# Quantum Hamiltonian Complexity Part I 

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## Postulate of Quantum Mechanics - Measurement

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After the measurement, system is in a state that is consistent with the outcome.

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\lambda_{0} \leftrightarrow\left|v_{0}\right\rangle \\
\lambda_{1} \leftrightarrow\left|v_{1}\right\rangle
\end{array} \quad\left|v_{0}\right\rangle, \ldots,\left|v_{N-1}\right\rangle \text { orthonormal basis. }
$$

...

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\ldots & \\
\ldots & \text { Hermitian Operator with: } \\
\ldots & \text { Eigenvalues: } \lambda_{0}, \ldots, \lambda_{N-1} \\
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## Measurement, cont.

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Probability of outcome $\lambda_{i}$ is:

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\left|\alpha_{i}\right|^{2}=\left|\left\langle v_{i} \mid \Phi\right\rangle\right|^{2}=\left\langle\Phi \mid v_{i}\right\rangle\left\langle v_{i} \mid \Phi\right\rangle
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$\sum_{i} \operatorname{Prob}\left[\right.$ Outcome is $\left.\lambda_{i}\right] \cdot \lambda_{i}=\sum_{i}\left\langle\Phi \mid v_{i}\right\rangle\left\langle v_{i} \mid \Phi\right\rangle \lambda_{i}$

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If a system $S$ interacts with its environment, $S$ will eventually reach an equilibrium state, called the Gibbs state.

The Gibbs state is also determined by Hamiltonian H.

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\rho_{e q}=\frac{e^{-\beta H}}{Z} \quad \text { where } \quad Z=\operatorname{Tr}\left(e^{-\beta H}\right)
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[Linden, Popescu, Short, Winter arXiv:0812.2385]

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As the temperature goes to 0 , the Gibbs state reaches the ground state.

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Given a Hamiltonian H for a quantum system S :

- Compute the ground energy $E_{0}$ (lowest eigenvalue of H)
- Compute some property of the ground state $\left|v_{0}\right\rangle$


## An Example of a Quantum System and Its Hamiltonian

Hydrogen Atom

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The "state" is the position of the electron relative to the proton:

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The Hamiltonian describes the energy as a function of the electron location:

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\hat{H}=-\frac{h^{2}}{2 m_{e}} \Delta^{2}-\frac{e^{2}}{4 \pi \epsilon_{0} r}
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\Delta^{2}=\frac{1}{r^{2}} \frac{\partial}{\partial r}\left(r^{2} \frac{\partial}{\partial r}\right)+\frac{1}{r^{2} \sin \theta} \frac{\partial}{\partial \theta}\left(\sin \theta \frac{\partial}{\partial r}\right)+\frac{1}{r^{2} \sin ^{2} \theta} \frac{\partial}{\partial \phi^{2}}
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1s 2s

$2 p_{z}$

## Local Hamiltonians



## Quantum system composed of $n$ interacting finite dimensional particles.

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Hilbert space for a particle: $\mathbb{C}^{d}$

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Hilbert space for a particle: $\mathbb{C}^{d}$
Hilbert space for the whole system:

$$
\left(\mathbb{C}^{d}\right)^{\otimes n}
$$

Dimension $=d^{n}$

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The interaction between 3 qubits in an $n$-qubit system is $H_{1,2,3} \otimes I_{4, \ldots, n}$.

## Local Hamiltonians



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What is the ground state of the quantum system?

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Input: Hamiltonian $H$, real numbers $E$ and $\Delta$ Is the ground energy of $H \leq E$ or $\geq E+\Delta$ ?

## Local Hamiltonian Variations



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## $\{|0\rangle,|1\rangle, \ldots,|d-1\rangle\}$

Particle Dimension

## Geometry




"Spin-Liquid Ground State of the $S=\frac{1}{2}$ Kagome Heisenberg Antiferromagnet"

Yan, Huse, White

Science, Vol 332, June 3, 2011

Is the Ground State a
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## Kagome Lattice



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"A key problem in searching for spin liquids in 2D models is that there are no exact or nearly exact analytical or computational methods to solve infinite 2D quantum lattice systems."

