hamiltonian over  $s$  qubits, whose ground state is:

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Each term:

$$\begin{array}{c} t \\ t+1 \end{array} \begin{bmatrix} \begin{array}{cc} t & t+1 \\ 1/2 & -1/2 \end{array} \\ \begin{array}{cc} 1/2 & 1/2 \end{array} \end{bmatrix}$$

$(1, 1)$ , eigenvalue 0

$(1, -1)$ , eigenvalue 1



























# Propagation Matrix $H_{prop}$

Target  
Ground State:  $\frac{1}{\sqrt{T+1}} \sum_{t=0}^T U_t U_{t-1} \cdots U_2 U_1 |x\rangle |\xi\rangle \otimes |t\rangle$

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$$H_{prop} = \sum_{t=1}^T H_t$$

# Propogation Matrix $H_{prop}$ , cont.

Express  $H_{prop}$  in special basis of  $\mathcal{H} = \mathcal{H}_{input} \otimes \mathcal{H}_{witness} \otimes \mathcal{H}_{clock}$

Orthonormal basis for input register:  $\{|a_j\rangle\}$

Orthonormal basis for witness register:  $\{|\xi_k\rangle\}$

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One possible basis for  $\mathcal{H}$ :

$$\{|a_j\rangle\} \otimes \{|\xi_k\rangle\} \otimes \{|t\rangle\}$$

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For each  $j$  and  $k$ ,  $\mathcal{H}_{j,k}$  is the space spanned by:

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⋮

$$U_T \cdots U_2 U_1 |a_j\rangle|\xi_k\rangle \otimes |T\rangle$$



# Propagation Matrix $H_{prop}$ , cont.

Express  $H_{prop}$  in special basis of  $\mathcal{H} = \mathcal{H}_{input} \otimes \mathcal{H}_{witness} \otimes \mathcal{H}_{clock}$

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The  $\mathcal{H}_{j,k}$ 's are mutually orthogonal:

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# Propagation Matrix $H_{prop}$ , cont.

$$H_t = \frac{1}{2} \left[ I \otimes |t\rangle\langle t| + I \otimes |t-1\rangle\langle t-1| + U_t \otimes |t\rangle\langle t-1| - U_t^\dagger \otimes |t-1\rangle\langle t| \right]$$
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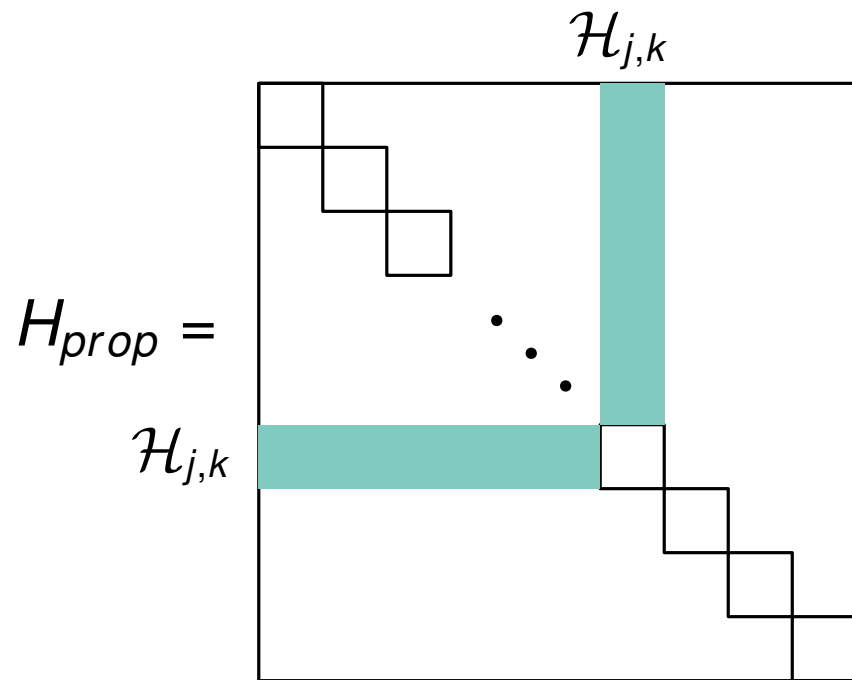








# Propagation Matrix $H_{prop}$ , cont.











## Two more terms....

Enforce that start state has the correct input  $x$  in the input register:

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Applied to bit  $j$  of the input register.



