

**A**s Director of the Institute for twelve and a half years, I have often been asked to explain what the Institute does and why. One of the best explanations I have heard recently comes from Peter Kann, Chairman and CEO of Dow Jones & Company, Inc. and Institute Trustee since 1990, who commented:

*"It is pure scholarship that defines and differentiates the Institute. The uniqueness of the Institute for Advanced Study lies in its focus on pure knowledge—the ultimate upstream reservoir. From this reservoir often flow streams of practical benefit. But the reservoir would have enormous and distinctive value even if no visible streams flowed from it at any given time. In terms of the quality of this intellectual reservoir the Institute is unique. Streams of practical benefit should be seen as subsidiary as opposed to raisons d'être for the Institute."*

*I couldn't agree more.*

—Phillip A. Griffiths, Director

## Martin Leibowitz: Taking the Long-term View

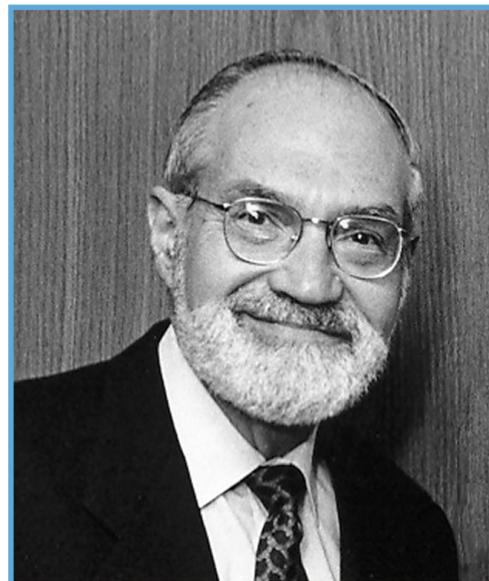
**O**n a bright fall morning this past October, in Princeton for a busy round of Board Meeting activities, Martin L. Leibowitz, Vice Chair of the Board of Trustees of the Institute for Advanced Study, strolled the Institute grounds. A Trustee since 1995, Dr. Leibowitz feels at home here. Indeed, he has felt drawn to the Institute since he was a boy growing up, first in York, Pennsylvania, and then in Oak Ridge, Tennessee.

At the age of eleven, he had dipped into John von Neumann and Oskar Morgenstern's *Theory of Games*, and while at that early age he didn't grasp much of it, he confesses, nonetheless it fascinated him. His first impressions of the Institute for Advanced Study came from his sister, Lucille, who worked in the Health Physics Division of the Oak Ridge National Laboratory. To the budding mathematician, the Institute was the hallowed home of his boyhood heroes Albert Einstein and John von Neumann.

In contrast to his mathematical leanings, Martin Leibowitz describes his family background as decidedly nonacademic. On hearing the news that his sister was "having calculus," he recalls the response of a sympathetic aunt who hoped that Lucille would soon recover. It was his sister Lucille, he says, who instilled in him the deep love and respect for science and mathematics that eventually led him "to the great good fortune of being able to play some small role in the activities of the Institute for Advanced Study."

At the University of Chicago, Martin Leibowitz earned a B.A. in liberal arts and an M.S. in physics, and was introduced to the field of operations research. It held an instant appeal. "It was exciting to me to be in a developing field and one that I was drawn to and had an aptitude for," he says. Having read von Neumann and Einstein, Martin Leibowitz was intrigued by the practical applications of their discoveries to real-life problems. He was drawn to finance. After earning a Ph.D. in mathematics from the Courant Institute of Mathematics, he went to Salomon Brothers, where he describes himself as the "house mathematician" from 1969 until 1995. There, he developed key market innovations such as zero-coupon bonds, mortgage-backed securities, indexing strategies, and bond dedication.

