

Intersecting Families in Downsets

Park City Experimental Mathematics Lab

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Park City Mathematics Institute

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Downset

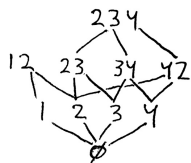
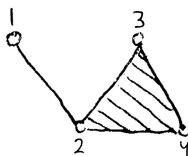
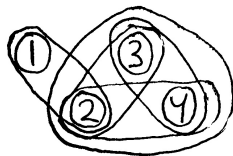
A **downset** is a family of sets closed under \subseteq . One can view a downset \mathcal{F} as the union of power sets of some basis sets A_1, \dots, A_k ; $\mathcal{F} = \bigcup_{i=1}^k 2^{A_i}$. We say the A_i 's **generate** \mathcal{F} .

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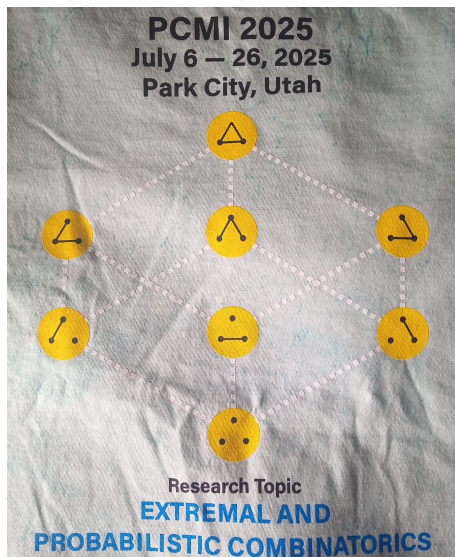
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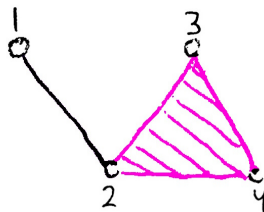
Above are three different pictures of the downset generated by $\{1, 2\}$ and $\{2, 3, 4\}$.

Downset

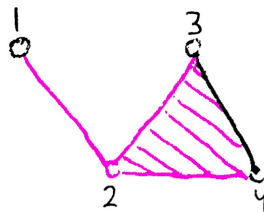


Intersecting Families

An **intersecting family** is a collection \mathcal{I} so that $A \cap B \neq \emptyset$ whenever $A, B \in \mathcal{I}$.



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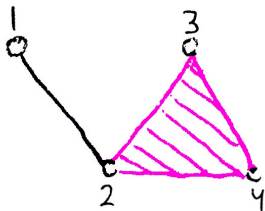


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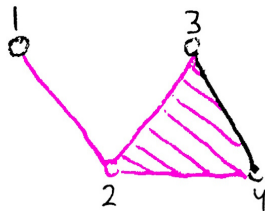
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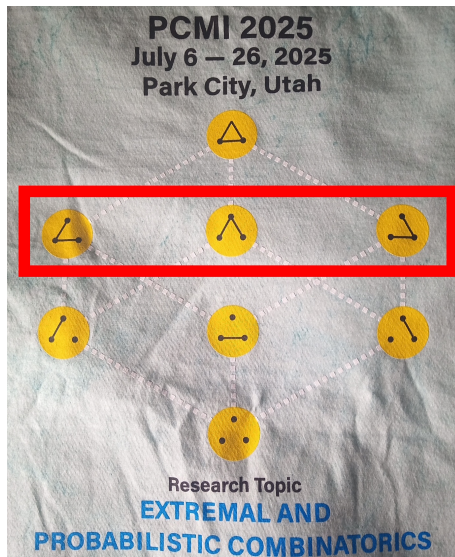
23, 24, 34, 234 (non-star)



2, 21, 23, 24, 234 (star)

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Intersecting Family Example



Stars

Theorem

A maximal intersecting family in $2^{[n]}$ can be attained by considering a star with size 2^{n-1} .

Proof is by considering (A, A^c) for $A \in 2^{[n]}$.

Theorem (Erdős-Ko-Rado)

Let r such that $2r \leq n$. Then the maximal intersecting family consisting only of r -element subsets of $[n] = \{1, 2, \dots, n\}$ is attained by a 'star' with size $\binom{n-1}{r-1}$.

Proofs via induction/double-counting/Kruskal-Katona Theorem.

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Chvátal's conjecture

Conjecture (Chvátal 1972)

Among the intersecting families of maximum size of a downset over a finite set, there is a star.

Many special cases are known:

Chvátal 1972 \mathcal{F} is “left-compressed”.

Schönheim 1976 $\bigcap_{i=1}^n A_i \neq \emptyset$ (counting).

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\mathcal{F} has two maximal elements A, B with $A \cap B \neq \emptyset$
 \implies size of largest \cap -family is $2^{|A|-1} + 2^{|B|-1} - 2^{|A \cap B|-1}$.

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where $a_{i_1, \dots, i_p} := |\bigcap_{j=1}^p A_{i_j}|$.

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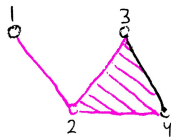
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Sizes of stars

Theorem (Berge 1976)

The size of an \cap -family in a downset \mathcal{F} is at most $\frac{1}{2}|\mathcal{F}|$.