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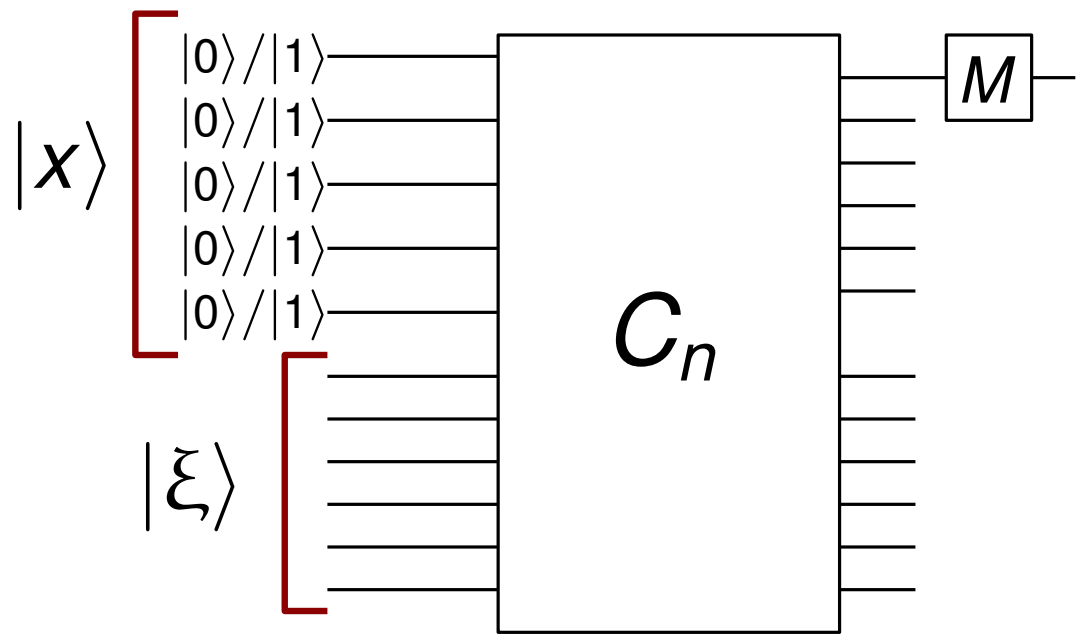
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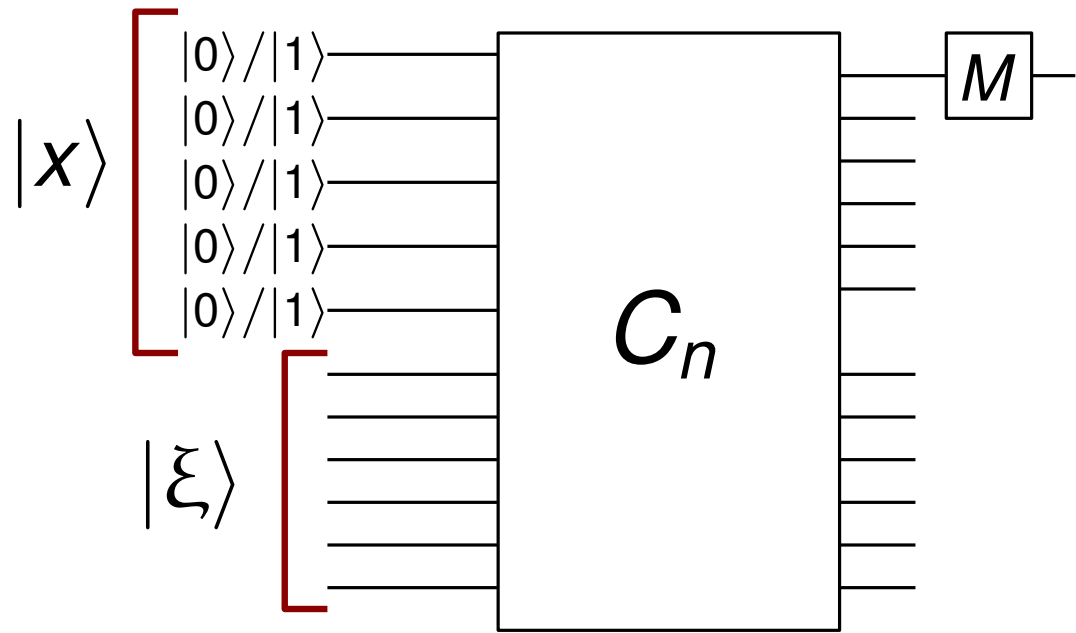
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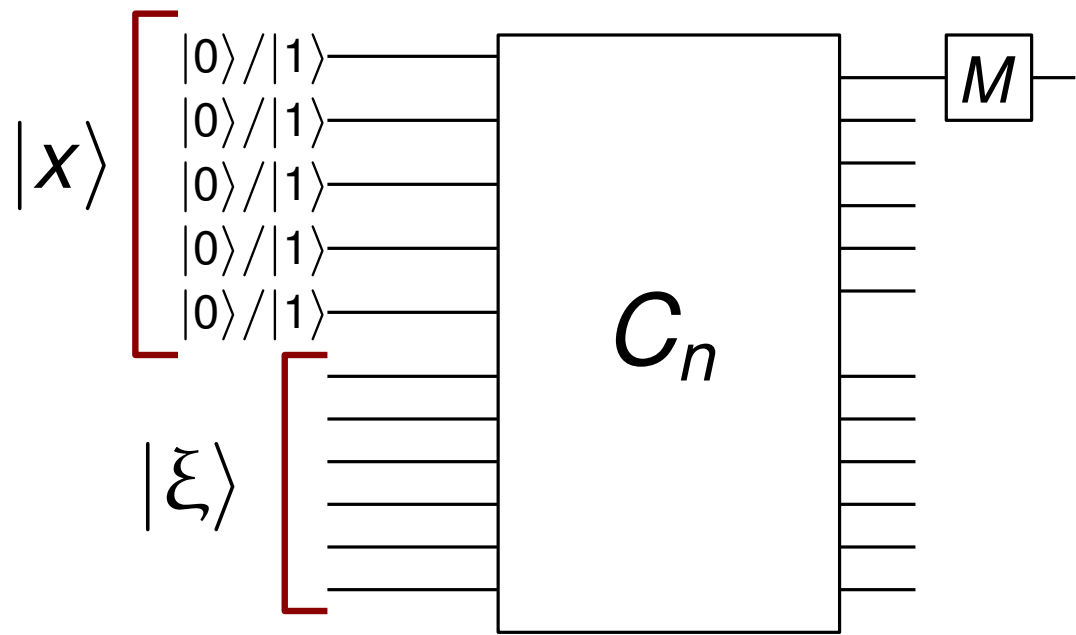
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$$H = H_{prop} + H_{init} + H_{out}$$



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If  $x \in \text{Yes}$ , then there is a  $|\xi\rangle$  such that  $C_n(x, |\xi\rangle) = 1$   
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$$\frac{1}{\sqrt{T+1}} \underbrace{U_T \cdots U_1 |x\rangle |\xi\rangle}_{\text{red underline}} |T\rangle = \frac{1}{\sqrt{T+1}} \underbrace{(\alpha_0 |0\rangle |\psi_0\rangle + \alpha_1 |1\rangle |\psi_1\rangle)}_{\text{red underline}} |T\rangle$$

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Select  $E = \frac{1}{2^{n(T+1)}}$

