Quantum Hamiltonian Complexity Part I

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After the measurement, system is in a state that is consistent with the outcome.

 $\lambda_0 \leftrightarrow |v_0\rangle$ $\lambda_1 \leftrightarrow |v_1\rangle$ $|v_0\rangle, \dots, |v_{N-1}\rangle$ orthonormal basis.

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> Hermitian Operator with: Eigenvalues: $\lambda_0, \ldots, \lambda_{N-1}$ Eigenvectors: $|v_0\rangle, \ldots, |v_{N-1}\rangle$

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State: $|\Phi\rangle$

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$$|\Phi\rangle = \alpha_0 |v_0\rangle + \cdots + \alpha_{N-1} |v_{N-1}\rangle$$

Probability of outcome λ_i is:

$$|\alpha_i|^2 = |\langle v_i | \Phi \rangle|^2 = \langle \Phi | v_i \rangle \langle v_i | \Phi \rangle$$

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$$\sum_{i}$$
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If a system S interacts with its environment, S will eventually reach an equilibrium state, called the *Gibbs state*.

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$$\rho_{eq} = \frac{e^{-\beta H}}{Z}$$
 where $Z = \text{Tr}\left(e^{-\beta H}\right)$

[Linden, Popescu, Short, Winter arXiv:0812.2385]

The Hamiltonian Operator - the ground state

As the temperature goes to 0,

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Given a Hamiltonian H for a quantum system S:

- Compute the ground energy E_0 (lowest eigenvalue of H)
- Compute some property of the ground state $|v_0\rangle$

An Example of a Quantum System and Its Hamiltonian



The "state" is the position of the electron relative to the proton:

$$\psi(r,\theta,\phi)$$

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The Hamiltonian describes the energy as a function of the electron location:

$$\hat{H} = -\frac{h^2}{2m_e}\Delta^2 - \frac{e^2}{4\pi\epsilon_0 r}$$
$$\Delta^2 = \frac{1}{r^2}\frac{\partial}{\partial r}\left(r^2\frac{\partial}{\partial r}\right) + \frac{1}{r^2\sin\theta}\frac{\partial}{\partial\theta}\left(\sin\theta\frac{\partial}{\partial r}\right) + \frac{1}{r^2\sin^2\theta}\frac{\partial}{\partial\phi^2}$$

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Hilbert space for the whole system:



Dimension = d^n



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$$H = \sum_{a} H_{a}$$

where each H_{a} acts on at most k qudits

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What is the ground state of the quantum system?



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Input: Hamiltonian *H*, real numbers *E* and Δ Is the ground energy of $H \leq E$ or $\geq E + \Delta$?
Local Hamiltonian Variations



Locality

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Particle Dimension

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Geometry



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"Spin-Liquid Ground State of the $S = \frac{1}{2}$ Kagome Heisenberg Antiferromagnet"

Yan, Huse, White

Science, Vol 332, June 3, 2011





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Is the Ground State a Valence Bond Crystal?

Kagome Lattice



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Is the Ground State a Valence Bond Crystal?

or a Spin Liquid?

"A key problem in searching for spin liquids in 2D models is that there are no exact or nearly exact analytical or computational methods to solve infinite 2D quantum lattice systems."

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What is the complexity of the Local Hamiltonian problem?

- Set of local constraints
- Find a global state that minimizes cost

n d-dimensional particles: $\mathcal{H} = (\mathbb{C}^d)^{\otimes n}$

Standard basis denoted by classical strings: $|x_1, x_2, ..., x_n\rangle$ Each $x_i \in \{0, ..., d - 1\}$

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 H_j operates on particles i_1, i_2, \ldots, i_k

Cost/Energy of setting:

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Weighted Constraint Satisfaction Problem

Boolean Satisfiability and 3-SAT

Input: *n* Boolean variables x_1, \ldots, x_n

m clauses: C_1, \ldots, C_m .

C_i: disjunction of three literals. e.g., $(x_{i1} \lor \neg x_{i2} \lor x_{i3})$

Question: Is there a Boolean assignment to x_1, \ldots, x_n such that

 $C_1 \wedge C_2 \wedge \cdots \wedge C_m = 1$?