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## What is the complexity of the

 Local Hamiltonian problem?- Set of local constraints
- Find a global state that minimizes cost


## "Classical" Local Hamiltonian

$n d$-dimensional particles: $\mathcal{H}=\left(\mathbb{C}^{d}\right)^{\otimes n}$
Standard basis denoted by classical strings: $\left|x_{1}, x_{2}, \ldots, x_{n}\right\rangle$
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## Boolean Satisfiability and 3-SAT

Input: $n$ Boolean variables $x_{1}, \ldots, x_{n}$
$m$ clauses: $C_{1}, \ldots, C_{m}$.
$C_{i}$ : disjunction of three literals. e.g., $\left(x_{i 1} \vee \neg x_{i 2} \vee x_{i 3}\right)$
Question: Is there a Boolean assignment to $x_{1}, \ldots, x_{n}$ such that

$$
C_{1} \wedge C_{2} \wedge \cdots \wedge C_{m}=1 ?
$$

## Local Hamiltonian is NP-hard

## 3SAT $\propto$ LH

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## SAT $\in$ NP

$x$ encodes an instance of 3-SAT
Witness $y$ :
satisfying assignment $y_{i}=0 / 1$

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A problem is in NP if there is a polynomial time algorithm $A$ that takes two inputs, $x$ and $y$ :

Poly-sized circuit family $\left\{C_{n}\right\}$
If $|x|=n$, then
$A(x, y)$ accepts $\leftrightarrow C_{n}(x, y)=1$
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The circuit family $\left\{C_{n}\right\}$ must be uniform:
There is a polynomial time Turing Machine that computes $C_{n}$ on input $1^{n}$

## Promise Problems

Decision Problems: answer is "Yes" or "No"

$$
\begin{array}{rl}
L \subseteq\{0,1\}^{*} & x \in L \Rightarrow \text { "Yes" } \\
& x \notin L \Rightarrow \text { "No" }
\end{array}
$$

Promise Problems: input strings partitioned into 3 sets

Yes $\cup$ No $\cup$ Invalid $=\{0,1\}^{*}$

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Uniform, polynomial-sized circuit family $\left\{C_{n}\right\}$ : iff $|x|=n$, then

$$
\begin{aligned}
& x \in \operatorname{Yes} \leftrightarrow \exists y \text { such that } \\
& \quad \operatorname{Prob}_{r}\left[C_{n}(x, y, r)=1\right] \geq \frac{2}{3}
\end{aligned}
$$

$$
x \in \text { No } \leftrightarrow \forall y
$$

$$
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If $\underline{x \in \text { Invalid, then no guarantees! }}$

## The class QMA (Quantum Merlin Arthur)

## QMA

A promise problem is in QMA if there is a poly-sized uniform quantum circuit family $\left\{C_{n}\right\}$ such that on input $x$, where $|x|=n$ :

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If $x \in$ Invalid, then no guarantees!
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Threshold for acc $=\left(\frac{c+s}{2}\right) m$

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By Chernoff's Inequality
For $m$ = sufficiently large polynomial in $n$, Probability number of accepts deviates from the expectation by more than $\left(\frac{c-s}{2}\right) m$ is exponentially small

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## The Marriott-Watrous "Trick"

## $\mathrm{QMA}_{y}(c, s)$

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for every polynomial $y$
Probabilistically try and back up after a measurement.

Measure for a successful back up.
Principle of deferred measurements.
$|\phi\rangle$ has $\mathbf{y}(\mathbf{n})$ qubits.

Complexity Classes and Complete Problems
$\mathrm{NP} \subseteq \mathrm{MA} \subseteq \mathrm{QMA}$

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$\mathrm{NP} \subseteq \mathrm{MA} \subseteq \mathrm{QMA} \subseteq \mathrm{PP} \subseteq \mathrm{PSPACE}$

## Complexity Classes and Complete Problems

## Boolean satisfiability <br> is complete for NP <br> [Cook-Levin]

## Complexity Classes and Complete Problems



## Complexity Classes and Complete Problems


$\mathrm{P} \subseteq \mathrm{BPP} \subseteq \mathrm{BQP}$

## Complexity Classes and Complete Problems



## The Local Hamiltonian Problem

Input:
$H_{1}, \ldots, H_{r}$, set of Hermitian positive semi-definite matrices operating on $k$ qudits of dimension $d$, with bounded norm $\left\|H_{i}\right\| \leq 1$.

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Two real numbers $E$ and $\Delta \geq 1 / \operatorname{poly}(n)$
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Is the smallest eigenvalue of $H=H_{1}+\cdots+H_{r} \leq E$ or are all eigenvalues $\geq E+\Delta$ ?

## The Local Hamiltonian Problem

 Input:$H_{1}, \ldots, H_{r}$, set of Hermitian positive semi-definite matrices operating on $k$ qudits of dimension $d$, with bounded norm $\left\|H_{i}\right\| \leq 1$.

Eigenvalues of each $H_{i}$ in $[0,1]$.
$H_{i}+\alpha I \rightarrow$ eigenvalues of $H$ shift by $\alpha$
$\alpha H \rightarrow$ eigenvalues of $H$ scale by factor of $\alpha$
Each matrix indicates the set of $k$ qudits (out of the set of $n$ qudits in the system) on which it operates. Each matrix is given with poly(n) bits.