$\langle \Phi | H | \Phi \rangle \leq E$

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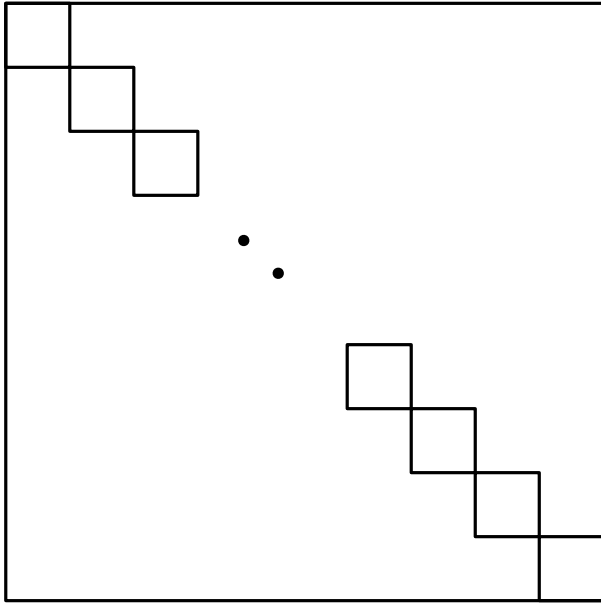
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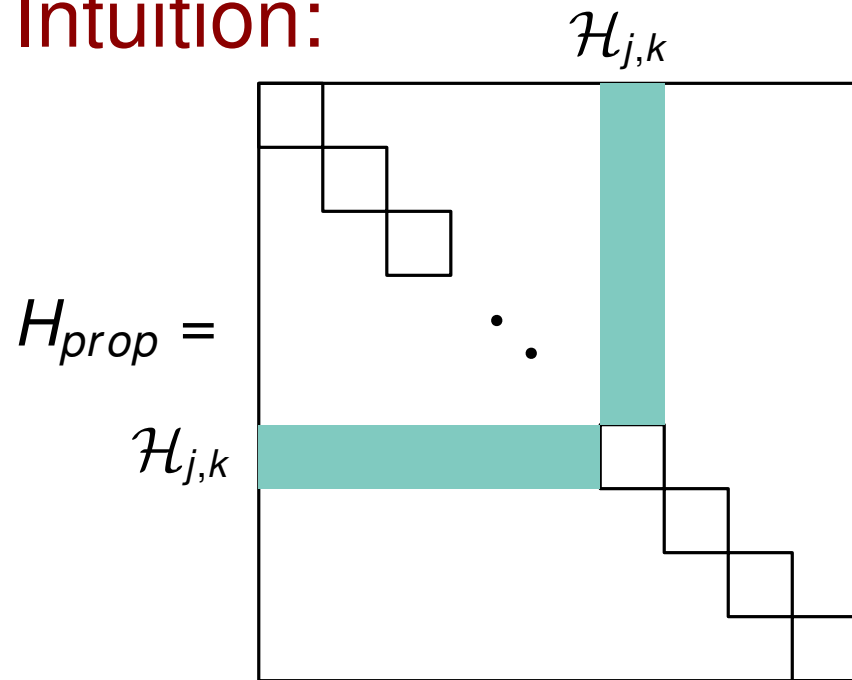
Intuition:

$H_{prop} =$



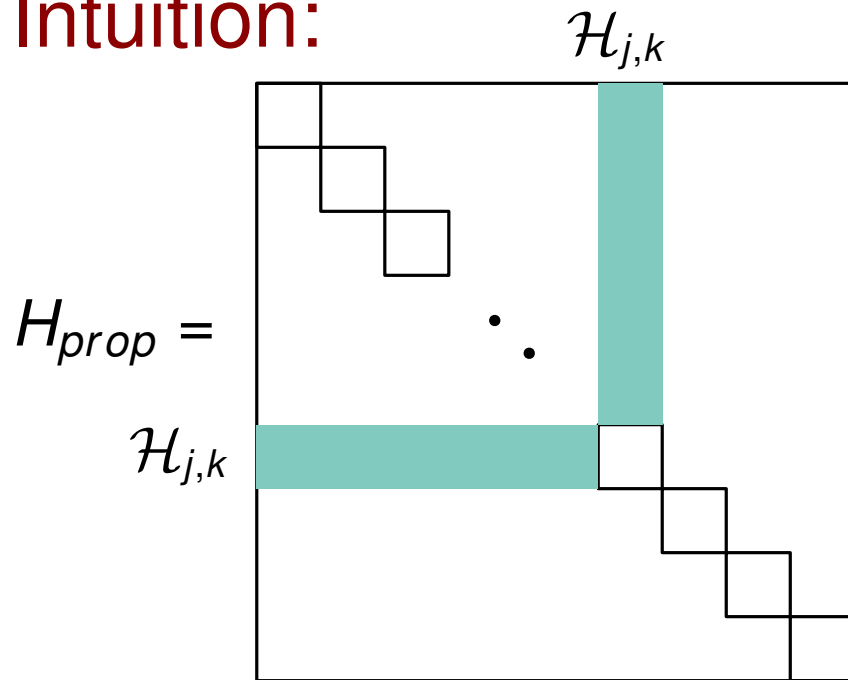
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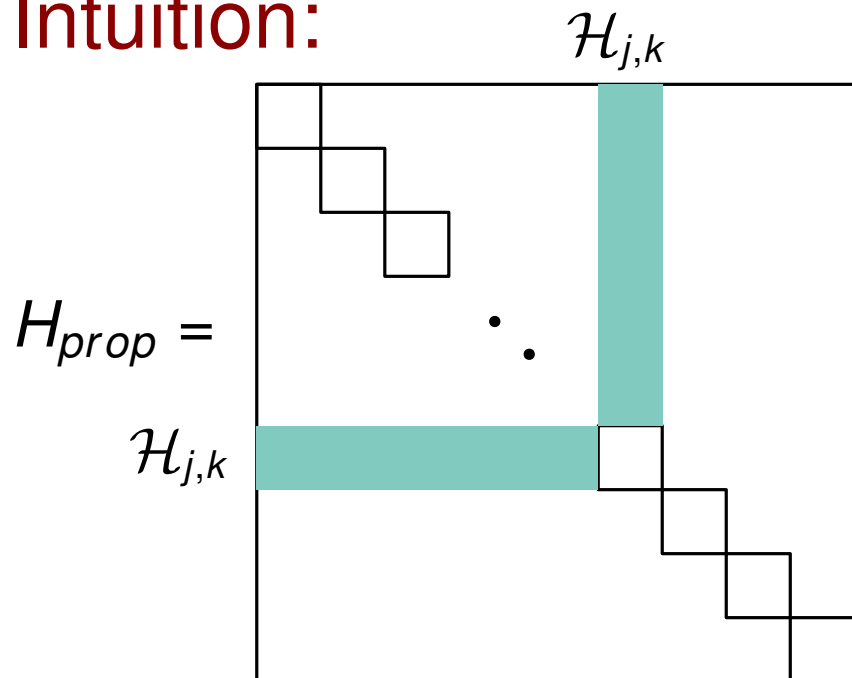


Ground state of  $H_{prop}|_{\mathcal{H}_{j,k}}$ :

$$|\Psi_{j,k}\rangle = \frac{1}{\sqrt{T+1}} \sum_{t=0}^T U_t U_{t-1} \cdots U_2 U_1 |a_j\rangle |\xi_k\rangle \otimes |t\rangle$$