With his background in pure mathematics coupled with a practical bent, Martin Leibowitz understands the Institute as well as the world of business. He is



Dr. Martin L. Leibowitz

the author of books and articles ranging in subject from the mathematics of price volatility to asset allocation and liability management. His writings have garnered multiple Graham and Dodd Awards for excellence in financial writing. An early work, *Inside the Yield Book* (1972), has undergone more than a score of printings and is a standard in the field. In October 1995, Dr. Leibowitz received the Distinguished Public Service Award from the Public Securities Association, and in November 1995, he became the first inductee into the Fixed Income Analysts Society's Hall of Fame. The Association for Investment Management Research has given him its highest and most select awards, the Nicholas Molodovsky Award in 1995 and the James R. Vertin Award in 1998. He is Vice Chair of the Board of Trustees of the Carnegie Corporation of New York and serves on the investment advisory boards of Harvard Management Corp., the University of Chicago, and the New York State Common Retirement Fund. He is also past Chair of the New York Academy of Sciences and was elected a Fellow of the American Academy of Arts and Sciences in 2003.

As Vice Chairman and Chief Investment Officer of TIAA-CREF, the world's largest pension plan for teachers and college professors, since 1995, Martin Leibowitz is responsible for the overall management of all TIAA-CREF investments (more than \$290 billion in assets). CREF includes the single largest managed equity fund in the United States.

Martin Leibowitz has served for many years on the Institute's Finance Committee, where he and his

*(Continued on page 2)*

## LEIBOWITZ (Continued from page 1)

fellow committee members worked closely with the late Leon Levy, and now with Chairman James Simons, on the investment of the Institute's endowment. "Money is a limited resource," says Dr. Leibowitz, "and one has an obligation to consider the best use for a scarce resource. Andrew Carnegie's libraries are an extraordinarily successful example of this principle—what a brilliant idea! Even Carnegie's resources were limited, and he made exemplary use of them."

With a passion for investing, Martin Leibowitz is an expert. He has been aptly described as an investment luminary and dubbed "Wall Street's bond guru."

As a Trustee of the Institute for Advanced Study, Martin Leibowitz has demonstrated consistency of commitment and great sensitivity to the needs of the institution he describes as "a precious and unique intellectual jewel." He has provided consistent support for the Institute for Advanced Study/Park City Mathematics Institute, which he cites as an example of the best use of limited resources.

A classroom in Simonyi Hall has been named to honor his gift to the endowment of the School of Mathematics. His gifts to the Institute also include the dedication of the Fuld Hall Common Room in honor of Phillip A. Griffiths, who steps down as the Institute's seventh Director at the end of 2003.

"As Director of the Institute, Phillip is self-assured but not domineering," comments Martin Leibowitz. "He is fair, a quality recognized and appreciated by all at the Institute. Phillip has the respect of the stars of the academic firmament, the Institute Faculty. He is sincerely and deeply interested in all of the activities of the Institute and has created a cordial, nurturing environment. He is passing on an institution in good health to the incoming Director, Peter Goddard."

Since becoming a Trustee of the Institute for Advanced Study, Dr. Leibowitz says, familiarity has deepened his appreciation of the place. As the Institute approaches its 75th birthday, he is convinced that the ideals of its original founders hold as much validity now as they did in the early decades of the 20th century. "The ideal is as valid and necessary now as it was when Flexner first defined the Institute's mission," he



*Martin Leibowitz celebrates with his sister (left), Lucille Heller, and his wife, Sarah Leibowitz, at the dedication of the Fuld Hall Common Room in honor of Phillip A. Griffiths, Institute Director 1991–2003. A generous gift from Marty and Sarah pays tribute to Phillip Griffiths' leadership of the Institute for over a decade. Dr. Leibowitz has been a Trustee since 1995. His sister, Lucille Heller, is a Friend of the Institute for Advanced Study.*

believes. "In universities there are so many distractions, in life there are distractions, even here at the Institute there are distractions, but they are comparatively few."

As chair of the Search Committee that identified and nominated the Institute's next Director, Peter Goddard, Martin Leibowitz was pleasantly surprised at the ease with which the task proceeded. "The Search Committee was unanimous in its choice," he reports. "The Faculty members of the Search Committee deserve credit for finding Peter Goddard. It was truly a global search. And it was an open search, based entirely on merit and the character of the Institute with no stipulation as to gender or field. I give the Faculty full credit for this."

Martin Leibowitz's wife is Dr. Sarah Leibowitz, a leading neurobiologist at Rockefeller University. Dr. Leibowitz conducts research on obesity through studies of rodents whose appetite and weight gain mechanisms are similar to those of human beings. Dr. Leibowitz is examining the neurochemical activity in the hypothalamus of the animals as they eat and gain weight. Her research into obesity, neuro-

biology, and genetics is at the threshold of discoveries that will shape our understanding of and treatments for diet-related obesity in human beings.

