

Lecture #5

Stoquastic Hamiltonians

Aug 4, 2023

(based in part on lecture in March 2018 UCSD quantum school by D. Gosset)

Let H be a Hamiltonian specified in the standard basis.

Definition H is stochastic if

[Bravyi, DiVincenzo,
Oliviera, Terhal 2007]

$$\langle x | H | y \rangle \leq 0 \quad \text{for all } x \neq y.$$

will use a stronger definition:

$\forall i$ h_i is stochastic

$$\langle x | h_i | y \rangle \leq 0 \quad \text{for all } x \neq y.$$

Examples

(1) Laplacian matrix of a graph

$$L = D - A$$

diagonal $\langle v | D | v \rangle = \text{degree}(v)$

↑ adjacency matrix

H_{prop} from the circuit-to-Hamiltonian construction is stoquastic.

(2) Hamiltonians from adiabatic computation

- when:
- final H is classical (diagonal)
 - initial H has ground state $|+\rangle^{\otimes n}$

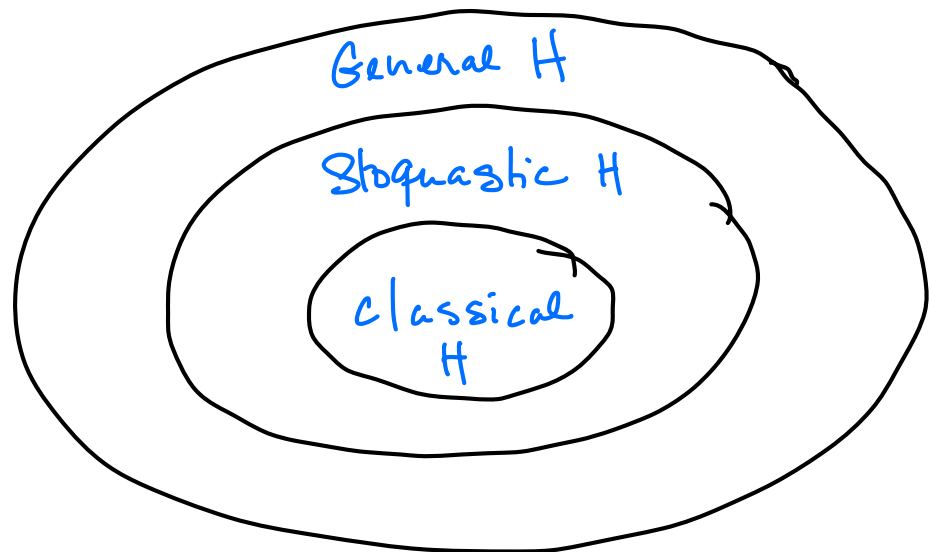
$$H(s) = (1-s) \sum_{i=1}^n \frac{I - X_i}{2} + s H_{\text{final}}$$

(3) Many Hamiltonians from physics

- ferromagnetic Heisenberg
- quantum transverse Ising model
- anti-ferromagnetic Heisenberg on cubic lattice
- interacting bosons on a lattice

Sometimes with a local change of basis.

Does the local Hamiltonian become easier if H is stoquastic?



Stoquastic local Hamiltonians more like classical (diagonal) Hamiltonians.

We will show:

Ground state of stoquastic H :

$$|\psi\rangle = \sum_x \alpha_x |\pi\rangle$$

$$\alpha_x \in \mathbb{R}^{\geq 0}$$

Complexity

Given an LH, decide if ground energy $\leq a$ or $\geq b$.

General H

$$a - b \geq \frac{1}{\text{poly}}$$

QMA - complete
[Kitaev]

$a = 0$ (Frustration-free)

→ QMA₁ - complete

verifier accepts YES instances
with prob = 1.