In its full generality, the problem appears to be quite difficult. I am rather skeptical about the use of counting arguments. It would be interesting to prove the conjecture for independence systems whose maximal sets are lines of a projective plane.

Sizes of stars

Theorem

Let S be the size of a random star in a random downset. Then

$$\mathbb{E}(S) = \sum_{i_1=0}^{\binom{i_0}{1}} \sum_{i_2=0}^{\binom{i_1}{2}} \cdots \sum_{i_n=0}^{\binom{i_{n-1}}{n}} \left(1 + \sum_{j=1}^n i_j \right) S_{i_1, \dots, i_n},$$

where

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Future work:

- Is there a simpler way to express the above?
- Compute some examples
- $\mathbb{E}(\text{size of a } \textit{largest} \text{ star})$
- $\mathbb{E}(\text{size of a largest } \cap\text{-family})$
- Make the following idea work

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Let S be the size of a random star in a random downset. Then

$$\mathbb{P}(S = 0) = 0$$

$$\mathbb{P}(S = 1) = q_1^n$$

$$\mathbb{P}(S = 2) = np_1 q_1^{n-1}$$

$$\mathbb{P}(S = 3) = \binom{n}{2} p_1^2 q_1^{n-2}$$

$$\mathbb{P}(S = 4) = \binom{n}{3} p_1^3 q_1^{n-3} + \binom{n}{2} p_1^2 q_1^{n-2} p_1 p_2$$

$$\mathbb{P}(S = 5) = \binom{n}{4} p_1^4 q_1^{n-4} + \binom{n}{3} p_1^3 q_1^{n-3} \binom{3}{1} p_1 p_2 (q_1 + p_1 q_2)^2.$$

Guaranteed Stars?

We have the upper bound of $1/2$ for an intersecting family, and we have some slight progress on expected sizes of stars.

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Entropy

Recently used by Gilmer (2022) and more recently Sawin (2023) to prove that for a union-closed family \mathcal{F} , there exists an element $i \in [n]$ such that $\frac{\# \text{ of sets with } i \text{ in } \mathcal{F}}{|\mathcal{F}|} \geq c$, where Gilmer had $c = 0.01$ and Sawin had $c = \frac{3-\sqrt{5}}{2} \approx 0.382$.

Lemma

Let $u \in [0, 1]$ (Gilmer used 0.01), let p, q be independent identically distributed random variables on $[0, 1]$ such that $\mathbb{E}[p] \leq u$. Then, letting H be the binary entropy function,

$$\mathbb{E}[H(p + q - pq)] \geq c\mathbb{E}[H(p)]$$

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Entropy methods

Note that downsets are intersection-closed. The natural analogue of that proof method requires the following technical lemma: Let $u \in [0, 1]$, p, q be i.i.d. on $[0, 1]$, such that $\mathbb{E}[p] \leq u$. Then

$$\mathbb{E}[H(pq)] \geq c\mathbb{E}[H(p)]$$

for $c > 1$.

This does not seem true, as $pq < p$, and if $u < 1/2$ we expect $H(pq) < H(p)$ as H is increasing on $(0, 1/2)$.

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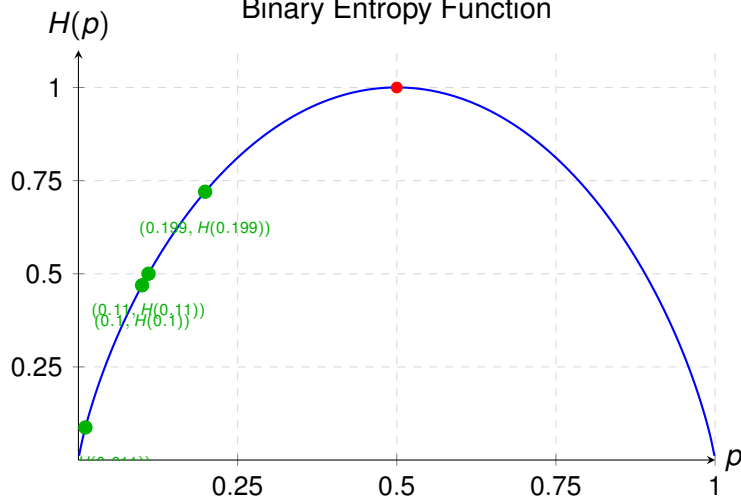
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Binary Entropy

Binary Entropy Function



Failure of Proportions

Consider the down-set generated by $\{1\}, \{2\}, \dots, \{n\}$. The proportion of each star decreases as n^{-1} , so you cannot hope for a constant!

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Complements of intersecting families

Note that the complement of an downset \mathcal{D} is necessarily union closed:

$$A, B \in \mathcal{D} \implies A^c \cup B^c = (A \cap B)^c \in \mathcal{D}^c$$

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Other questions

- What about modular intersections? E.g., in a downset, what is the biggest family \mathcal{I} such that $|A \cap B|$ is even for all $A, B \in \mathcal{I}$? (Oddtown generalization)
- What about t -intersecting families? Specifically, for a downset \mathcal{F} , what is the largest $\mathcal{S} \subseteq \mathcal{F}$ such that $|A \cap B| \geq t$ for all $A, B \in \mathcal{S}$?
- When are the only maximal intersecting families a star? (not the nonempty intersection case.)

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