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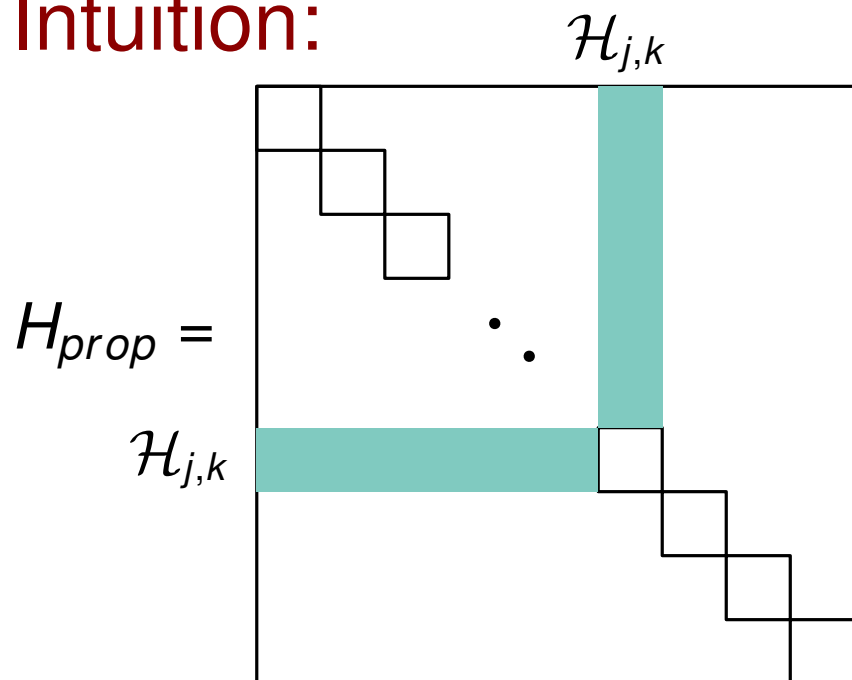
If  $a_j \neq x$  (input string is wrong):

$$\text{then } \langle \Psi_{j,k} | H_{init} | \Psi_{j,k} \rangle \geq \frac{1}{T+1}$$

because expectation of  $H_{init}$  on  $|a_j\rangle |\xi_k\rangle |0\rangle \geq 1$

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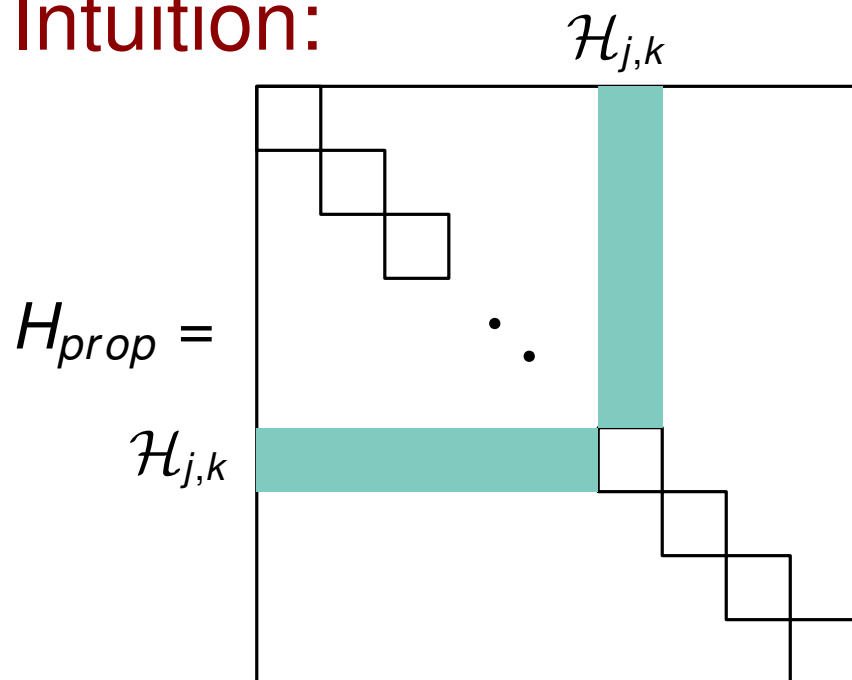
$$\langle \Psi_{j,k} | H_{out} | \Psi_{j,k} \rangle \geq \frac{1}{T+1} \left( 1 - \frac{1}{2^n} \right)$$

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$\Rightarrow$

lower bound for  
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$H_1$  and  $H_2$  are Hermitian positive semi-definite matrices.

Let  $N_1$  and  $N_2$  be the null spaces for  $H_1$  and  $H_2$ .

If the second eigenvalue of  $H_1$  and  $H_2$  is  $\geq \lambda$   
and the angle between  $N_1$  and  $N_2$  is at least  $\theta$

then the smallest eigenvalue of  $H_1 + H_2$  is  $\geq \lambda \sin^2(\theta/2)$