Stoquastic H

$$a - b \geq \frac{1}{\text{poly}}$$

Stoq FF - complete.
[Bravyi - Bessou - Terhal]

$$\underline{\underline{a = 0}}$$

MA - complete

first MA - complete problem.
[Bravyi - Terhal 2008]

MA (Merlin - Arthur) $NP \subseteq MA \subseteq \text{StoqMA} \subseteq QMA.$

if $x \in \text{YES}$ $\exists y \in \{0, 1\}^m$ classical V

$$\Pr [V(x, y) \text{ accepts}] \geq 2/3$$

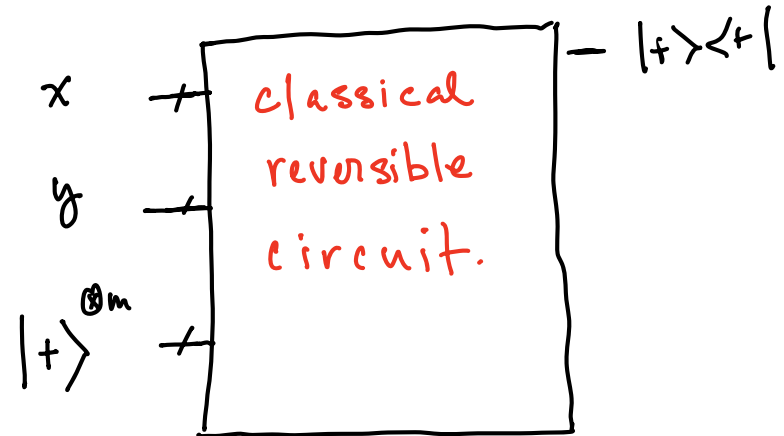
if $x \in \text{NO}$ $\forall y.$

$$\Pr [V(x, y) \text{ accepts}] \leq 1/3$$

measure in
Hadamard
basis



StoqMA is the same
except that verifier
can have "quantum-like"
circuit with a specific form.



Numerical Methods

General H

brute force diagonalization
20-30 qubits.

Adiabatic Quantum Computing.

General H

capable of efficient
universal Quantum Computing.

[A D K L L R]

Stoquastic H

Quantum Monte Carlo
"no sign problem"
works heuristically
up to 1000's qubits.

Stoquastic H

frustration-free stoq H
adiabatic
can be simulated
classically.

Non-Negative Ground States

Claim: Let H be stoquastic. Then \exists ground state $|\psi\rangle$ of H such that $\langle z|\psi\rangle \geq 0 \quad \forall z \in \{0,1\}^n$.

Proof: Let $|\phi\rangle$ be any ground state of H

$$|\phi\rangle = \sum_x \alpha_x |x\rangle.$$

Define $|\psi\rangle = \sum_x |\alpha_x| |x\rangle$. Then.

$$\begin{aligned} \langle \psi | H | \psi \rangle &= \sum_x |\alpha_x|^2 \langle x | H | x \rangle + \sum_{x \neq y} |\alpha_x| |\alpha_y| \langle x | H | y \rangle \\ &\leq \sum_x |\alpha_x|^2 \langle x | H | x \rangle + \sum_{x \neq y} \alpha_x^* \alpha_y \langle x | H | y \rangle \\ &= \langle \phi | H | \phi \rangle. \end{aligned}$$

Ground States of Stochastic Hamiltonians are Non-Negative

Definition: H is reducible if \exists permutation matrix P such that $P^T H P$ is block diagonal.

Perron-Frobenius Theorem:

① If H is irreducible and stochastic, then ground state $|x\rangle$ is unique and $\langle x|x\rangle > 0 \quad \forall x$.

② If H is reducible and stochastic, then \exists orthonormal basis of the ground space $|x_1\rangle \dots |x_m\rangle$ such that $\langle x|x_j\rangle \geq 0 \quad \forall j, x$.

Markov Chain from Stochastic H [Bravyi-Terhal 2008
arXiv: 0806.1746]

Start with $\sum_{i=1}^m h_i$

(assume irreducible for now
 $\langle x | \psi \rangle > 0$ for g.s. $|\psi\rangle$)

Scale + add identity so that:

$$0 \leq h_i \leq I. \rightarrow 0 \leq \sum h_i \leq m$$

$$H = \sum_{i=1}^m h_i - E \quad \text{choose } E \text{ s.t. } H|\psi\rangle = 0.$$

$$\Rightarrow 0 \leq H \leq m \quad \text{and ground energy} = 0.$$

Define : $G = I - \frac{H}{2m} \Rightarrow I/2 \leq G \leq I.$

and $P_{yx} = \frac{\langle y | \psi \rangle}{\langle x | \psi \rangle} \langle y | G | x \rangle$ on
Supp(ψ)

Claim : $P[x \rightarrow y] = P_{x \rightarrow y}$ defines a Markov Chain. ↓

Proof : First show $P_{x \rightarrow y} \geq 0$
Follows from $\langle y | \psi \rangle > 0$
 $\langle x | \psi \rangle > 0$ → and
 $\langle y | G | x \rangle = \delta_{xy} - \frac{\langle y | H | x \rangle}{2m}.$
 $x \neq y \quad \langle y | H | x \rangle \leq 0$
 $x = y \quad \langle y | H | x \rangle \leq m.$