The couple lives in New York City and has raised three daughters, all of whom are M.D.s in different subspecialties. Kimara is a pediatric cardiologist, Rebecca is a radiologist, and Karen is studying to be a pediatric gastroenterologist. "Each chose her own field, without pressure from either parent," says Martin Leibowitz. "I am proud of them, but I hope the field of medicine will be kind to them. It is not an easy option, and clinical medicine can be stressful. Sarah and I have always taken our work seriously; our daughters have always had that example. Even when we go to our country home, we spend the day working and then gather *en famille* later in the day."

Martin Leibowitz's perspective is long-term. "The stewardship of our endowment, especially in these turbulent times, benefits enormously from the experience of Martin Leibowitz," says Phillip Griffiths. "Marty is one of America's most experienced financial executives in long-term investments. Our founders envisioned an Institute that would function in perpetuity, and so the Institute is fortunate to have Marty on its Board of Trustees." ■

### ARMAND BOREL (1923–2003)

*The Institute for Advanced Study is deeply saddened by the passing of Armand Borel, whose work was fundamental to the development of modern mathematics.*

*Armand Borel was Professor in the School of Mathematics from 1957 and Professor Emeritus from 1993.*

### KIRK VARNEDOE (1946–2003)

*The Institute for Advanced Study mourns the loss of John Kirk Train Varnedoe, whose work redefined the field of modern art.*

*Kirk Varnedoe was Professor of Art History in the School of Historical Studies from 2002.*

*The Institute for Advanced Study notes with deep sadness the recent passing of the following Friends:*

CHARLES BIDDLE  
CHARLES L. BROWN  
ELIZABETH DILWORTH  
WARD S. HAGAN  
SIMEON HUTNER

# Ways to Give

## EXEMPLARY LEADERSHIP IN PLANNED GIVING

After serving twelve and a half years as Director of the Institute for Advanced Study, Dr. Phillip A. Griffiths steps down at the end of 2003. In addition to being appreciated for his exemplary leadership as Director, Dr. Griffiths is widely recognized as an accomplished mathematician with revolutionary work in algebraic geometry, as a dedicated teacher, and as a much-published researcher. He is the author or co-author of 11 books and over 100 mathematical papers. *Selected Works of Phillip A. Griffiths with Commentary*, published by the American Mathematical Society (2003), runs to four volumes and

covers Dr. Griffiths' groundbreaking contributions to the fields of analytic geometry, algebraic geometry, variations of Hodge structures, and differential systems. The preface describes Dr. Griffiths as a "towering figure in mathematics, central to the development of at least three areas" over the last 40 years.

At the fall meeting of the Institute's Board of Trustees, Richard B. Black, Vice Chair of the Board, spoke of Dr. Griffiths' tenure as Director of the Institute and recounted some of the many accomplishments of this period. Mr. Black said that he had seen Dr. Griffiths put the recommendations of the Institute's 1997 Decadal Review into action and had witnessed the resulting improvements, which included new initiatives in biology, East Asian studies, economics, and theoretical computer science, increased development efforts for funding that will allow the Institute to continue in excellence, and facilities for Faculty and for Members, in housing and also in the improvements to the campus that include Wolfensohn Hall, Simonyi Hall, and Bloomberg Hall. "Not only has Phillip pursued excellence, he has achieved excellence," said Mr. Black.

The Institute for Advanced Study's seventh Director, Dr. Griffiths is also its foremost advocate. As a mark of faith in the institution he has led, and as a contribution to it, Phillip and Marian (Taffy) Griffiths have made two generous gifts of real estate to the Institute for Advanced Study.

The first substantial gift was the donation, in 1994, of the Griffiths' home in Chapel Hill, North Carolina (Dr. Griffiths served as Provost and James B. Duke Professor of Mathematics at Duke University from 1983 to 1991). The Griffiths' North Carolina property, a custom-designed home on eight wooded acres, had been their family residence before they moved to New Jersey. The second gift, made last fall, was their lakeside summer home, a cottage on six and a half acres on Kezar Lake in Lovell, Maine.