Apply with

$$H_1 = H_{prop}$$

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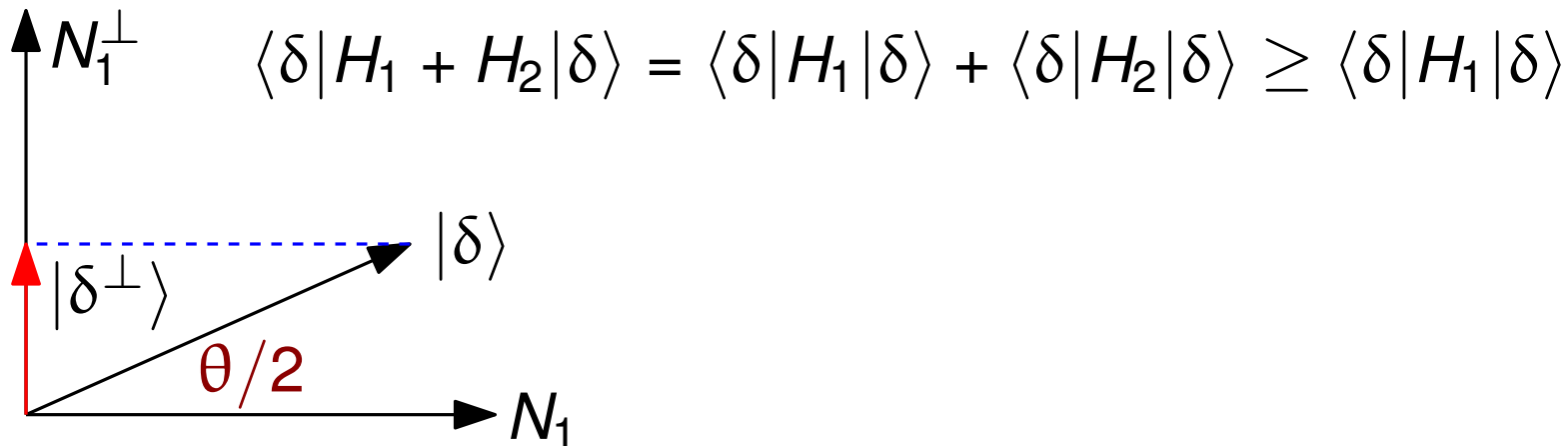
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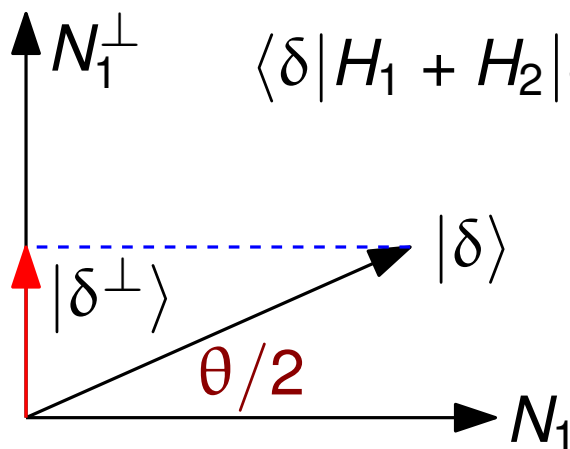
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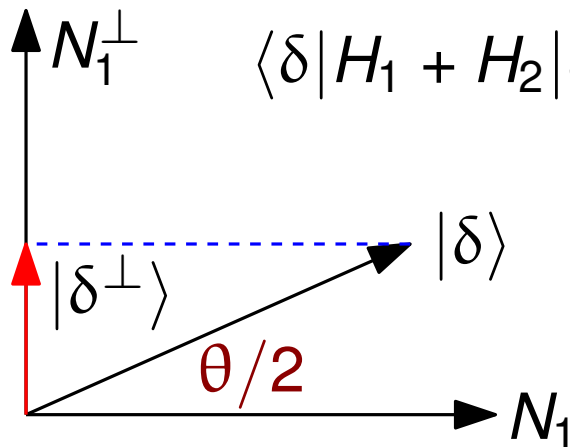
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Let  $N_1$  and  $N_2$  be the null spaces for  $H_1$  and  $H_2$ .

If the second eigenvalue of  $H_1$  and  $H_2$  is  $\geq \lambda$   
and the angle between  $N_1$  and  $N_2$  is at least  $\theta$

then the smallest eigenvalue of  $H_1 + H_2$  is  $\geq \lambda \sin^2(\theta/2)$

Apply with  $H_1 = H_{prop}$  and  $H_2 = H_{init} + H_{out}$ .

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$H_{init} + H_{out}$  is diagonal in the  
standard basis with integer entries.

$\Rightarrow$  second largest eigenvalue  
 $\geq 1 \geq \lambda$

# The Angle between $N_{prop}$ and $N_{IO}$

Take generic ground state of  $H_{prop}$ :

$$|\eta\rangle = \frac{1}{\sqrt{T+1}} \sum_{t=0}^T U_t \cdots U_1 \left( \sum_{j,k} \alpha_{j,k} |a_j\rangle |\xi_k\rangle \right) \otimes |t\rangle$$

superposition of different input ans witness states

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$$(I - \Pi)|x\rangle|y\rangle|t\rangle: = 1 \text{ if } \sin^2 \frac{\theta}{2} \geq \frac{\sin^2 \theta}{4}$$

$t = 0 \text{ and } a \neq x \quad \text{OR} \quad t = T \text{ and output bit is } 0.$



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Penalty for rejecting  
 computation ( $H_{out}$ )

$$\|(I - \Pi)|\eta_x\rangle\|^2 \geq \frac{1}{T+1} \|(I - \Pi)U_T \cdots U_1 (|x\rangle|\xi\rangle) \otimes |T\rangle\|^2$$

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# The Angle between $N_{prop}$ and $N_{IO}$

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$$\sin^2 \frac{\theta}{2} \geq \frac{1}{8(T+1)}$$

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If  $x \neq L$ , lowest eigenvalue of  $H_{prop} + H_{init} + H_{out} \geq \lambda \sin^2 \frac{\theta}{2}$

$$\geq \underbrace{\frac{1}{2(T+1)^2}}_{\text{l.b. for } \lambda} \cdot \underbrace{\frac{1}{8(T+1)}}$$

**l.b. for  $\lambda$**



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l.b. for  $\lambda$

$$\text{Recall } E = \frac{1}{2^n(T+1)}$$

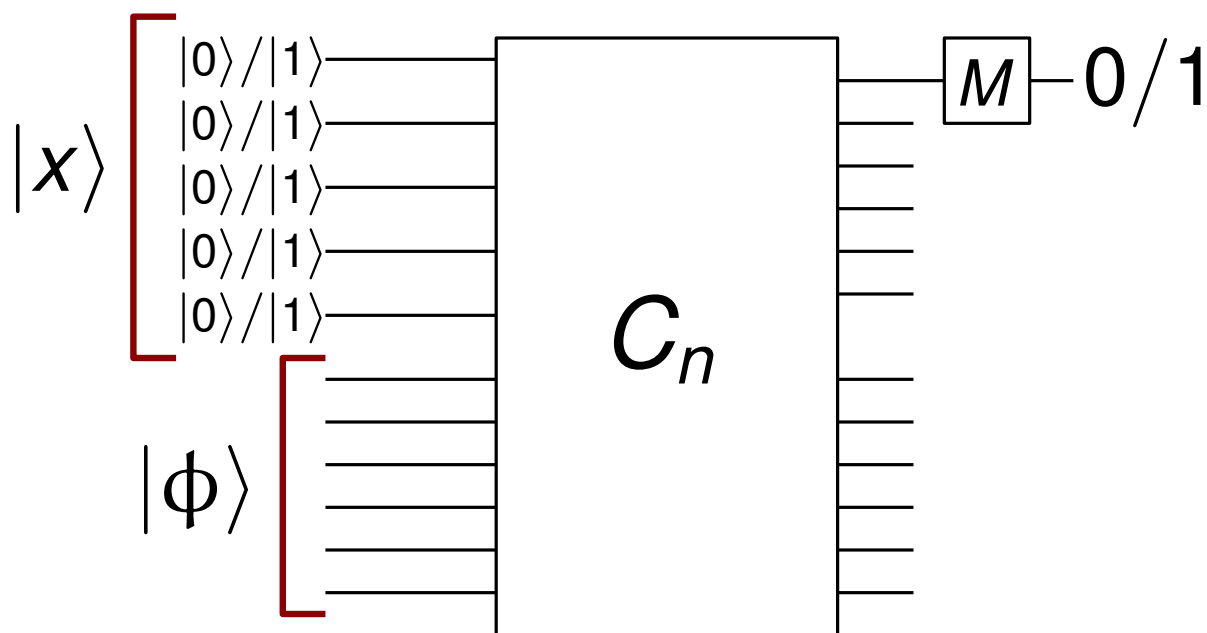
Choose

$$E + \Delta = \frac{1}{16(T+1)^3}$$

# Recap: Local Hamiltonian is QMA-hard

Start with a generic language  $L$  in QMA

Is  $x \in L$ ?