Next show $\sum_y P_{x \rightarrow y} = 1 \quad \forall x$

$$\sum_y P_{x \rightarrow y} = \sum_y \frac{\langle \psi | y \rangle \langle y | G | x \rangle}{\langle \psi | x \rangle} = \frac{\langle \psi | G | x \rangle}{\langle \psi | x \rangle}$$

$$G | \psi \rangle = \left(I - \frac{H}{2m} \right) | \psi \rangle = | \psi \rangle$$

($H | \psi \rangle = 0$)

$$= \frac{\langle \psi | x \rangle}{\langle \psi | x \rangle}$$

//

The unique limiting distribution of the P_{yx} Markov Chain is:

$$\pi(x) = \langle x | \psi \rangle^2 \quad x \in \{0, 1\}^n.$$

Follows from the fact that H (and hence P_{yx} M.C.) are irreducible and satisfies detailed balance:

$$\pi(x) \cdot P_{x \rightarrow y} = \pi(y) P_{y \rightarrow x}$$

How fast does this M.C. converge?

If not irreducible then take non-negative basis $|\psi_i\rangle \dots |\psi_r\rangle$

Walk on the support of each $|\psi_i\rangle$ is irreducible.

Start from arbitrary $z \in \{0, 1\}^n$.

$$T_{\text{mix}}(\epsilon, z) \triangleq \min \{ t \geq 0 : \| P^t z - \pi \|_1 \leq \epsilon \}$$

Standard mixing time bound

$$T_{\text{mix}}(\epsilon, z) \leq \frac{1}{1 - \lambda_2} \log \left[\frac{1}{2\epsilon \pi(z)} \right]$$

↑ 2nd largest eigenvalue of P .

$$\pi(z) = \langle \varphi | z \rangle^2$$

What is λ_2 for P ?

Claim: $1 - \lambda_2 = \frac{\text{gap}(H)}{2m}$

Recall $P_{x \rightarrow y} = \frac{\langle y | \psi \rangle}{\langle x | \psi \rangle} \langle y | G | x \rangle$

$$P = D G D^{-1} \quad \text{for } D = \delta_{xy} \langle \psi | x \rangle$$

G and P are similar matrices \Rightarrow same eigenvalues

$$G = I - H/2m.$$

//

Upshot:

$$T_{\text{mix}}(\epsilon, z) \leq \frac{1}{1-\lambda_2} \log \left[\frac{1}{2\epsilon \pi(z)} \right]$$
$$= \frac{2m}{\text{gap}(H)} \log \left[\frac{1}{2\epsilon \langle \chi | x \rangle^2} \right]$$

Given a "warm start" $\langle z | \chi \rangle^2 \geq \Omega(2^{-\text{poly}(n)})$

and if $\text{gap}(H) \geq \Omega\left(\frac{1}{\text{poly}(n)}\right)$

then chain mixes to π in poly-time.

Caveat : Running the M.C. requires
knowing $P_{x \rightarrow y}$ (which requires knowing $\langle \psi | x \rangle$'s)
(which we don't know). Land E.

\Rightarrow Bravyi + Terhal show that if H is frustration-free
then $\frac{\langle y | \psi \rangle}{\langle x | \psi \rangle}$ can be efficiently
computed.

Let $H|\psi\rangle = 0$ and $\langle x|\psi\rangle > 0$ $G = I - \frac{H}{2m}$

If $P_{x \rightarrow y} > 0$ then $\langle y|G|x\rangle > 0$

For a given x ,
there are $\text{poly}(n)$ y
s.t. $\langle y|h_i|x\rangle < 0$
for some i .

$$\Rightarrow \langle y|H|x\rangle < 0$$

$$\Rightarrow \langle y|h_i|x\rangle < 0 \text{ for some } i$$

Claim: if H is Stoquastic and FF then:

[BT 2008]

$$\frac{\langle \psi|y\rangle}{\langle \psi|x\rangle} = \sqrt{\frac{\langle y|\pi_i|y\rangle}{\langle x|\pi_i|x\rangle}}$$

π_i projector
on to zero
eigenspace of h_i

Intuition: Π projector onto ground space of H .