Phillip and Taffy Griffiths

On both occasions, the Griffiths' gifts were achieved by means of Charitable Remainder Unitrusts. A Charitable Remainder Unitrust is a planned giving agreement, defined by federal tax law, that transfers assets from a donor to a trustee. The agreement allows a donor to receive income for life (or for a term of years) while ultimately making a gift of the principal to the beneficiary for the purposes approved by the donor. Since the trust's assets are revalued annually, the income payment will change and may grow over time, therefore providing in most cases a hedge against inflation.

When it came to specifying the use to which the Institute may put their gifts, the Griffiths thoughtfully stipulated that their gifts be unrestricted. In so doing, the Griffiths strongly endorsed the mission of the Institute. "The Institute for Advanced Study is unique in the opportunities for scholarship that it provides and in the excellence for which it stands. By strengthening its unrestricted endowment, the Institute will be able to meet its commitments to people and ideas in the future. Charitable Remainder Trusts benefit donors while looking out for the long-term health of the Institute for Advanced Study. I find this mutual benefit very satisfying," says Dr. Griffiths.

Given the caliber of financial strategists associated with the Institute's Board of Trustees and the Institute's track record of return on investments, Phillip Griffiths is confident that a Charitable Remainder Trust is a wise choice both for his family and for the Institute. In view of the fact that the performance of the Institute's endowment has recently been in the top percentile, one might feel safe in concluding that the act of faith demonstrated by the Griffiths' gifts is not so much a leap as a sure-footed step.

In January 2004, Dr. Griffiths, who is Founding Chair of the Science Institutes Group, Senior Advisor, The Andrew W. Mellon Foundation, Secretary of the International Mathematical Union, and editor of the *Annals of Mathematics*, will join the Faculty in the Scholl of Mathematics.

*With careful gift planning, you can make a lasting contribution to the Institute for Advanced Study. Your planned gift may also enable you to produce income for yourself and/or others, reduce your income taxes, remove assets from your estate and possibly reduce your gift and estate tax, and reduce or possibly eliminate capital gains tax. If you are interested in considering the Institute for Advanced Study as the beneficiary of your planned giving, please contact Kamala Brush at (609) 734-8031, kbrush@ias.edu. ■*

## Tributes to Phillip A. Griffiths

Trustees and Faculty gathered on October 24, to pay tribute to outgoing Director Phillip A. Griffiths. Richard B. Black, Vice Chair of the Board of Trustees, spoke of Dr. Griffiths' twelve and a half years of exemplary leadership and expressed to Dr. Griffiths and his wife, Dr. Marian Griffiths, the deep appreciation of the entire Institute community. He announced several gifts made to the Institute in acknowledgment of Dr. Griffiths' contributions.

In appreciation of Dr. Griffiths' commitment to the presence of music at the Institute, Annette Merle-Smith, a Friend of the Institute, has made a major gift toward the endowment of the Institute's Concert Series of the Artist-in-Residence Program. Her generous gift creates a fund in

support of the Concert Series and is being matched, in part, by contributions to the fund by Giorgio and Elly Petronio and by the estate of Patricia H. Labalme.

It was also announced that Vice Chair of the Board of Trustees Dr. Martin Leibowitz and his wife, Dr. Sarah Leibowitz, have made a generous contribution to the Institute as a mark of respect for the directorship of Dr. Griffiths. A plaque honoring the tenure of the seventh Director of the Institute for Advanced Study in recognition of this gift has been installed in the Common Room in Fuld Hall. Dr. Leibowitz spoke of his admiration for Phillip Griffiths and acknowledged his extraordinary achievements as Director. ■



*Director Phillip A. Griffiths with Friend of the Institute  
Annette Merle-Smith*



*The Institute community gathered to honor outgoing Director Phillip A. Griffiths in the  
Institute Common Room on October 24, 2003.*



*Left to right: Lucille Heller, Sarah Leibowitz,  
Martin Leibowitz, Marian Griffiths, Phillip Griffiths*



*Left to right: Giorgio Petronio, Enrico Bombieri,  
IBM von Neumann Professor in the School of Mathematics,  
Elly Petronio, and George Labalme*

# Building East Asian Studies

During the past decade, the School of Historical Studies has reached beyond its traditional orientation towards the European West to incorporate a more global and multicultural perspective. Over time, and after exploring other non-Western cultures, the School decided that East Asian studies is one of the richest areas for future scholarly development, particularly in conjunction with programs that are already thriving at the Institute and its near neighbor, Princeton University. The Institute hopes to play a significant role in drawing together eminent scholars in East Asian studies from around the world, and in providing a venue for sustained research that will result in the intellectual enrichment of the field.