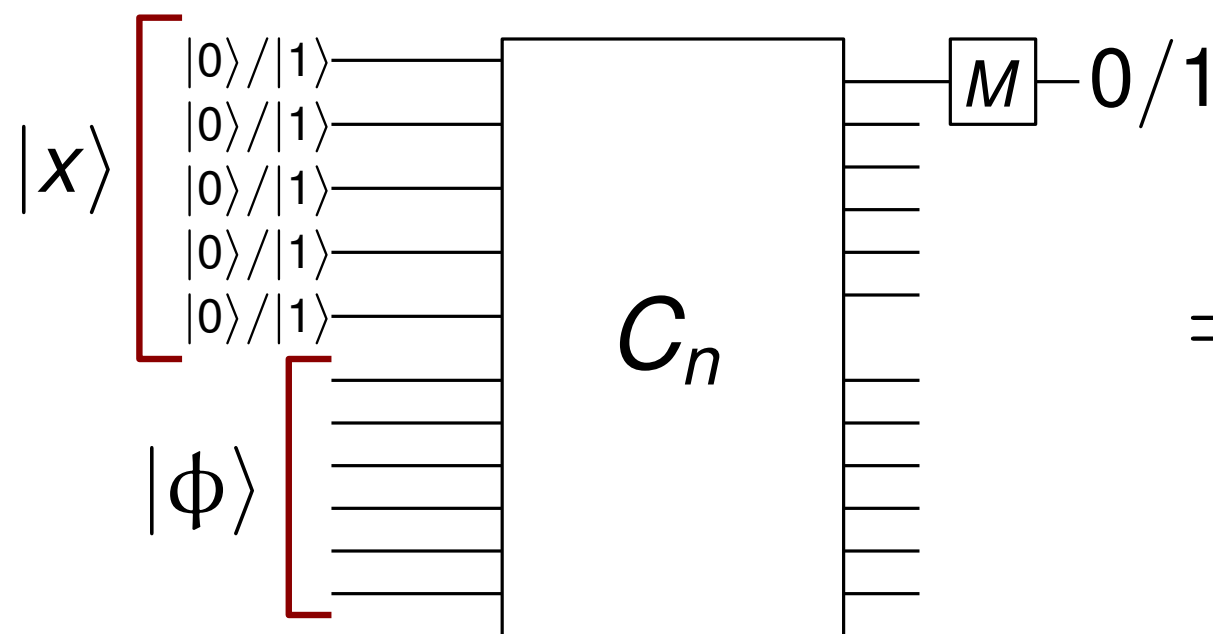


Is there a quantum state  $|\phi\rangle$  that causes this quantum circuit to output 1 with high probability?

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$\Rightarrow$

$k$ -Local  
Hamiltonian:  
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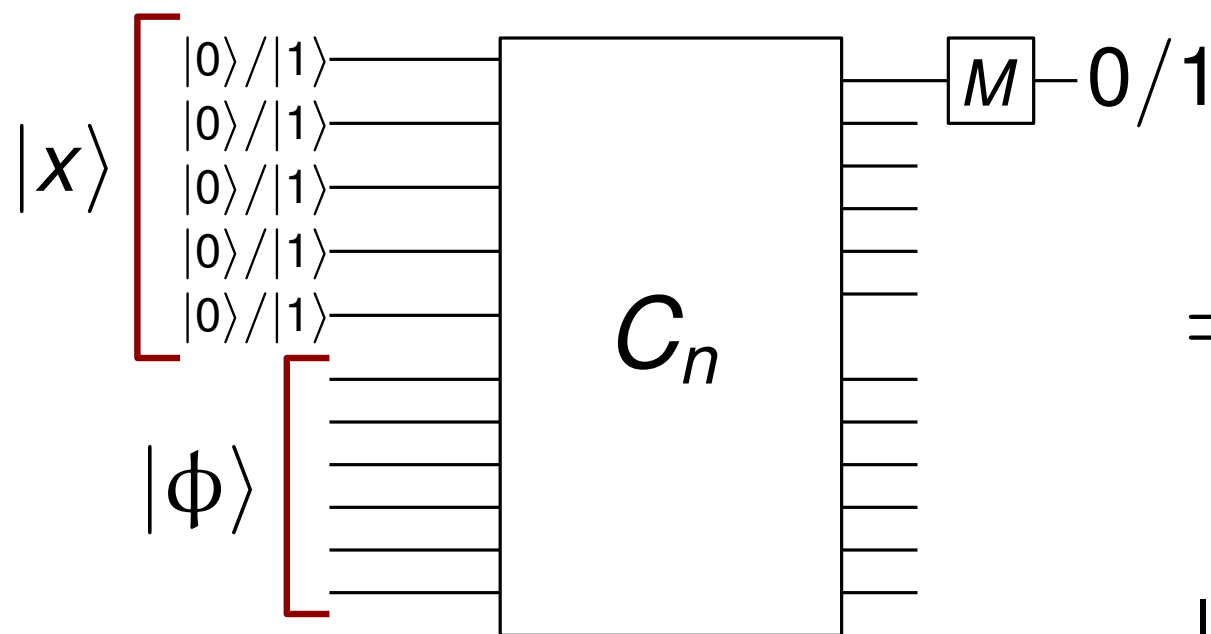
$$H_x = H_{init} + H_{prop} + H_{out}$$

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**$k$ -Local Hamiltonian:**  
 $(H_x, E, \Delta)$   
 $H_x = H_{init} + H_{prop} + H_{out}$

Is the ground energy of  $H_x$   $\leq E$  or  $\geq E + \Delta$ ?