$\rm 3SAT \propto LH$

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$\mathsf{SAT} \in \mathsf{NP}$

x encodes an instance of 3-SAT

Witness *y*: satisfying assignment $y_i = 0/1$

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The circuit family $\{C_n\}$ must be <u>uniform</u>: There is a polynomial time Turing Machine that computes C_n on input 1ⁿ

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Promise Problems

Decision Problems: answer is "Yes" or "No"

$$L \subseteq \{0, 1\}^*$$
 $x \in L \Rightarrow$ "Yes"
 $x \notin L \Rightarrow$ "No"

Promise Problems: input strings partitioned into 3 sets

Yes \cup No \cup Invalid = $\{0, 1\}^*$

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 $\frac{R(x,y):}{\text{Uniform, polynomial-sized}}$ circuit family {*C_n*}: iff |*x*| = *n*, then

 $x \in \text{Yes} \leftrightarrow \exists y \text{ such that}$ $\text{Prob}_r[C_n(x, y, r) = 1] \geq \frac{2}{3}$



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 $| \varphi \rangle$ has poly(n) qubits.
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|x| = n and |y| \leq poly(n)
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$$c - s \ge \frac{1}{n^d}$$
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MA(c, s) = MA $\left(1 - \frac{1}{2^n}, \frac{1}{2^n}\right)$

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Repeat *m* times (with fresh random bits) Threshold for acc = $\left(\frac{c+s}{2}\right)m$

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 $\frac{c+s}{2}$



Repeat *m* times (with fresh random bits) Threshold for acc = $\left(\frac{c+s}{2}\right)m$

By Chernoff's Inequality For m = sufficiently large polynomial in n, Probability number of accepts deviates from the expectation by more than $\left(\frac{c-s}{2}\right)m$ is exponentially small

A *promise* problem is in QMA if there is a poly-sized uniform **quantum** circuit family $\{C_n\}$ such that on input *x*, where |x| = n:

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The Marriott-Watrous "Trick"

 $QMA_y(c, s)$

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Probabilistically try and back up after a measurement.

Measure for a successful back up.

Principle of deferred measurements.

$\mathsf{NP}\subseteq\mathsf{MA}\subseteq\mathsf{QMA}$

$\mathsf{NP} \subseteq \mathsf{MA} \subseteq \mathsf{QMA} \subseteq \mathsf{PP} \subseteq \mathsf{PSPACE}$

Boolean satisfiability is complete for NP [Cook-Levin]

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Boolean satisfiability is complete for NP [Cook-Levin] Local Hamiltonian is complete for QMA [Kitaev]

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$$\begin{split} N\dot{P} &\subseteq MA \subseteq QMA \subseteq PP \subseteq PSPACE \\ \cup | & \cup | & \cup | \\ P \subseteq BPP \subseteq BQP \end{split}$$

Input:

 H_1, \ldots, H_r , set of Hermitian positive semi-definite matrices operating on *k* qudits of dimension *d*, with bounded norm $||H_i|| \le 1$.

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Two real numbers E and \Delta \ge 1/\text{poly}(n)
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The Local Hamiltonian Problem Input:

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Two real numbers E and \Delta \geq 1/\text{poly}(n)
```

Output:

```
Is the smallest eigenvalue of H = H_1 + \cdots + H_r \leq E
or are all eigenvalues \geq E + \Delta?
```

Input:

 H_1, \ldots, H_r , set of Hermitian positive semi-definite matrices operating on *k* qudits of dimension *d*, with bounded norm $||H_i|| \le 1$. Eigenvalues of each H_i in [0, 1]. $H_i + \alpha I \rightarrow$ eigenvalues of *H* shift by α $\alpha H \rightarrow$ eigenvalues of *H* scale by factor of α

Each matrix indicates the set of k qudits (out of the set of n qudits in the system) on which it operates. Each matrix is given with poly(n) bits.

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$\begin{array}{ll} \text{Boolean} \\ \text{Satisfiability} \end{array} \in \mathsf{NP} \end{array}$

Is $\Phi(y)$ satisfiable? Witness: Satisfying assignment y

Boolean $\in \mathsf{NP}$ Satisfiability

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Boolean Satisfiability ∈ NP

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 $\begin{array}{l} \underline{\text{Guarantee:}}\\ \text{There exists } |\Phi\rangle \text{ such that } \langle\Phi|\mathcal{H}|\Phi\rangle \leq E\\ \text{OR}\\ \text{For all } |\Phi\rangle, \; \langle\Phi|\mathcal{H}|\Phi\rangle \geq E + \Delta \end{array}$
Boolean Satisfiability ∈ NP

Is $\Phi(y)$ satisfiable? Witness: Satisfying assignment y

Is there a state whose energy (according to H) is less than *E*? $\langle \Phi | H | \Phi \rangle \leq E$? Witness: $| \Phi \rangle$

Need a measurement whose outcome = 1 with probability $\propto \langle \Phi | H | \Phi \rangle$.

Guarantee:

There exists $|\Phi\rangle$ such that $\langle \Phi|H|\Phi\rangle \leq E$ OR \Rightarrow For all $|\Phi\rangle$, $\langle \Phi|H|\Phi\rangle \geq E + \Delta$

 $H = H_1 + H_2 + \cdots + H_r$ Each H_i is k-local

 $\begin{aligned} H &= H_1 + H_2 + \dots + H_r & \text{Each } H_i \text{ is } k\text{-local} \\ \text{Pick } H_a \text{ at random where } H_a &= \sum_j \lambda_{aj} |v_{aj}\rangle \langle v_{aj}| \\ & \text{(recall } 0 \leq \lambda_{aj} \leq 1) \end{aligned}$

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k qubits H_a acts on the rest of the qubits

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Prob of measuring 1:

 $H = H_1 + H_2 + \dots + H_r \quad \text{Each } H_i \text{ is } k \text{-local}$ Pick H_a at random where $H_a = \sum_j \lambda_{aj} |v_{aj}\rangle \langle v_{aj}|$ (recall $0 \le \lambda_{aj} \le 1$)

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Measure last qubit

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 $|v_{aj}/|0\rangle \rightarrow |v_{aj}/(\sqrt{1 - \Lambda_{aj}}|0\rangle + \sqrt{\Lambda_{aj}}|0\rangle$ Measure last qubit

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If H_a is picked, prob of measuring 1: = $\langle \Phi | H_a | \Phi \rangle$ Probability of measuring 1 (overall):

$$= \frac{1}{r} \sum_{a=1}^{r} \left\langle \Phi | H_a | \Phi \right\rangle = \frac{1}{r} \left\langle \Phi | H | \Phi \right\rangle$$

Quantum Hamiltonian Complexity - Sandy Irani

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> $= \frac{1}{r} \sum_{a=1}^{r} \langle \Phi | H_a | \Phi \rangle = \frac{1}{r} \langle \Phi | H | \Phi \rangle$ either $\leq E/r$ OR $\geq (E + \Delta)/r$

Quantum Hamiltonian Complexity - Sandy Irani

Start with a generic language L in NP

Is $x \in L$?

Start with a generic language L in NP

Is $x \in L$?



Is there a string y that causes this circuit to output 1?

Start with a generic language L in NP

Is $x \in L$?



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Start with a generic language L in NP

Is $x \in L$?



Is there a string y that causes this \Leftrightarrow Is $\Phi_x(y)$ satisfiable? circuit to output 1?

Local Hamiltonian is QMA-hard

Start with a generic promise problem in QMA