Thanks to the generous support of The Starr Foundation for the new program in East Asian studies, and The Henry Luce Foundation for support of a professorship in this area, the School extended a welcome this fall to Nicola Di Cosmo, the first Henry Luce Foundation Professor of East Asian Studies, and to four visiting scholars. Angela K.C. Leung, a Research Fellow at the Academia Sinica (Taiwan) and Professor of History at National Taiwan University, will study the medical and social history of leprosy in China from antiquity to the 1930s. Michael Nylan, Professor of History at the University of California, Berkeley, will focus on "Rethinking the Chinese Past through the Wu Family Shrines." John R. Shepherd, Associate Professor of Anthropology at the University of Virginia, will take as his research topic "The Chinese Demographic Regime on Taiwan, 1870-1945." Don J. Wyatt, Professor of History at Middlebury College, will research "Confucius in the Chinese Post-Marxist Age."

The School of Historical Studies began exploring the area of Asian studies in 1998

through a series of visiting Professorships, supported by a combination of Institute funds and a grant from The Andrew W. Mellon Foundation. Patricia Ebrey, Professor of History and Chinese Studies at the University of Washington, held the first appointment. Benjamin A. Elman, at that time Professor of Chinese History at the University of California, Los Angeles (and currently Professor of East Asian Studies and History at Princeton University), and Joshua A. Fogel, Professor of East Asian Studies at the University of California, Santa Barbara, each served as Two-Year Visiting Mellon Professors in subsequent years: Elman for the 1999-2000 and 2000-2001 academic years, and Fogel for the 2001-2002 and 2002-2003 academic years.

In addition to their significant individual research, these distinguished scholars initiated year-long seminars that culminated in major symposia. These generated lively interactions and exchanges of ideas among Members within the Institute, distinguished visitors, and scholars from many other institutions. The Seminars have been particularly well-received because of their focus on not-yet-published, far-reaching research.

Professor Ebrey led a year-long seminar on "Visual Dimensions of Chinese Culture" that included a symposium on the same subject in the Spring of 1999. Professor Elman's program focused on the theme "Late Imperial Chinese Culture and Science" during his first year, and on "Chinese, Japanese, Korean and Vietnamese Traditional History and Civilization" his second year. Professor Fogel led a weekly East Asian Studies Seminar and organized two major workshops: "How Did 'China' become China and How Did 'Japan' Become Japan: The Teleologies of the Modern Nation-State," and "New Explorations: Histories of Natural History in East Asia."

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## Nicola Di Cosmo



CLIFF MOORE

Nicola Di Cosmo, Henry Luce Foundation Professor of East Asian History in the School of Historical Studies

Nicola Di Cosmo, the first Henry Luce Foundation Professor of East Asian Studies, joined the School of Historical Studies Faculty on July 1, 2003. Professor Di Cosmo's scholarly focus is the relationship between China and its northern neighbors, the nomads of the Inner Asian steppes.

Professor Di Cosmo was Senior Lecturer in Chinese History at the University of Canterbury in Christchurch, New Zealand, before coming to the Institute. He previously taught at Harvard University and Indiana University, and earlier served as a Research Fellow at Clare Hall, Cambridge University. He was a visiting Member in the School of Historical Studies in the spring of 1999.

Professor Di Cosmo is the author of numerous books, book chapters, articles, and reviews. His most recent publication is *Manchu-Mongol Relations on the Eve of the Qing Conquest: A Documentary History* (2003). Using original Manchu and Mongol documents, it provides new information about the relations between Manchus and Mongols before the 17th-century conquest of China by the Manchu Dynasty, which replaced the Ming Dynasty. ■

## Friends of the Institute for Advanced Study

Michael Curtis, Distinguished Professor of Political Science Emeritus at Rutgers the State University of New Jersey, and a Friend of the Institute, discussed France's Vichy regime at a Friends Fireside Chat last October. During the German occupation of France from 1940 to 1944, one-third of France's 330,000 Jews died in Nazi death camps. Professor Curtis' book, *Verdict on Vichy: Power and Prejudice in the Vichy France Regime*, examines the attitudes and actions of French officials and citizens towards Jews in France during World War II. His analysis of the rise of anti-democratic and anti-Semitic ideology in France after the Dreyfus affair is considered the definitive study in early 20th-century French political history.