$\Leftrightarrow$

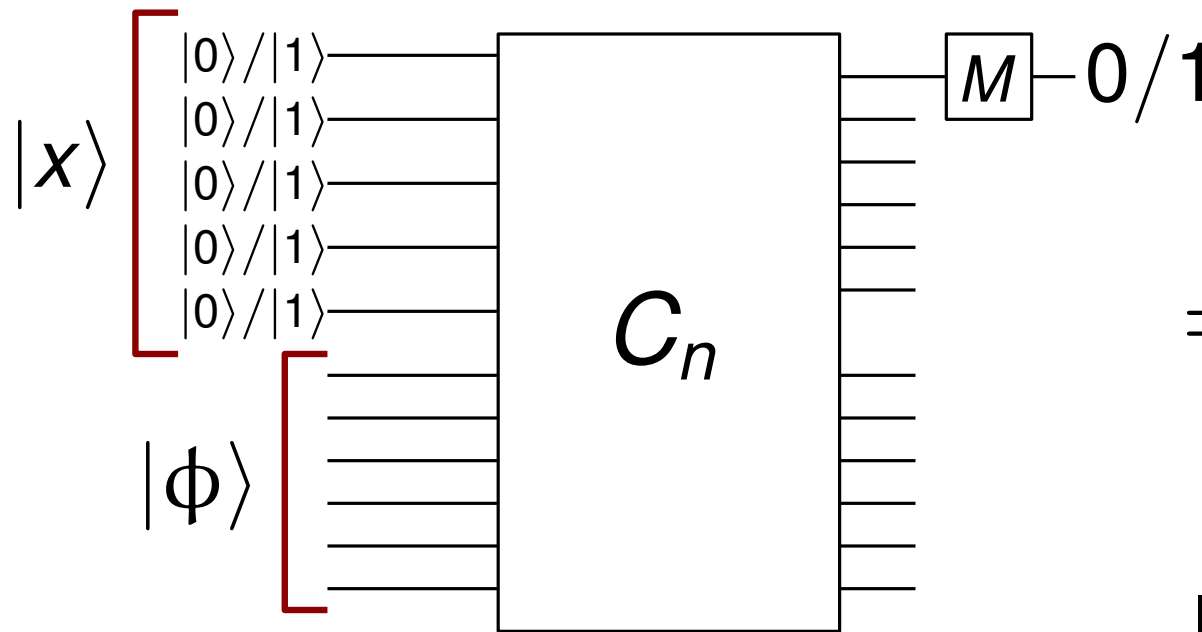
$$E = \frac{1}{2^n}$$

$$\Delta = \frac{1}{16(T+1)^3} - \frac{1}{2^n}$$

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Start with a generic language  $L$  in QMA

Is  $x \in L$ ?



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Start with  $k = O(\log n)$   
Then improve to  $k = 5$

$k$ -Local

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# The Clock Register

$$H = \sum_{j=0}^{T-1} \frac{1}{2} (|t\rangle\langle t| + |t+1\rangle\langle t+1| - |t\rangle\langle t+1| - |t+1\rangle\langle t|)$$

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$$H = \sum_{j=0}^{T-1} \frac{1}{2} (|t\rangle\langle t| + |t+1\rangle\langle t+1| - |t\rangle\langle t+1| - |t+1\rangle\langle t|)$$

Binary Clock:

$$|t\rangle\langle t+1| \Leftrightarrow |01111111\rangle\langle 10000000|$$

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$$|0\rangle \Leftrightarrow |00 \dots 00\rangle$$

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$$|2\rangle \Leftrightarrow |110 \dots 00\rangle$$

$$|t\rangle \Leftrightarrow \underbrace{|11 \dots 100 \dots 00\rangle}_{t \quad T-t}$$

$$|T\rangle \Leftrightarrow |11 \dots 11\rangle$$



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Advancing the clock:

$$|t\rangle \Leftrightarrow |11 \dots 1100 \dots 00\rangle$$

$$|t+1\rangle \Leftrightarrow |11 \dots 1110 \dots 00\rangle$$

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Advancing the clock:

$$|t\rangle \Leftrightarrow |11 \dots 1 \boxed{100} \dots 00\rangle$$

$$|t+1\rangle \Leftrightarrow |11 \dots 1 \boxed{110} \dots 00\rangle$$

$$|t\rangle\langle t+1| \Leftrightarrow |100\rangle\langle 110|_{t-1,t,t+1}$$

Applied to qubits  $t-1$ ,  $t$ , and  $t+1$  of the clock register

# 5-local Hamiltonian

$$H_t = \frac{1}{2} \left[ I \otimes |t\rangle\langle t| + I \otimes |t-1\rangle\langle t-1| + \underbrace{U_t}_{\text{2 qubits}} \otimes \underbrace{|t\rangle\langle t-1|}_{\text{3 qubits}} - U_t^\dagger \otimes |t-1\rangle\langle t| \right]$$

$$H \sum_{t=1}^T H_t$$

2 qubits

3 qubits

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Applied to qubit 1 of clock

$$H_{out} = |0\rangle\langle 0|_1 \otimes |T\rangle\langle T|_{clock}$$

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$$H_{out} = |0\rangle\langle 0|_1 \otimes \underbrace{|T\rangle\langle T|_{clock}}_{\text{Applied to qubit } T \text{ of clock}} \otimes |1\rangle\langle 1|_{T-clock}$$

Applied to qubit  $T$  of clock

# One More Set of Terms

Valid Clock State:

$$|0\rangle \Leftrightarrow |00 \dots 00\rangle$$

$$|1\rangle \Leftrightarrow |10 \dots 00\rangle$$

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What about the other states?

$$\mathcal{H}_{clock} = \mathbb{C}^{2^T}$$

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$$H_{valid} = \sum_{t=1}^{T-1} |01\rangle\langle 01|_{t,t+1}$$

Any clock state with the pattern "01" will have energy at least 1



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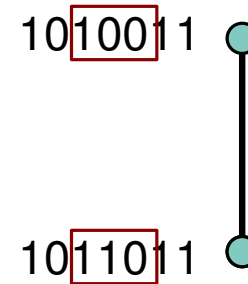
Forbidden States: In general  $|ab\rangle\langle ab|_{i,j}$  gives an energy penalty to any state with qubits  $i$  and  $j$  in state  $|ab\rangle$ .