```
Is x \in Yes? or is x \in NO?
```

Local Hamiltonian is QMA-hard

Start with a generic promise problem in QMA

Is $x \in$ Yes? or is $x \in$ NO?



Is there a quantum state ϕ that causes this quantum circuit to output 1 with high probability?

Local Hamiltonian is QMA-hard

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Local Hamiltonian is QMA-hard [Kitaev 1995]

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 \Rightarrow Ground energy of $H_x \leq E$

Local Hamiltonian is QMA-hard [Kitaev 1995]

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Is $x \in$ Yes? or is $x \in$ NO?



Is there a quantum state ϕ that causes this quantum circuit \Rightarrow Ground energy of $H_x \leq E$ to output 1 with high probability? For every $|\phi\rangle$, circuit outputs 0 w.h.p. \Rightarrow Ground energy of $H_x \geq E + \Delta$

Start with a generic language L in NP

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Is there a string y that causes this circuit to output 1?

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Reduction: input x

• Use |x| = n to compute C_n (uniformity)

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Reduction: input x

- Use |x| = n to compute C_n (uniformity)
- Convert *C_n* to a Boolean formula

Start with a generic language L in NP

Is $x \in L$?



Is there a string y that causes this circuit to output 1?

Reduction: input x

- Use |x| = n to compute C_n (uniformity)
- Convert *C_n* to a Boolean formula
- Add terms to hard-code
 input x and enforce output
 = 1.

Circuit C_n has gates G_1, \ldots, G_m , where m = poly(n).

Add variables g_1, \ldots, g_m , one for each gate.

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Add a clause for each gate:



$$a \longrightarrow g_i \Rightarrow (\neg a \leftrightarrow g_i)$$

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Hard-code x: $x_i = 0 \rightarrow \text{add clause } (\neg x_i)$ $x_i = 1 \rightarrow \text{add clause } (x_i)$



$$a \longrightarrow g_i \Rightarrow (\neg a \leftrightarrow g_i)$$

Circuit C_n has gates G_1, \ldots, G_m , where m = poly(n).

Add variables g_1, \ldots, g_m , one for each gate.

Add a clause for each gate:



$$x_i = 1 \rightarrow \text{add clause } (x_i)$$

Output of G_m = output of circuit: Add clause (g_m)



$$a \longrightarrow OR \qquad g_i \Rightarrow ((a \lor b) \leftrightarrow g_i)$$

$$a \longrightarrow g_i \Rightarrow ((a \land b) \leftrightarrow g_i)$$

$$b \longrightarrow g_i \Rightarrow ((a \land b) \leftrightarrow g_i)$$

$$a \longrightarrow g_i \Rightarrow (\neg a \leftrightarrow g_i)$$

Circuit C_n has gates G_1, \ldots, G_m , where m = poly(n).

 $-g_i \Rightarrow ((a \lor b) \leftrightarrow g_i)$

 $-g_i \implies ((a \wedge b) \leftrightarrow g_i)$

 \Rightarrow $(\neg a \leftrightarrow g_i)$

Add variables g_1, \ldots, g_m , one for each gate.

Add a clause for each gate:

OR

AND

NOT

а

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Output of G_m = output of circuit: Add clause (g_m)



 g_i

Boolean formula is the conjunction of all the clauses.

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Boolean formula is the conjunction of all the clauses. Can reduce to CNF or 3SAT form.

 $-g_i \Rightarrow (\neg a \leftrightarrow g_i)$

The class NP and Turing Machine Tableaus <u>NP</u>

A problem is in NP if there is a polynomial time algorithm *A* that takes two inputs, *x* and *y*:

If $x \in L$, then there is a witness y such that A(x, y) accepts.

If $x \notin L$, then for every y, A(x, y) rejects.

 $|y| \leq \operatorname{poly}(x)$

The class NP and Turing Machine Tableaus <u>NP</u> <u>Turing Machine tha</u>

A problem is in NP if there is a polynomial time algorithm A that takes two inputs, *x* and *y*: Turing Machine that runs in time $\int poly(n)$, where |x| = n

If $x \in L$, then there is a witness y such that A(x, y) accepts.

If $x \notin L$, then for every y, A(x, y) rejects.

 $|y| \leq \operatorname{poly}(x)$
Start with a generic language L in NP

Is $x \in L$?

Start with a generic language L in NP

Is $x \in L$?



Is there a string y that causes this Turing Machine to accept?

Start with a generic language L in NP

Is $x \in L$?



Is there a string y that causes this Turing Machine to accept?

Start with a generic language L in NP

Is $x \in L$?



Is there a string y that causes this Turing Machine to accept?

 \Leftrightarrow Is $\Phi_x(y)$ satisfiable?

Turing Machine Tableau



Turing Machine Tableau



Contents of a cell determined by the three cells above it.



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Can build Boolean circuit STEP

- input (binary encording of) 3 cells
- output (binary encording of) 1 cell

Circuit is constant size



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Can build Boolean circuit STEP

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Circuit is constant size

Each circuit can be converted to a Boolean formula (set of Boolean constraints)







Output 1 iff cell contains q_{ACC}



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Features we will keep for QMA





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Hard code input *x* into circuit/constraints



Output 1 iff cell contains q_{ACC}





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Input y (witness) is variable

Satisfied constraints \Rightarrow State represents entire history of the computation. (Configuration after each step)



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Additional term to test if computation accepts



On to Part II...