$$\Pi = \sum_a |\psi_a\rangle \langle \psi_a| \quad |\psi_a\rangle \text{ is non-negative}$$

$|\psi_a\rangle$ orthonormal
(disjoint support)

If:

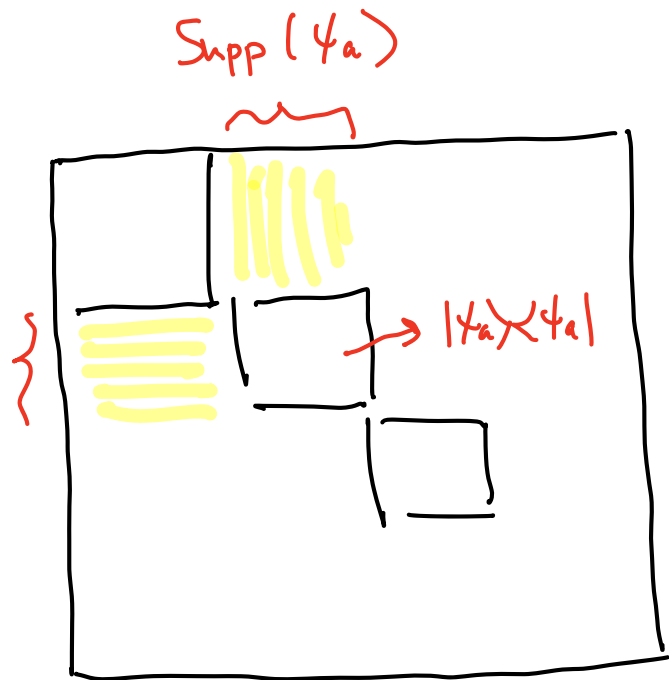
① $\Pi|\psi\rangle$

② $\langle x|\psi\rangle > 0$

③ $\langle x|\Pi|y\rangle > 0$

then

$$\frac{\langle x|\psi\rangle}{\langle y|\psi\rangle} = \sqrt{\frac{\langle x|\Pi|x\rangle}{\langle y|\Pi|y\rangle}}$$



If FF then $\Pi_i|\psi\rangle = |\psi\rangle$. same true for Π_i

If $\langle x|h_i|y\rangle < 0$ then $\langle x|\Pi_i|y\rangle > 0$

Implications of Claim (Simulating Adiabatic Computation)

If there is an adiabatic path $H(s)$ $s: 0 \rightarrow 1$

Idea:

For $J=0, \dots, T-1$,
use steady state of
 $H(J/T)$ as a
warm start for
 $H(J+1/T)$.

(1) Easy to find $\langle x | \psi(0) \rangle$ $|\psi(0)\rangle$ ground state of $H(0)$.

(2) $H(s)$ is Stoq. FF w/ unique ground state + gap $\geq 1/\text{poly}(n)$.

(3) Path is smooth $\|dH(s)/ds\| \leq \text{poly}(n)$

Then a classical algorithm can approximately
sample from $\Pi(x) = \langle \psi(1) | x \rangle^2$

Implications of Claim (Simulating Adiabatic Computation)

If there is an adiabatic path $H(s)$ $s: 0 \rightarrow 1$

Idea:

For $J=0, \dots, T-1$,
use steady state of
 $H(J/T)$ as a
warm start for
 $H(J+1/T)$.

(1) Easy to find $\langle x | \psi(0) \rangle$ $|\psi(0)\rangle$ ground state of $H(0)$.

Without stochastic condition, universal for QC.

(2) $H(s)$ is Stog. FF w/ unique ground state + gap $\geq 1/\text{poly}(n)$.

(3) Path is smooth $\|dH(s)/ds\| \leq \text{poly}(n)$

Then a classical algorithm can approximately sample from $\Pi(x) = \langle \psi(1) | x \rangle^2$

Adiabatic Evolution with general local H
is equivalent to the quantum circuit
model

⇒ Is there a natural circuit model
corresponding to adiabatic evolution
of stochastic (not-FF) Hamiltonians?

Complexity of LH for Stochastic Hamiltonians

Given a stochastic LH determine if:

$$\bullet \exists |\psi\rangle \quad H|\psi\rangle = 0$$

OR $\bullet \forall |\psi\rangle \quad \langle \psi | H | \psi \rangle \geq a \quad (a \geq 1/\text{poly})$

• This will be at least NP-hard because

Boolean satisfiability is a special case - How to

• Verifier can send as a witness $x \in \{0, 1\}^n$

$$\langle x | \psi \rangle \geq \Omega(2^{-\text{poly}(n)})$$

Verify??