# Clock Configuration Graph

Vertices:  $\{0, 1\}^T$  (Standard basis of all clock states)

Edge  $(x, y)$  if a propagation term converts  $x$  to  $y$

$$(|100\rangle\langle 100| + |110\rangle\langle 110| - |100\rangle\langle 110| - |110\rangle\langle 100|)_{3,4,5}$$

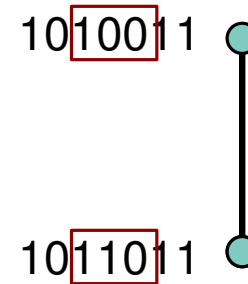


# Clock Configuration Graph

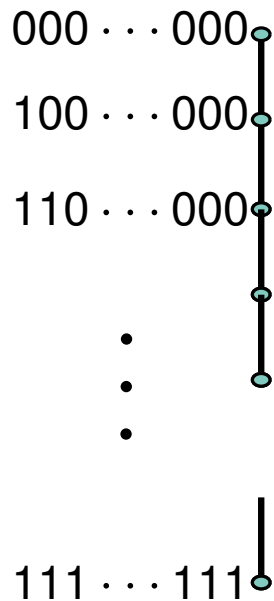
Vertices:  $\{0, 1\}^T$  (Standard basis of all clock states)

Edge  $(x, y)$  if a propagation term converts  $x$  to  $y$

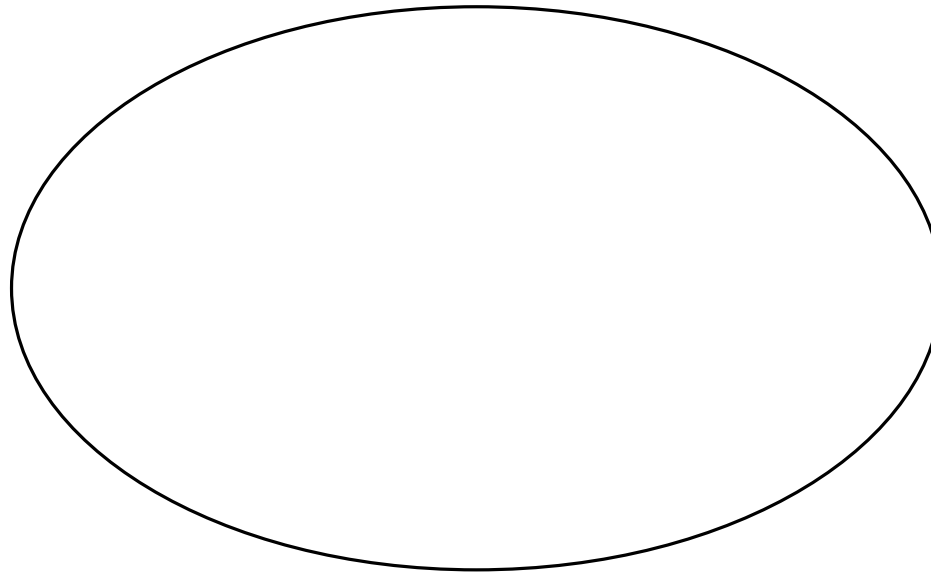
$$(|100\rangle\langle 100| + |110\rangle\langle 110| - |100\rangle\langle 110| - |110\rangle\langle 100|)_{3,4,5}$$



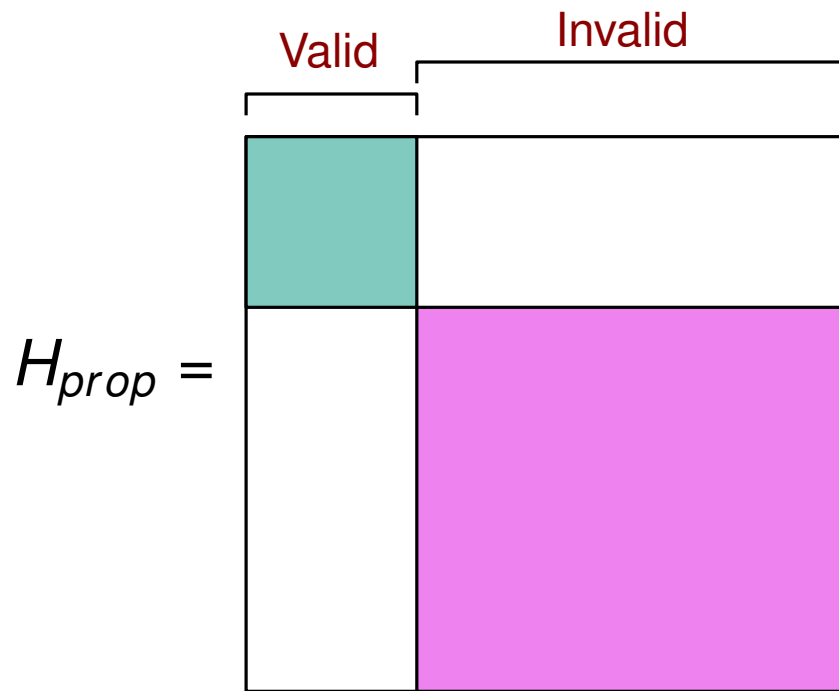
Valid Clock States



Invalid Clock States



# The Propagation Term in Matrix Form



# The Propagation Term in Matrix Form

$$H_{prop} = +H_{valid}$$

	Valid	Invalid
		1
		1
		1
		1
		•
		•
		1
		1



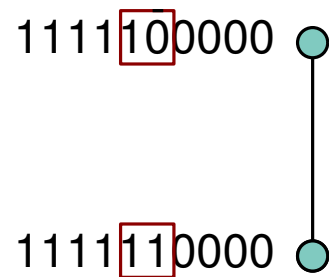
# Why not a 2-qubit clock term?

$$(|10\rangle\langle 10| + |11\rangle\langle 11| - |10\rangle\langle 11| - |11\rangle\langle 10|)_{t,t+1}$$

1111100000 ●

# Why not a 2-qubit clock term?

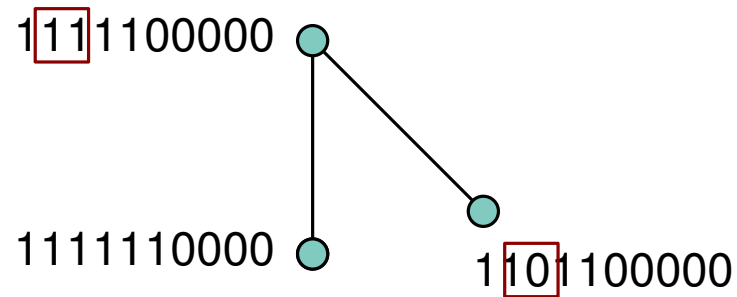
$$(|10\rangle\langle 10| + |11\rangle\langle 11| - |10\rangle\langle 11| - |11\rangle\langle 10|)_{t,t+1}$$





# Why not a 2-qubit clock term?

$$(|10\rangle\langle 10| + |11\rangle\langle 11| - |10\rangle\langle 11| - |11\rangle\langle 10|)_{t,t+1}$$



**Thank You!**