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Is there a state whose energy (according to H)
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## $\in N P$

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> Is $\Phi(y)$ satisfiable?

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## Guarantee:

There exists $|\Phi\rangle$ such that $\langle\Phi| H|\Phi\rangle \leq E$ OR
For all $|\Phi\rangle, \quad\langle\Phi| H|\Phi\rangle \geq E+\Delta$

Need a measurement whose outcome = 1 with probability $\propto\langle\Phi| H|\Phi\rangle$.

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Add auxiliary bit and implement unitary:
For every $j$ :

$$
\left|v_{a j}\right\rangle|0\rangle \Rightarrow\left|v_{a j}\right\rangle\left(\sqrt{1-\lambda_{a j}}|0\rangle+\sqrt{\lambda_{a j}}|1\rangle\right)
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Measure last qubit

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|\Phi\rangle|0\rangle=\sum_{j} \alpha_{a j}\left|v_{a j}\right\rangle\left|\beta_{a j}\right\rangle|0\rangle
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$k$ qubits
$\mathrm{H}_{\mathrm{a}}$ acts on
the rest
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Prob of measuring $1: \sum_{j}\left|\alpha_{a j}\right|^{2} \lambda_{a j}=\langle\Phi| H_{a}|\Phi\rangle$

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& \quad=\frac{1}{r} \sum_{a=1}^{r}\langle\Phi| H_{a}|\Phi\rangle=\frac{1}{r}\langle\Phi| H|\Phi\rangle \\
& \text { either } \leq E / r \quad \text { OR } \geq(E+\Delta) / r
\end{aligned}
$$

## Boolean Satisfiability is NP-hard [Cook-Levin]

Start with a generic language $L$ in NP
Is $x \in L$ ?

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Is $\Phi_{x}(y)$ satisfiable? circuit to output 1 ?

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## 5-Local $\Rightarrow$ Hamiltonian: <br> $\left(H_{x}, E, \Delta\right)$

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$\Rightarrow$ Ground energy of $H_{x} \leq E$ to output 1 with high probability?

## Local Hamiltonian is QMA-hard [Kitaev 1995]

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$$
\Rightarrow \begin{gathered}
\\
\Rightarrow \\
\\
\text { Hamiltonian: } \\
\left(H_{x}, E, \Delta\right)
\end{gathered}
$$

Is there a quantum state $\phi\rangle$ that causes this quantum circuit
$\Rightarrow \quad$ Ground energy of $H_{x} \leq E$ to output 1 with high probability?
For every $|\phi\rangle$, circuit outputs 0 w.h.p. $\Rightarrow$ Ground energy of $H_{x} \geq E+\Delta$

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## Reduction: input $x$

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- Convert $C_{n}$ to a Boolean formula
- Add terms to hard-code input $x$ and enforce output
$=1$.
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## Circuit to Boolean formula

Circuit $C_{n}$ has gates $G_{1}, \ldots, G_{m}$, where $m=\operatorname{poly}(n)$.
Add variables $g_{1}, \ldots, g_{m}$, one for each gate.

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Boolean formula is the conjunction of all the clauses.
Can reduce to CNF or 3SAT form.

## The class NP and Turing Machine Tableaus

A problem is in NP if there is a polynomial time algorithm $A$ that takes two inputs, $x$ and $y$ :

If $x \in L$, then there is a witness
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$\Leftrightarrow \quad$ Is $\Phi_{x}(y)$ satisfiable?

## Turing Machine Tableau



## Turing Machine Tableau



TM Tableau:
Configurations written in a 2D array for a Turing Machine M in input ( $x, y$ )


Width: space used $\leq n^{c}$

## Turing Machine to Boolean formula

Contents of a cell determined by the three cells above it.

| $q_{1} / a$ | $b$ | $c$ |
| :---: | :---: | :---: |
| $q_{2} / b$ |  |  |
|  |  |  |



| $b$ | $a$ | $c$ |
| :--- | :--- | :--- |
| $a$ |  |  |

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Can build Boolean circuit STEP

- input (binary encording of) 3 cells
- output (binary encording of) 1 cell

Circuit is constant size


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Can build Boolean circuit STEP

- input (binary encording of) 3 cells
- output (binary encording of) 1 cell

Circuit is constant size


Each circuit can be converted to a Boolean formula (set of Boolean constraints)

## Turing Machine to Boolean formula



## Turing Machine to Boolean formula



Output 1 iff cell contains $q_{A C C}$

## Turing Machine to Boolean formula



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STEP STEP STEP STEP
 STEP
|||l|

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## Turing Machine to Boolean formula



Features we will keep for QMA

Hard code input $x$ into
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Input $y$ (witness) is variable

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STEP

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## On to Part II...