StoqFF - LH \in MA

- Prover can send a starting point for a random walk:

$$x \text{ s.t. } \langle x | \psi \rangle \geq \Omega(2^{-\text{poly}(n)})$$

- One idea for verification:
 - implement random walk until convergence, then measure energy.
 - Repeat for accuracy.

Can verify "yes" instances
What about "No" instances?

MA Verification for Stog-MA

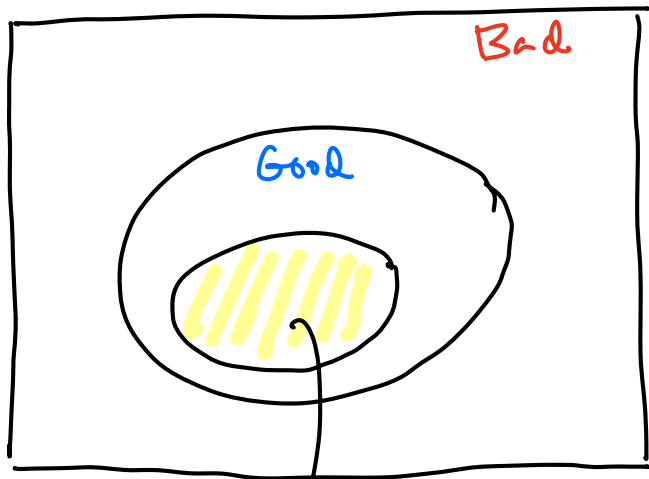
Define $S_{\text{good}} = \{x \mid \langle x \mid \Pi_a \mid x \rangle > 0 \ \forall a\}$.

↳ poly-time checkable condition.

$$S_{\text{bad}} = \{0,1\}^n - S_{\text{good}}.$$

⇒ the random walk is closed on S_{ground}

Show: Starting from $x \in S_{\text{good}} - S_{\text{ground}}$
w.h.p will reach a string in S_{bad} .



$$S_{\text{ground}} = \{x \mid \langle x \mid \Pi_{\text{ground}} \mid x \rangle > 0\}$$

Verification Procedure:

- Prover sends start string x to Verifier
(supposedly $x \in S_{\text{good}}$) send x that
maximizes $\langle x, \pi(x) \rangle$
- Verifier runs random walk for T steps
 - at each step if current string is in $S_{\text{bad}} \Rightarrow$ Reject.
- If S_{bad} has not been reached after T steps \Rightarrow Accept.

+ an additional check always satisfied for yes instances.

How big does T have to be

\Rightarrow No instance $\lambda_0(H) > \epsilon$.

$$G = \left(I - \frac{H}{2m} \right) \Rightarrow \begin{array}{l} \text{max} \\ \text{eigenvalue of } G \\ \leq \left(1 - \frac{\epsilon}{2m} \right) \end{array}$$

$$\text{Prob Stay Within } S_{\text{good}} = \sum_{x_1, x_2, \dots, x_T \in S_{\text{good}}} P_{x_0 \rightarrow x_1} \cdot P_{x_1 \rightarrow x_2} \cdots \cdot P_{x_{T-1} \rightarrow x_T}$$

$$= \sum_{x_1, x_2, \dots, x_T \in S_{\text{good}}} \langle x_0 | G | x_1 \rangle \langle x_1 | G | x_2 \rangle \cdots \langle x_{T-1} | G | x_T \rangle$$

$$\leq \sum_{x_T} \langle x_0 | G^T | x_T \rangle \leq 2^n \left(1 - \frac{\epsilon}{2m} \right)^T$$

$$P_{x_{i+1} \rightarrow x_i} =$$

$$\frac{\langle x_{i+1} | \psi \rangle \langle x_i | G | x_{i+1} \rangle}{\langle x_i | \psi \rangle}$$

If $\epsilon > 1/\text{poly}(n)$, will be exp small with $T \sim \text{poly}(n)$

Hardness of Stog FF LH for MA

is shown even when ground state is a uniform superposition. [BT 08].

Aharonov & Grilo derandomize this process when H is gapped and has a ground state which is a uniform superposition.

If there is a gap amplification procedure for uniform FF Stog LH then $NP = MA$.