Also in October, at a Friends Forum, Director's Visitor José Serra delivered a slide-presentation, "Fighting AIDS in Developing Countries: Brazil's Model of Success." As

Brazilian Minister of Health from 1998 to 2002 under President Fernando Henrique Cardoso, a Trustee of the Institute, Dr. Serra garnered international acclaim for Brazil's AIDS treatment program. His talk contrasted Brazil's efforts to combat HIV/AIDS with those of several developing countries, and discussed such issues as medications and patents, media support, the role of the World Bank, and international cooperation.

In November, Professor Caroline Walker Bynum, Professor in the School of Historical Studies, presented "The Presence of Objects: Medieval Anti-Judaism in Modern Germany" at a Friends Forum. As an historian of medieval religious thought and practice, Dr. Bynum has taught all aspects of late antique and medieval history, church history, and intellectual history. Her award-winning books include *Jesus as Mother* (1982), *Holy Feast and Holy Fast: The*

*Religious Significance of Food to Medieval Women* (1987), *Resurrection of the Body in Western Christianity: 200-1336* (1995), and *Metamorphosis and Identity* (2001).

For more information about Friends events, contact Pamela Hughes at (609) 734-8204, [phughes@ias.edu](mailto:phughes@ias.edu), or see the Institute's website <[www.ias.edu](http://www.ias.edu)> ■



Caroline Walker Bynum, Professor of Western Medieval History in the School of Historical Studies

# John von Neumann Tribute from Hungary

## EAST ASIAN STUDIES

(Continued from page 5)

The Secretary of State of the Republic of Hungary, Mr. György Csepeli, visited Princeton last October, to deliver two memorial plaques to the Institute for Advanced Study on behalf of his country. The plaques honor Hungarian-born John von Neumann, Professor in the School of Mathematics from 1933 until his death in 1957. One of the 20th-century's most remarkable scientists, von Neumann contributed to the fields of pure and applied mathematics and was a pioneer of computer science and game theory.

The plaques are installed on the wall of the Crossroads Nursery School, formerly the site of Professor von Neumann's Electronic Computer Project, where the IAS computer prototype was built. They commemorate von Neumann's unique

place in the history of computing theory and his groundbreaking contributions to the development of computers and their applications.

Celebrations of the centennial anniversary of von Neumann's birth included the John von Neumann Centennial Congress in Budapest, attended by, among others, Institute Trustee Charles Simonyi, who delivered the keynote address at the Hungarian Academy of Sciences. Professor von Neumann's daughter, Marina von Neumann Whitman, Professor of Business Administration and Public Policy at the University of Michigan and a Trustee of the Institute, received the John von Neumann Prize from the Hungarian Ministry of Informatics and Communication, as did author George Dyson, Director's Visitor at the Institute, 2002-03. ■

He also organized a conference, in 2003, "Is It Really Like Kissing through a Handkerchief? Reading and Translation from Chinese and Japanese."

Scholars participating in the Institute's East Asian Studies program have been able to draw from exceptionally fine resources. With the Gest Library at Princeton University, the core of which is owned by the Institute, and which is considered the finest collection of Chinese publications outside mainland China, the Institute and Princeton University's resources for the study of traditional China have been termed by one visiting scholar "unparalleled in the U.S." ■

*This plaque from the Republic of Hungary commemorates Institute mathematician John von Neumann.*



*Left to right: Gyözö Kovács of the John von Neumann Computer Society; András Hardy, Director General, Ministry of Informatics and Communications; Gábor Horváth, Ambassador Consul General of the Republic of Hungary; Phillip Griffiths, Director, Institute for Advanced Study; György Csepeli, Parliamentary State Secretary, Hungarian Ministry of Informatics and Communications; and Phyllis Marchand, Mayor of Princeton Township.*

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